

The Isospin Dependence of Short Range Correlations through Inclusive Electron Scattering from ^{40}Ca and ^{48}Ca

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Abstract

Short Range Correlations (SRCs) have been recognized as responsible for the high momentum tail of the nucleon momentum distribution in nuclei and are necessary to explain the missing nuclear strength in the mean field theory. One of the important questions about SRCs is their isospin dependence, yet unseen in inclusive scattering. An experiment (E08014) took place in Hall A at Jefferson Lab in Spring 2011 aimed to study SRCs. The inclusive electron scattering cross-sections from different nuclei including ^2D , ^3He , ^4He , ^{12}C , ^{40}Ca and ^{48}Ca were measured in kinematic region of $(0.8 < Q^2 < 2.8 \text{ GeV}^2)$ and $(1 < x_{bj} < 2)$ where SRCs are expected to be dominant ($x_{bj} = Q^2/2m_p\nu$, Q^2 is the 4-momentum transfer square, and ν is the transfer energy). The cross section ratios of ^4He nuclei to ^3He for $x_{bj} > 2$ were used to search for the presence of 3N SRCs. The analysis herein focuses on the isospin dependence of SRCs using the cross section ratio per nucleon of ^{48}Ca to ^{40}Ca .

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Chapter 1

Introduction

1.1 Overview

In 1897, J. J. Thomson discovered the electron through the magnetic deflection of cathode rays; the result indicated the atom has an internal structure [1]. A decade after that, in 1910, the Ernest Rutherford's team discovered the nucleus by performing an experiment, passing alpha particles through a thin gold foil and observed that a few particles were scattered through large angles, even completely backward in some cases [2, 3]. This remarkable result indicated the atom has a very small and dense nucleus containing most of its mass. In 1932 the neutron was discovered by James Chadwick [4]. These breakthrough discoveries mark the start of nuclear physics.

Since nuclear physics was established, the main research goal is a complete description of nuclear structure which requires the understanding of the interaction between nucleons (protons or neutrons) and how these interactions determine nuclear properties. In a simple picture, the existence of stable nuclei is evidence that the nucleon-nucleon (N-N) interaction must be attractive, and much stronger than the Coulomb forces, to keep the protons bound. However, it cannot be attractive over all distances because the short distance N-N interaction must be repulsive to keep the

19 nucleus from collapsing [5].

20 The complete description of the nuclear structure can be obtained by solving a
 21 many-body problem. This is very challenging due to the complexities of the nuclear
 22 system. The complexity level increases with A . The solution of this problem is only
 23 available for the few-body systems, and up to $A = 12$ (^{12}C) [6]. For heavy nuclei,
 24 beyond ^{12}C , this problem was and still is nearly impossible. Many effective models
 25 have been developed in an attempt to describe nuclear structure and they all have
 26 to apply different approximations in order to simplify the many-body problem. The
 27 most successful model for nuclear structure is the independent particle shell model
 28 (IPSM) invented by Goeppert-Mayer and Jensen in 1949. The IPSM can predict
 29 surprisingly well the properties of nuclei such as their angular momentum, parity,
 30 magic number, spin, etc. In the early 1980's, $A(e, e'p)$ experiments at NIKHEF-K
 31 revealed a significant discrepancy between IPSM model predictions for the strength
 32 of valence-shell protons when compared to data (30% - 40%) [7]. More details are
 33 discussed in the next sections.

34 One of the possible way to remedy and understand this discrepancy is the existence
 35 of short range correlations (SRCs) which are not included in the IPSM. SRCs refers to
 36 two or more nucleons coming together at a short distances where their wave functions
 37 overlap. The SRCs are assumed to be responsible for the high momentum tail of
 38 the nucleon momentum distribution. Finding the fraction of nucleon in SRCs, as
 39 compared to the fraction of nucleon in mean field gives a better understanding of
 40 short-distance ground state nuclear structure. An area of great interest is the isospin
 41 dependence of SRCs. That is, are the two nucleon SRCs pairs more or less likely to
 42 be iso-singlet (np , $T=0$), iso-triplet (pp , nn , np , $T=1$) pairs? The analysis presented
 43 in this thesis may provide a partial answer. The Calcium isotopes ^{40}Ca and ^{48}Ca
 44 provide an ideal test of isospin dependence; while they have equal number of protons,
 45 ^{48}Ca has 8 more neutrons.

46 The electron is an ideal probe for studying nuclear structure. It is a structureless
 47 particle which interacts with the nucleus via the well-understood electromagnetic in-
 48 teraction. The relative weakness of the electromagnetic interaction not only enables
 49 one to model the process by the exchange of a single virtual photon, but it is also
 50 allows the electron to probes the entire nucleus. The resolution of electron probe is
 51 defined by the wavelength of the virtual photon; smaller wavelength (larger momen-
 52 tum transfer) corresponds to finer resolution. The singular disadvantage of electron
 53 scattering is its small cross section which requires a high intensity electron beam and
 54 thick targets. In addition, high momentum transfer (q) is required to provide the res-
 55 olution neccesary to study nuclear structure at short distances. These requirements
 56 were a challenge for SRCs studies with accelerators available in the 1980's.

57 Fortunately, the development in accelerator technologies provides much higher
 58 beam energy and luminosity which, together with much better theoretical under-
 59 standing of nuclear structure, allowed a new generation of SRCs measurements. In
 60 the last 30 years, many electron scattering experiments focused on SRCs have been
 61 completed and many important results have been achieved. A big transition has been
 62 made and SRCs physics has evolved from searching for their existence to mapping
 63 their strength in nuclei, to studying their isospin dependence, exploring the possible
 64 connections between SRCs and the EMC effect, and more. A summary of the main
 65 results of previous SRCs measurements along with the motivation of this thesis ex-
 66 periment is presented in Chapter 2. Experiment E08014's setup and a summary of
 67 kinematic settings are described in Chapter 3. The analysis details are discussed in
 68 Chapter 4. The procedure of extracting cross section is given in Chapter 5. The
 69 results and discussion can be found in Chapter 6.

1.2 Independent Particle Shell Model

As mentioned above, the complete description of nuclei can be obtained by solving a many-body problem [5, 8, 9]. The Hamiltonian is defined as:

$$H = T + \sum_{i < j}^A V_{2-body}(i, j) + \sum_{i < j < k}^A V_{3-body}(i, j, k) + \dots, \quad (1.1)$$

where T is the kinetic energy, V_{2-body} and V_{3-body} are the two and three-nucleon potentials, respectively. The conditions $(i < j)$ and $(i < j < k)$ avoid double counting of the interaction energy. The main assumption made in the shell model is that the nucleons move independently of each other in well-defined quantum orbits in the average potential (mean field V_M) created by the surrounding nucleons and that other interactions are neglected. This approximation significantly reduces the complexity of the many-body problem to that of a single particle in a potential well. This can be expressed as:

$$H = \underbrace{[T + V_M]}_{\text{IPSM}} + \underbrace{[V_{2-body} + V_{3-body} + \dots - V_M]}_{\text{neglected in IPSM}}. \quad (1.2)$$

The nucleus is a dense system so it is reasonable to expect that there are substantial interactions between the nucleons inside the nucleus. This raises a question of why the IPSM works so well. The explanation relies heavily on the Pauli principle: the scattering of nucleons to occupied states is forbidden which suppresses the interaction between nucleons. For a given ground state nucleus, nucleons occupy independent shells and fill up distinct energy levels. The highest energy level is called the Fermi energy corresponding to the highest momentum, the Fermi momentum k_F . In other words, no nucleon above the Fermi energy and momentum are allowed in the IPSM. The IPSM also assumed that the protons and neutrons have independent shell states. The potential experienced by the proton needs to include the repulsive Coulomb

91 potential [8]. A sketch of neutron and proton potentials well can be seen in Figure
 92 1.1. The effective potential used in the IPSM is the Woods-Saxon potential with
 spin-orbit term (see Refs. [5,8]) which can be expressed as:

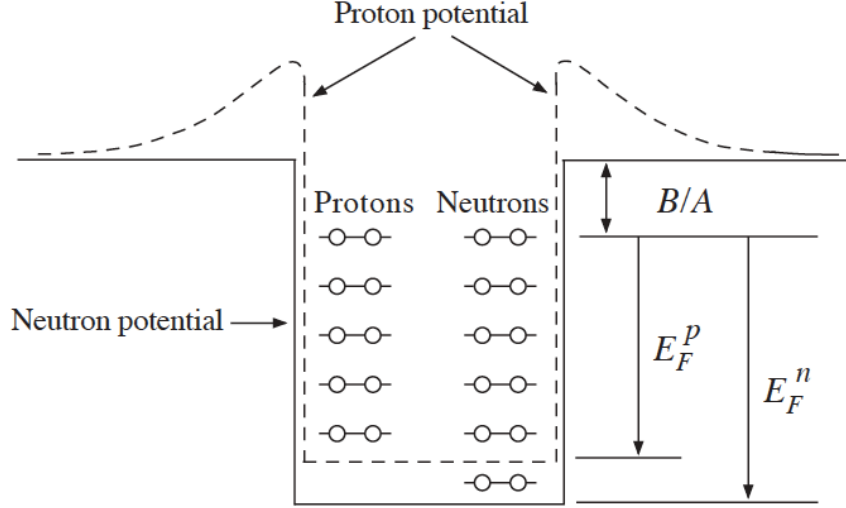


Figure 1.1: The protons and neutrons have independent potentials. The proton potential is the sum of the neutron potential and Coulomb potential. The difference from the top of the potential well and the highest energy level is the average binding energy per nucleon [5].

93

$$V_M = \underbrace{\left[\frac{-V_0}{1 + \exp[(r - R)/a]} \right]}_{\text{Woods-Saxon potential}} + \underbrace{\left[V_{ls}(r) \mathbf{L} \cdot \mathbf{S} \right]}_{\text{Spin-orbit interaction term}}, \quad (1.3)$$

94 where V_0 , a and R are constants; $V_0 \sim 50 \text{ MeV}$, $a \sim 0.5 \text{ fm}$, $R = 1.25A^{1/3} \text{ fm}$ [8].
 95 The \mathbf{L} and \mathbf{S} are orbital and spin angular momentum operators, respectively. The
 96 shape of the IPSM potential and the spin-orbit effects can be seen in Figure 1.2. The
 97 spin-orbit interaction has no effect when $l = 0$, is more attractive interaction when l
 98 and s are parallel and less attractive when l and s are anti-parallel [8, 10, 11]. This
 99 spin-orbit term is the main key to be able to understand the shell structure of the
 100 IPSM. Without the spin-orbit term, the energy states do not depend on the total
 101 angular momentum, defined as $\mathbf{J} = \mathbf{L} + \mathbf{S}$. For a given energy level the number of

states a nucleons can occupy is $2(2l + 1)$ where l is the angular momentum quantum number which only can describe the first 3 magic numbers. Including the spin-orbit term, the energy levels are further split. The number of nucleons which can occupy a given energy level is $(2j + 1)$ where j is the total angular momentum quantum number. This then reproduces all magic numbers (see Figure 1.3). A more detailed discussion can be found in Ref. [5]. In order to understand IPSM predictions, the spectral function which describes the energy and momentum distributions and the occupancy of a given shell are discussed next.

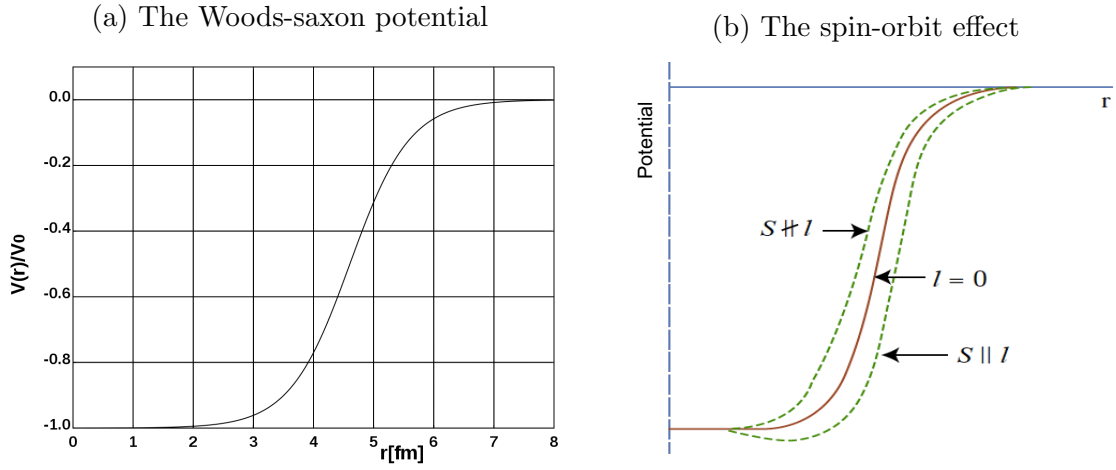


Figure 1.2: The effective potential in the independent particle shell model. Figure (a) [11] is the shape of the Woods-Saxon potential for $A = 50$ as a function of distance. At a distance below 2 fm, the potential is flat which indicates no interaction. Figure (b) [10] shows the spin-orbit effects on the potential. There is no effect when $l = 0$, more attractive when s and l are parallel, and less attractive when s and l are anti-parallel.

109

110 Spectral function and occupancy

111 The nuclear spectral function $S(E, p)$ is defined as the probability of finding a nucleon
 112 with the energy E and initial momentum p inside a nucleus. It is not an experimental
 113 observable but can be extracted from $A(e, e'p)$ cross section measurements [12]. In

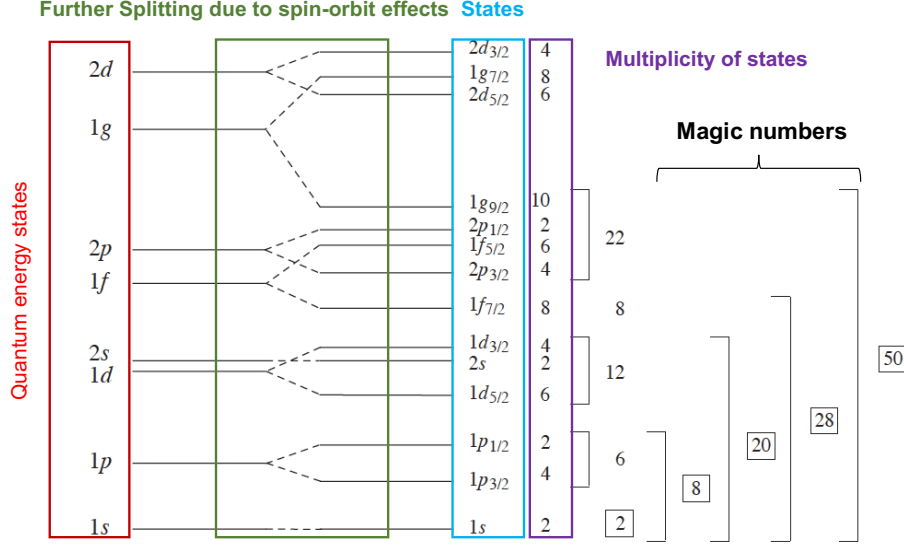


Figure 1.3: The magic numbers can be explained extremely well in the IPSM. The energy level for a given principle quantum number n is split due to angular momentum effects and then further split due to the spin-orbit effects. Each energy state is defined by 3 quantum numbers n, l, j . The number of multiplicity for each states is predict by the IPSM and it reproduces nicely the magic numbers.

the IPSM, the spectral function can be expressed as:

$$S(E, p) = \sum_{\alpha} |\Psi_{\alpha}(p)|^2 \delta(E + \epsilon_{\alpha}), \quad (1.4)$$

where Ψ_{α} and ϵ_{α} are the single-particle wave functions and energies. Figure 1.4 shows the experimental spectral function for nucleus ^9Be [12].

The momentum distribution $n(p)$ can be obtained by integrating the spectral function over energy:

$$n(p) = \int S(E, p) \cdot dE \quad (1.5)$$

In the IPSM, N^{occ} is occupancy of a shell, determined by energy, can be predicted using the spectral function:

$$N^{occ} = 4\pi \int \int^{k_f} p^2 \cdot S(E, p) \cdot dp \cdot dE. \quad (1.6)$$

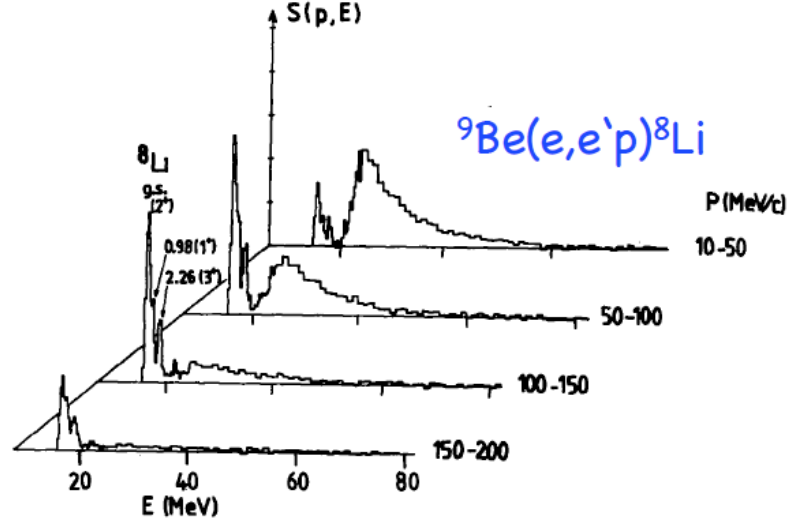


Figure 1.4: The Be spectral function as a function of separation energy E for various momentum ranges [12] where several peaks in energy can be seen. These peaks are identified by knocked out nucleons from different ground state orbitals.

121 In experiment, the occupancy number can be determined by counting the number of
 122 knocked out protons from a given shell through the $A(e, e'p)$ reaction. The comparison
 123 between the prediction and the data can be used to validate the model.

124 The IPSM is not only successful in describing the shell structure of nucleus, it is
 125 also successful to predict properties of nuclei such as spin, parities, magnetic dipole
 126 momentum [5, 8]. However, the IPSM also has its limitations due to the mean-field
 127 approximation. The IPSM's limitations and possible solution for those are presented
 128 below.

129 1.3 Indirect evidence of SRCs

130 The IPSM has been the standard model for nuclear physics since the 1950's. It
 131 motivated a lot of experiments to test the model, and many of them proved its
 132 success. Since the early 1970s, experimental data started showing evidence of the
 133 IPSM's limitation by observation of a clear discrepancy between the data to the model

134 predictions. . In the 1980's a comprehensive program of $A(e, e'p)$ experiments [7],
 135 detecting the knock-out proton along with scattered electrons, were performed at
 136 NIKHEF. Figure 1.5 shows a diagram of $A(e, e'p)$ reaction where initial electron of
 137 energy and momentum (E_0, \mathbf{k}) interacts with nucleus A through exchange a virtual
 138 photon of energy and momentum (ν, \mathbf{q}) . The scattered electron e' carries the energy
 139 and momentum (E, \mathbf{k}') . The transferred energy is given as $\nu = E_0 - E$. The missing
 140 momentum is $\mathbf{p}_{miss} = \mathbf{q} - \mathbf{p}$ with \mathbf{p} the scattered nucleon momentum and $E_m =$
 141 $\nu - T_p - T_{A-1}$ is the missing energy with T_p and T_{A-1} the kinetic energy of the
 knock-out proton and the recoiling nucleus, respectively.

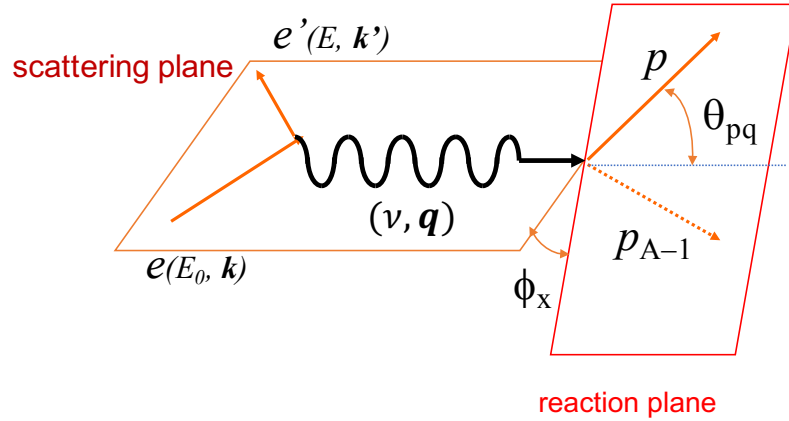


Figure 1.5: The electron scattering for $A(e, e'p)$ reaction.

142

143 The experiments at NIKHEF were designed to focus on the single-particle re-
 144 gion, small missing energy ($E_m < 30$ MeV) and moderate missing momentum ($p_m <$
 145 250 MeV). The $A(e, e'p)$ reaction can be treated in the plane wave impulse approx-
 146 imation (PWIA) in which it is assumed that the virtual photon only interacts with
 147 a single nucleon. It is also assumed that there is no interaction between the struck
 148 nucleon and the recoiling nucleus. The cross section measured for each orbit in dif-
 149 ferent nuclei then is used to extract the momentum distribution. The extracted
 150 momentum distribution can be compared to the prediction from the IPSM. In these
 151 knock-out proton measurements, outgoing protons experience a strong interaction

with the residual nucleus which distorted the momentum distribution. To be able to compare to the experimental data, the DWIA (Distorted Wave Impulse Approximation) is applied on the effective potential of the IPSM for theoretical predictions [7]. The comparison is shown in Figure 1.6. Each plot displays the extracted momentum distribution from valence shell (upper data) and the next deeper shell (lower data) for different nuclei (each shell indicated by quantum numbers n, l, j). The solid curves present the results using DWIA. Normalization factors are used to scale the curve to the data. The shape of the momentum distributions from theory and data agree together almost perfectly but not in absolute strength.

Another result from this program shows that the extracted spectroscopic factor, which is the ratio of the observed strength of a given shell to the predicted strength, is less than 1 for the valence shell (close to the Fermi edge) (see Figure 1.7). For

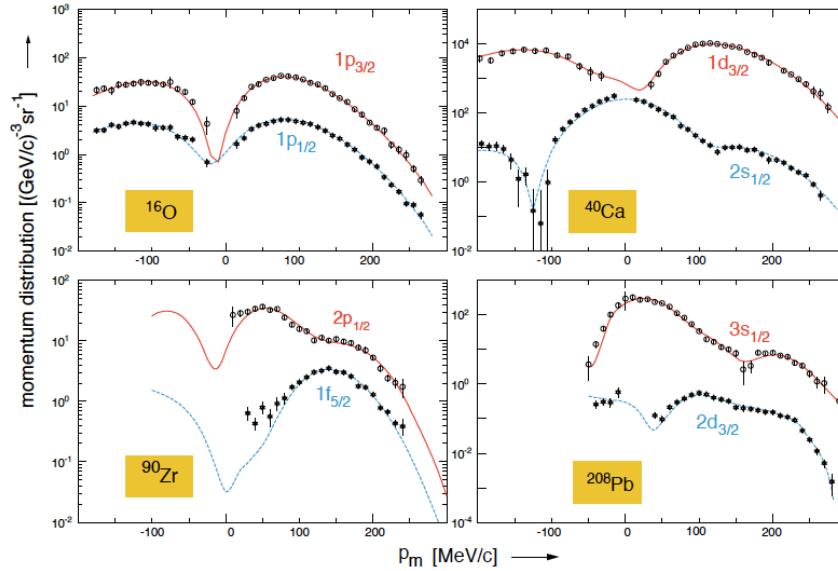


Figure 1.6: The momentum distribution results from the $A(e, e'p)$ experiments at NIKHEF [7, 13] for different nuclei and quantum states as indicated (data points) and compared to the calculation from DWIA (solid curves). In each plot, the upper data is for the valence shell and the lower data is for the next deeper shell. Normalization factors were used to scale the theory curves.

163

164 nuclei with $A > 7$, this spectroscopic factor is almost a constant, $\sim 65\%$, over a large

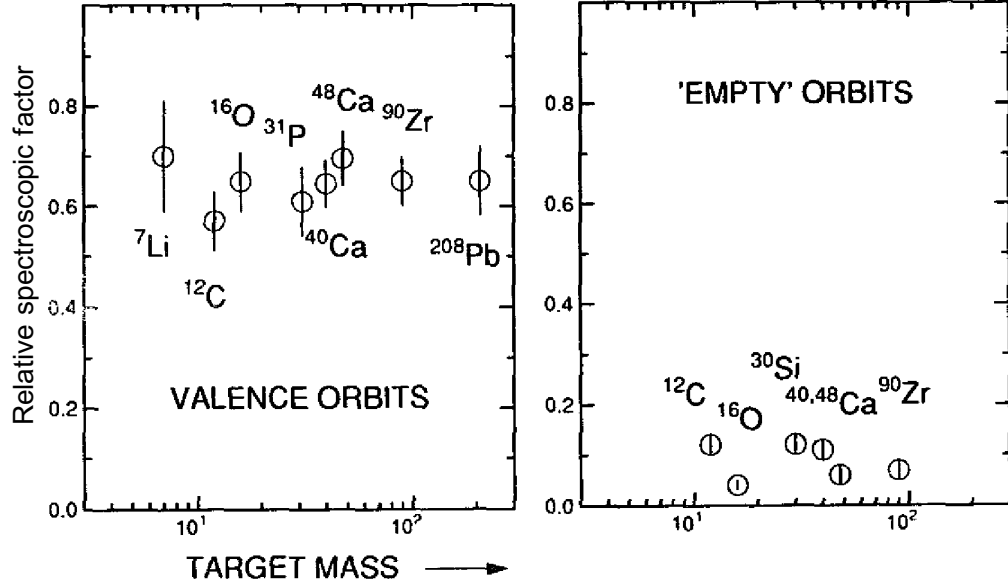


Figure 1.7: Left plot shows the spectroscopic factor for valence shell from $A(e, e'p)$ experiment at NIKHEF as a function of target mass. For nuclei $A > 7$ this spectroscopic factor is almost a constant $\sim 65\%$. Right plot shows a small spectroscopic factor for the shell above but close to valence shell [7].

range of A . When the two body correlations at the distance of several fermi, long-range correlations (LRCs), were included in the calculation, there is still a significant discrepancy from the observed strength to the predicted strength from the IPSM [14]. This is a clear indication that the IPSM overestimates the occupancy of the valence shells. In addition, the experimental data also observed nucleons in the shells above but close to the Fermi edge [7] which is also not allowed in the IPSM. A possible explanation for this significant discrepancy is the presence of two-body short range correlations in nuclei, not included in the IPSM.

The observation of the difference in spectroscopic factors is considered as an indirect evidence of short range correlations. In the following sections, the features of the SRCs are discussed in more detail which helps to provide a better understanding of the limitations of the IPSM.

1.4 The Origin and Features of SRCs

Nucleon-Nucleon potential

As discussed above, the IPSP is extremely successful explaining the shape of the momentums distribution for valence orbitals, not their absolute normalization. The IPSP is a single-body approximation, so a natural approach is to account for the two body correlations, particularly the short range correlations (SRCs). These correlations depend directly on the N-N potential. There are several realistic N-N potentials extracted from proton-proton and proton-neutron scattering data. The AV18 [15], Bonn [16] and Reid93 [17] N-N potentials are shown in the left hand side of Figure 1.8 [18]. The right plot shows two individual components (the tensor part and central part) and the total N-N potential from AV18 for nucleons in the deuteron [19]. A strong repulsive potential at very short distances is a common feature of all N-N potentials. The central component of the N-N potential is the dominant interaction out to a distance of ~ 0.7 fm, where it makes a transition from the attractive to the repulsive, after that the tensor component is the dominant interaction. In addition, without this tensor component there would be no bound nuclei, since the attractive strength from the central part is not strong enough. This is the hint of the SRCs must be deuteron-like in the nucleus.

Momentum distribution

The strong repulsive core and the tensor part the of N-N potential create a strong interaction between nucleons when they are close together, forming SRCs pairs of nucleons in the nucleus. These SRCs pairs have small total momentum (center-of-mass momentum) but high relative momentum (above the Fermi momentum). In other words, these short range correlations can be understood in the way that they move strength away from the bound-states into the continuum energy and momen-

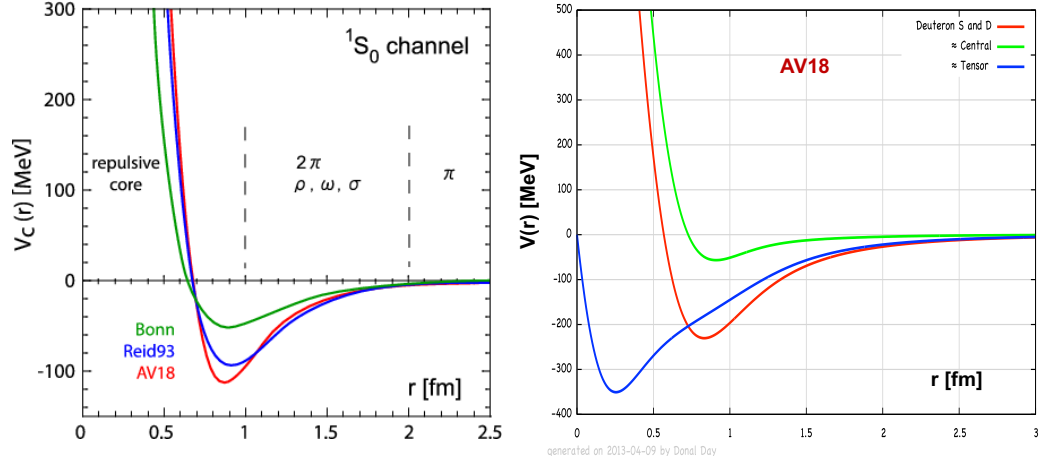


Figure 1.8: Left plot [18] shows the central component of the N-N potential from different groups, indicated in the plots. (Right): two individual components (central and tensor) and the total N-N potential from the AV18 group [19].

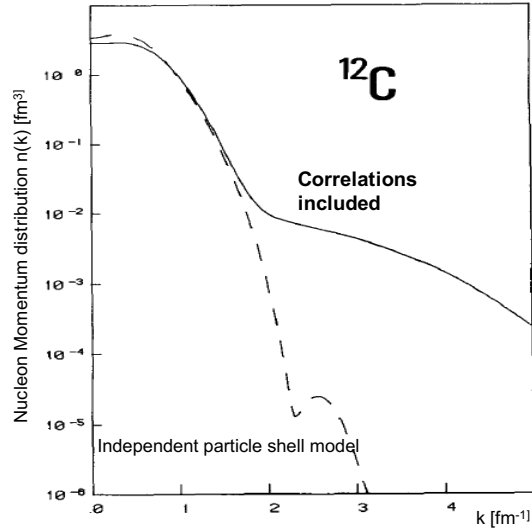


Figure 1.9: ^{12}C momentum distribution comparison, the dashed line and solid line present the mean-field momentum distribution and the one including the SRC correlations in the calculation, respectively [20].

202 tum states which has no strength in the IPSM [14, 20, 22]. This can be seen clearly in
 203 the comparison of mean-field momentum distributions and the realistic momentum
 204 distributions which include the correlations in their calculation (see Figure 1.9) [20].
 205 The ^{12}C mean-field momentum distribution drops rapidly when the momentum ap-

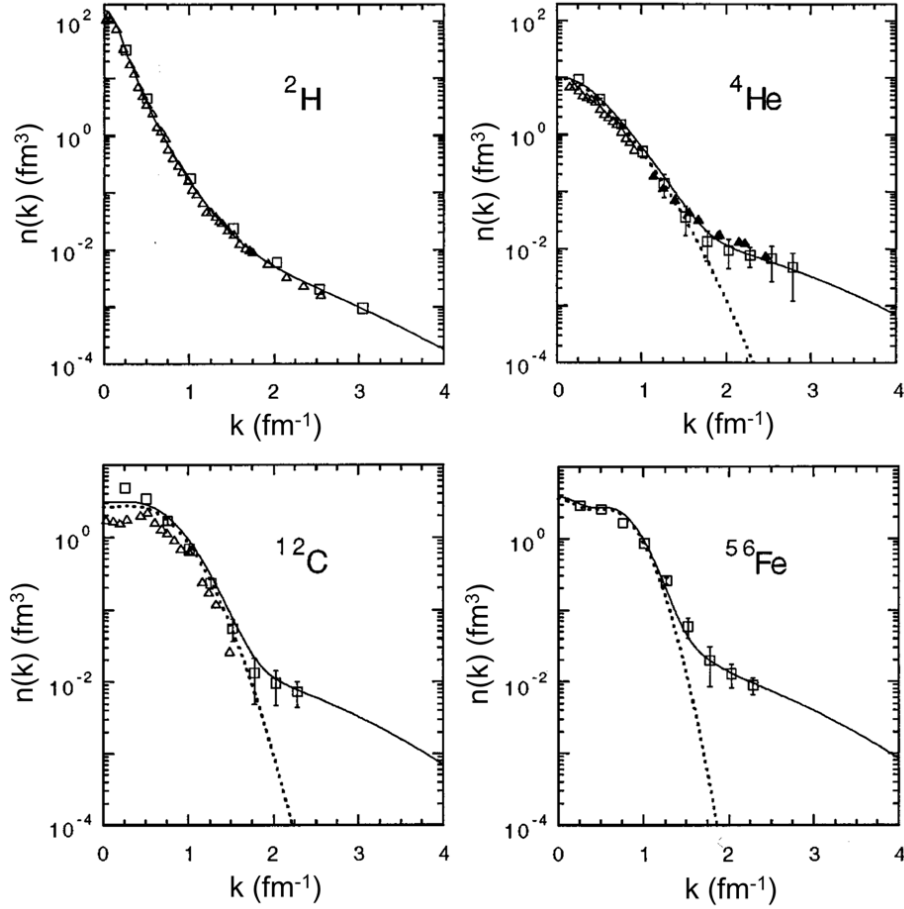


Figure 1.10: Comparison of mean-field momentum distributions (dashed line), a realistic momentum distributions (solid line) which include the SRC correlations to experimental data (symbols) [21].

proaches the Fermi momentum while the realistic distribution drops much slower and has a smooth transition to a high momentum tail. The comparison also clearly shows that the momentum distribution at low momentum is reduced and enhanced at high momentum by including the correlations. In addition, the experimental data (see Figure 1.10) show a very good agreement with realistic momentum distribution [21].

Under the assumption that short range correlations are responsible for the high momentum tail the experimental data is reproduced very well which was not possible within the IPSM. This assumption also suggests that the high momentum tails in different nuclei have a common source, have the same shape, and only differ by a

215 scaling factor. This scaling factor depends on the probability of finding SRC pairs
 216 in different nuclei. The momentum distribution at large k for different nuclei can be
 217 scaled from ^2D [21]. It can be expressed as:

$$n_A(k) = a_2(A) \cdot n_D(k), \quad (1.7)$$

218 where $n_D(k)$ and $n_A(k)$ are the ^2D and nucleus (with atomic number A) momentum
 distribution and $a_2(A)$ is the corresponding scaling factor.

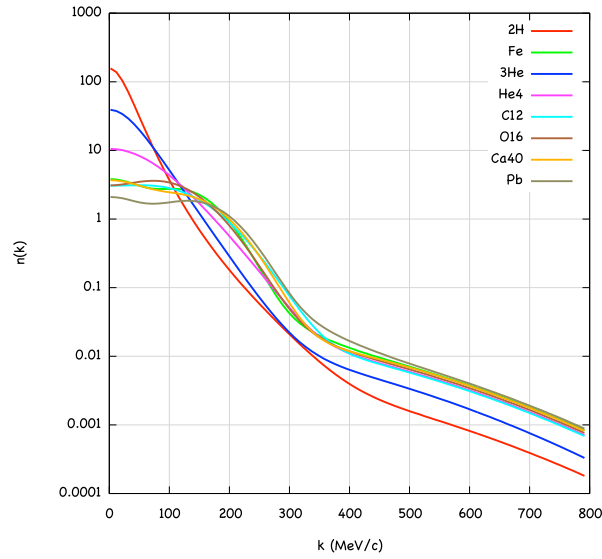


Figure 1.11: The momentum distribution for different nuclei, scaled from the ^2D momentum distribution using different scaling factors [21].

219

220 There are many reactions that can be used to explore SRCs. Inclusive electron
 221 scattering is the simplest one and will be discussed in the next section.

222 1.5 Inclusive Electron Scattering

223 Inclusive electron scattering from nuclei $A(e, e')$, is a process where an electron e
 224 scatters off a nucleus A and only the scattered electron e' is detected. This reaction
 225 gives the opportunity to study different properties of nuclear matter depending on the

kinematic region. Figure 1.12 shows the quasi-elastic diagram of the $A(e, e')$ reaction.

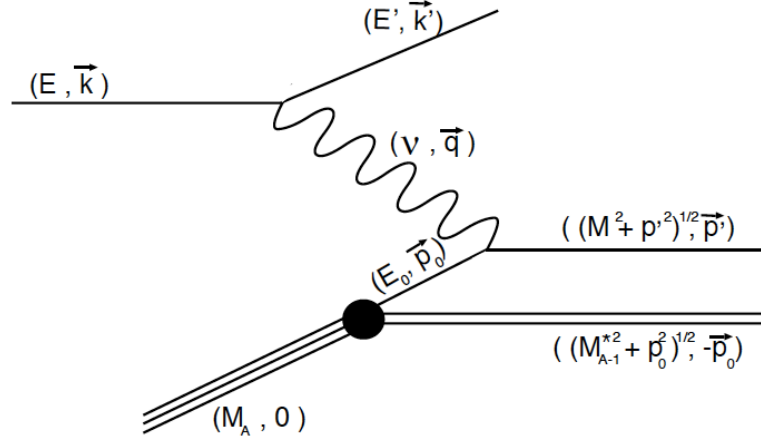


Figure 1.12: Electron-nucleus quasi-elastic scattering diagram for $A(e, e')$ reaction taken from Ref. [23]. M_{A-1}^* is the mass of recoiling $(A - 1)$ system.

226

227 Kinematic variables

228 The electron with energy and momentum (E_0, \vec{k}) interacts with the nucleus A through
 229 exchange of a virtual photon of energy and momentum (ν, \vec{q}) . The scattered electron
 230 e' carries the energy and momentum (E, \vec{k}') . The transfer energy is $\nu = E_0 - E$. The
 231 invariant mass of the undetected final hadronic state, W^2 , and 4-momentum transfer,
 232 Q^2 are defined as:

$$Q^2 = q^2 - \nu^2 \quad \text{and} \quad W^2 = 2m_p\nu + m_p^2 - Q^2. \quad (1.8)$$

233 where m_p is the proton mass. The most commonly used kinematic variable in SRCs
 234 studies is Bjorken $x_{bj} = \frac{Q^2}{2m_p\nu}$. There is another common kinematic variable y , which is
 235 defined later. Q^2 and x_{bj} are used as a tuning knob to access the different kinematic
 236 regions (see Figure 1.13). The spectrum of inclusive electron-nucleus cross section
 237 is shown as a function of transferred energy ν in Figure 1.13. Different kinematic
 238 regions are sensitive to different physics. Elastic scattering is used to study the

239 structure of the nucleon or nucleus: the form factor and charge distribution. Deep
 240 Inelastic Scattering (DIS) is used to study quark distribution functions, and possibly,
 241 their modification inside the nucleus. Quasi-elastic scattering can be used to study
 momentum distributions and nucleon-nucleon SRCs.

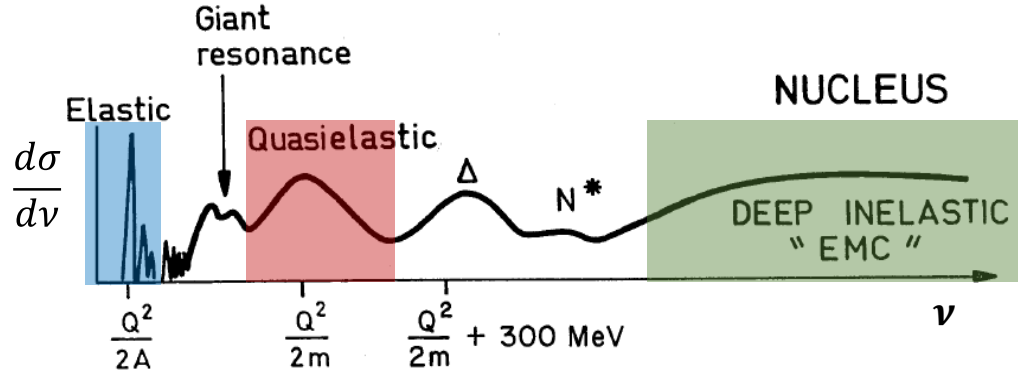


Figure 1.13: The inclusive cross section for electron scattering off a nucleus as a function of transfer energy ν .

242

243 Scaling functions

244 Scaling is a term used to describe a cross-section, a function of 2 independent variables,
 245 which under some conditions can be described as a function of a single variable itself
 246 derived from the same variables, here Q^2 and ν . In inclusive scattering the scaling
 247 function is a very useful way to study a complex system, because the observation
 248 of scaling indicates a simple mechanism or substructure in the system which can be
 249 examined using the scaling function itself. In addition, the violation of the expected
 250 scaling behavior can be used to validate the assumptions in the model that predicts
 251 scaling. For different kinematical regions, there are different scaling functions. In the
 252 following sections we will discuss the quasi-elastic scattering and its scaling function,
 253 $F(y)$.

254 1.5.1 Quasi-elastic scattering

255 Quasi-elastic scattering is defined as a process where a single nucleon is knocked
 256 out from the nucleus by an electron, with the recoiling $(A - 1)$ left in any state, see
 257 Figure 1.12. In electron-nucleus scattering quasi-elastic is the dominant process in the
 258 kinematic region with the energy transfer $0.5 \text{ GeV} < \nu < 2 \text{ GeV}$. The contribution
 259 from inelastic scattering increases with Q^2 [24, 25]. The quasi-elastic peak is located
 260 at $x_{bj} = 1$ but broadened due to the motion of the nucleons inside the nucleus. By
 261 assuming the quasi-elastic model for electron scattering off a single nucleon within the
 262 nucleus to be valid, the peak region can be described in terms of a scaling function
 263 $F(y)$ which will be derived in the next section.

264 Quasi-elastic cross section

265 The quasi-elastic cross section can be calculated using the plane wave impulse approx-
 266 imation (PWIA) [23, 26]. Two main assumptions are used in this approximation: no
 267 final-state interaction between the knock-out nucleon and the recoiling nucleus, and
 268 the photon interacts only with a single moving nucleon. The inclusive cross section
 269 can be obtained using the expression [26, 27]:

$$\begin{aligned} \frac{d^2\sigma}{dE_e d\Omega_{e'}} &= \sum_{i=1}^A \int d\vec{p}_0 \int dE_s \cdot \sigma_{ei} \cdot S_i(p_0, E_s) \\ &\times \delta(\nu + M_A - \sqrt{M^2 + p'^2} - \sqrt{M_{A-1}^{*2} + p_0^2}), \end{aligned} \quad (1.9)$$

270 where \vec{p}_0 and $\vec{p}' = \vec{p}_0 + \vec{q}$ are the initial and the final momentum of the knock-out
 271 nucleon, $E_s = M_{A-1}^* + M - M_A$ is the separation energy. $S_i(p_0, E_s)$ is the spectral
 272 function of the nucleus (i stands for either the proton or the neutron) and σ_{ei} is
 273 the electron-nucleon cross section for scattering from a bound moving nucleon. M_A
 274 and M_{A-1}^* are the nucleus mass and the residual $(A - 1)$ system mass, respectively.
 275 The argument of the δ function is for energy and momentum conservation. The

276 spectral function is spherically symmetric so $d\vec{p}_0 = p_0^2 \cdot dp_0 d(\cos \vartheta) d\varphi$, where ϑ is
 277 angle between \vec{q} and \vec{p}_0 and φ is the angle between electron scattering plane and the
 278 reaction plane. The difference between the spectral function for protons and neutrons
 279 is usually neglected. Summing over A nucleons and integrating over the angle ϑ , the
 280 cross section can be rewritten as:

$$\frac{d^2\sigma}{dE_{e'}d\Omega_{e'}} = 2\pi \int_{E_s^{min}}^{E_s^{max}} \int_{p_0^{min}(E_s)}^{p_0^{max}(E_s)} \bar{\sigma}_0 \cdot S(p_0, E_s) \cdot p_0 \cdot dp_0 \cdot dE_s, \quad (1.10)$$

where $\bar{\sigma}_0 = \sigma_0 \cdot \frac{\sqrt{M^2 + \vec{p}'^2}}{q}$ and σ_0 can be expressed as:

$$\sigma_0 = \frac{1}{2\pi} \sum_{i=1}^A \int_0^{2\pi} \sigma_{ei} d\varphi = \frac{1}{2\pi} \int_0^{2\pi} (Z\sigma_{ep} + N\sigma_{en}) d\varphi.$$

The energy limit E_s^{min} corresponds to the $A-1$ system being in its ground state while
 E_e^{max} corresponds to the struck nucleon being at rest.

$$E_s^{min} = M_{A-1} + M - M_A \quad \text{and} \quad E_s^{max} = \sqrt{(\nu + M_A)^2 - q^2} - M_A$$

281 The limits of the momentum integration p_0^{min} and p_0^{max} are determined by the con-
 282 straint $-1 \leq \cos \vartheta \leq 1$, i.e \vec{p}_0 is parallel to \vec{q} .

283 **Scaling function $F(y)$**

284 The y variable can be obtained from [26]

$$\nu + M_A = \sqrt{M^2 + q^2 + y^2 + 2yq} + \sqrt{M_{A-1}^2 + y^2} \quad (1.11)$$

285 The cross section $\bar{\sigma}_0$ in Equation 1.10 varies extremely slowly with p_0 and E_s while
 286 the spectral function changes rapidly. This means that it is a good approximation to
 287 replace $\bar{\sigma}_0(p_0, E_s)$ by its value at the peak of the spectral function $\tilde{\sigma} = \bar{\sigma}_0(p_0^{min}, E_s^{min})$.

288 The integration limits can be extended to infinity. The rapid decrease of the spectral
 289 function means that the error by extending the integration limits to infinity will
 290 decrease rapidly as Q^2 increases (see Ref. [23]). The cross section can be written as:

$$\frac{d^2\sigma}{dE_{e'}d\Omega_{e'}} = 2\pi\tilde{\sigma} \int_{E_s^{min}}^{\infty} \int_{|y|}^{\infty} S(p_0, E_s) \cdot p_0 \cdot dp_0 \cdot dE_s, \quad (1.12)$$

291 where $|y| = p_0^{min}(E_s^{min})$. The scaling function $F(y)$ is defined as :

$$F(y) = 2\pi \int_{E_s^{min}}^{\infty} \int_{|y|}^{\infty} S(p_0, E_s) \cdot p_0 \cdot dp_0 \cdot dE_s. \quad (1.13)$$

The momentum distribution of a nucleon inside the nucleus $n(p_0)$ is the energy integral over the spectral function.

$$n(p_0) = \int_{E_s^{min}}^{\infty} S(p_0, E_s) \cdot dE_s.$$

292 The scaling function $F(y)$ can be rewritten as:

$$F(y) = 2\pi \int_{|y|}^{\infty} n(p_0) \cdot p_0 dp_0 \quad (1.14)$$

The momentum distribution can be calculated using the $F(y)$ using experssion [28]:

$$n(p_0) = -\frac{1}{2\pi p_0} \cdot \frac{dF(y)}{dy}$$

By using the scaling function $F(y)$, the cross section is separated into two terms (see Equation 1.12). The first term, $\tilde{\sigma}$, represents the interaction process. The second term, $F(y)$, carries the structure of the nucleus, related directly to the momentum distribution of the struck nucleon. The $F(y)$ scaling function can be extracted from

experimental data using the description:

$$F(y) = \frac{d^2\sigma}{dE_{e'}d\Omega_{e'}} \cdot \frac{1}{Z\sigma_{ep} + N\sigma_{en}} \cdot \frac{q}{\sqrt{M^2 + (\vec{p}_0 + \vec{q})^2}}$$

σ_{ep} and σ_{en} are the off-shell elementary electron-proton and electron-neutron cross section [29]. In order to understand this scaling behavior, the extracted cross-section and $F(y)$ for ^3He from SLAC data are shown in Figure 1.14 [30,31]. The cross section for many different kinematic settings collapse into a single line, $F(y)$.

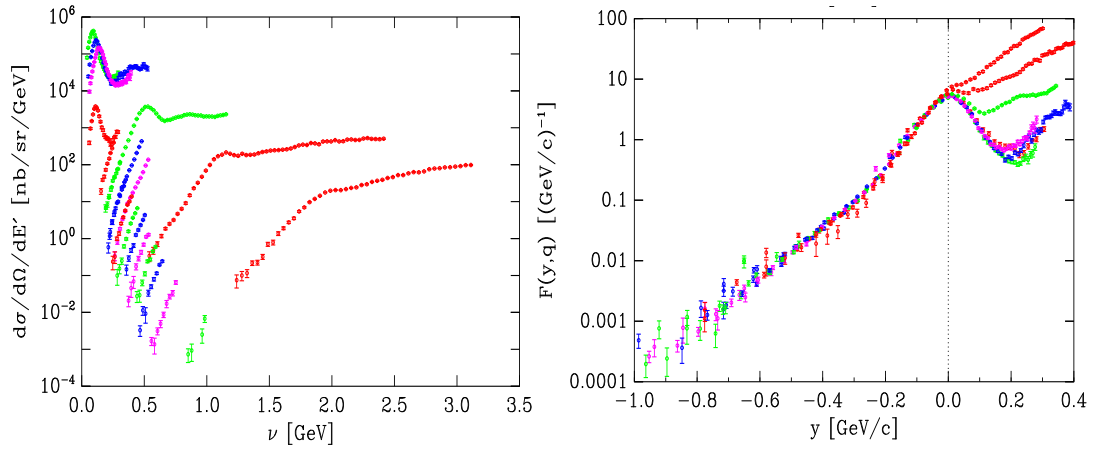


Figure 1.14: The experimental cross section (left) and $F(y)$ (right) for ^3He from SLAC data [30]. The plots courtesy of D. Day. The colored lines cover a range of data with Q^2 from 0.25 GeV^2 to 4 GeV^2 .

296

297 $F(y)$ appears to be scale well in the region of $-0.6 < y < 0$ (independent of Q^2)
 298 which supports the underlying assumption of Quasi-elastic scattering. At low Q^2 the
 299 final state interactions increase would break the scaling. At high Q^2 and large energy
 300 transfer the contribution from inelastic data increases also breaks scaling.

301 1.5.2 Where to find SRCs in Inclusive Scattering

302 As discussed above, the SRCs are responsible for the high momentum tail of the
 303 nucleon momentum distribution. SRCs can be studied via inclusive electron scatter-

ing by working in a special kinematic region where the reaction can only come from an interaction with a correlated high momentum nucleon which is instantaneously removed from the SRC. This requirement can be achieved if the energy and momentum transfer scales are much larger than scale characteristic for SRCs [32, 33]. This condition requires:

$$\nu \gg V_{NN} \quad \text{and} \quad |\vec{q}| \gg 2k_F, \quad (1.15)$$

where V_{NN} is the characteristic potential of the $N - N$ interaction. Another background which needs to be suppressed is the contribution of long range interactions through meson exchange. This can be achieved by required $Q^2 \gg m_{meson}^2$ [34].

In order to fulfill the requirement that the minimal momentum of the struck nucleon, P_{min} must be greater than k_f , a calculation of $P_{min}(y)$ as a function of x_{bj} and Q^2 is shown in Figure 1.15. To access the region where SRCs are dominant, the electrons have to scatter off the nucleon with $x_{bj} > 1.5$ and $Q^2 > 1.5$. The condition of large x_{bj} ($x_{bj} > 1$) kinematically restricts the scattering from a single nucleon and therefore gives access to the multi-nucleon reaction. In addition, requiring that the $x_{bj} > 1$ minimizes inelastic scattering contribution, providing much cleaner data (see Figure 1.16).

Final state interactions (FSI), a severe concern in SRC studies, fall rapidly as the energy and momentum transfer increase because of the decrease of the interaction time (see Ref. [23]). In the kinematical regime of SRCs studies, FSI are not small but it is considered mainly as the interaction between nucleons in the SRC pair and not between the struck nucleon and the residual nucleus. This residual FSI effect is largely cancelled in the ratio of the cross sections. More information about FSI in SRCs can be found in the Refs. [14, 24, 33].

It is worth mentioning that for two nucleon SRCs the specific calculation to determine the kinematic region can be easily performed, but for the 3N or higher SRCs the calculations become much more complicated. The most recent calculation for 3N-

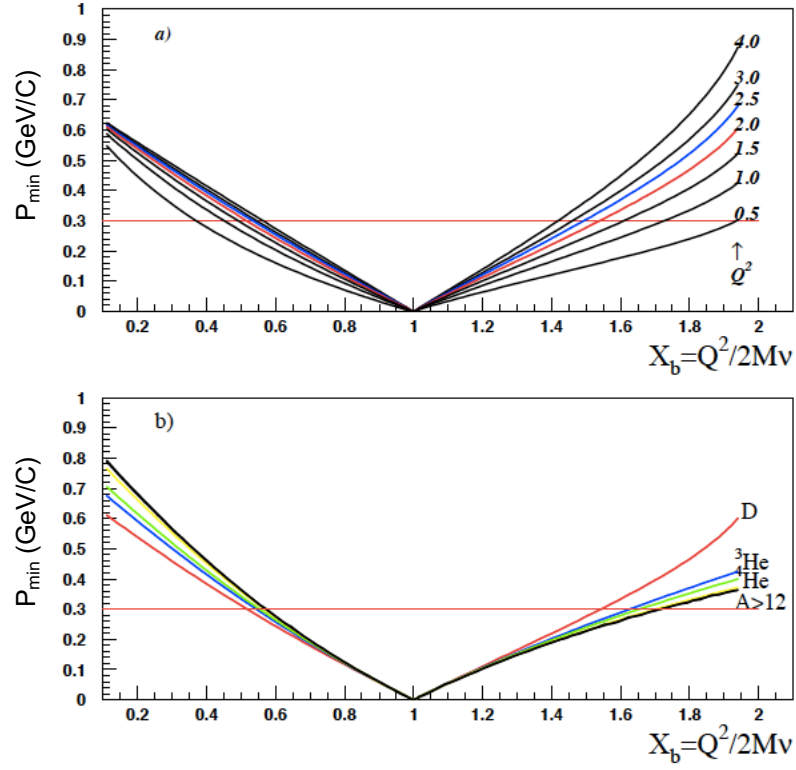


Figure 1.15: The minimum momentum of the struck nucleon is shown as a function of x_{bj} and Q^2 for deuterium (Figure (a)) and for different nuclei (Figure (b)). The red line represents the Fermi momentum [33].

330 SRC kinematic limits was performed by M. Sargsian (see Figure 1.17) [35,36]. In this
 331 calculation the variable α_{3N} , a light cone variable associated with interacting nucleon
 332 belonging to 3N-SRC, is used. The result of this calculation shows that 3N-SRCs are
 333 dominant when $\alpha_{3N} > 1.6$. This means that if we want to observe 3N SRCs in the
 334 region of $x_{bj} > 2$, the minimum $Q^2 = 5 \text{ GeV}^2$ is needed.

335 In the kinematic region where $x_{bj} > 1$ and $Q^2 > 1$ the SRCs are expected to be
 336 dominant, as discussed above, and the inclusive cross section can be approximated
 337 as:

$$\begin{aligned}
 \sigma_A(x, Q^2) &= \sum_{j=2}^A \frac{A}{j} a_j(A) \sigma_j(x, Q^2), \\
 &= \frac{A}{2} \cdot a_2(A) \cdot \sigma_2(x, Q^2) + \frac{A}{3} \cdot a_3(A) \cdot \sigma_3(x, Q^2) + \dots
 \end{aligned}
 \tag{1.16}$$

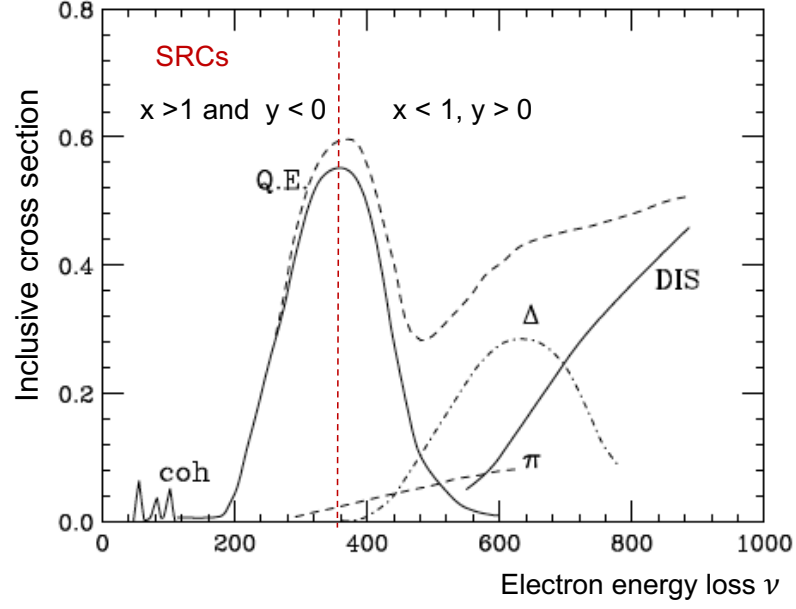


Figure 1.16: Schematic representation of inclusive cross section cross section [24]. The total cross section as well as the individual contributions from Quasi-elastic, resonance, and DIS are presented in the plots as a function of ν in MeV. The region for SRC studies is indicated in plot where the contribution from other reaction are suppressed.

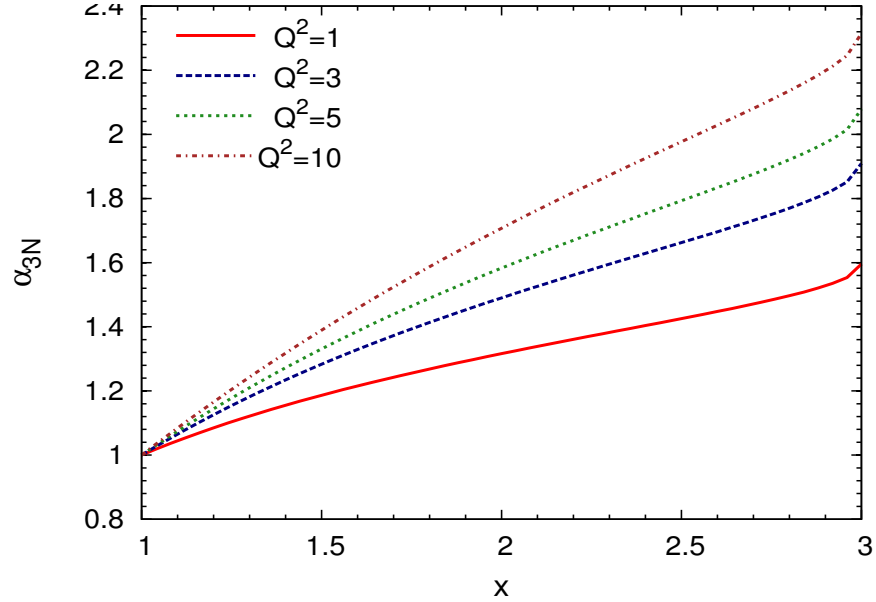


Figure 1.17: The 3N SRCs kinematic calculation as a function of x_{bj} and α_{3N} , a function of x_{bj} and Q^2 [35].

338 The total cross section $\sigma_A(x, Q^2)$ is the sum of cross section from j -nucleon correla-
 339 tions, $\sigma_j(x, Q^2)$. The constant $a_j(A)$ is proportional to the probability of finding a
 340 nucleon in a j -nucleon correlation and decreases rapidly when j is increasing. For
 341 the case, $A = j = 2$, $a_j(A) = a_2(2) = 1$. With this definition $a_2(A)$ is the prob-
 342 ability of finding a 2N-SRCs in a nucleus A relative to ${}^2\text{D}$. Another approximation
 343 is $\sigma_j(x, Q^2) \sim 0$ for $x > j$. This suggests that the 2N-SRCs are dominant in the
 344 region $1 < x < 2$. For $x > 2$, the static 2N-SRC contribution vanishes (the motion
 345 of the 2N-SRCs in nuclear can broaden it a bit above $x = 2$) and the scattering is
 346 dominated by contributions of 3N-SRCs or higher. As discussed in Section 1.4, the
 347 high momentum tail of nucleon momentum distribution from different nuclei have
 348 the same shape and they differ only from each other by a scaling factor since they
 349 all come from a common origin, the short range part of N-N potential. Therefore
 350 the cross section ratio should be a constant in the kinematics where 2N-SRCs are
 351 dominant. The cross section ratio from different nuclei to ${}^2\text{D}$ can be described by:

$$\frac{2}{A} \sigma_A(x, Q^2) / \sigma_D(x, Q^2) = \frac{a_2(A) \cdot \sigma_2(x, Q^2)}{\sigma_2(x, Q^2)} = a_2(A), \quad (1.17)$$

352 where the fraction $\frac{2}{A}$ make this cross section ratio per nucleon. If SRCs dominate we
 353 would expect to see in the per nucleon cross section ratios a plateaus for $1.5 < x_{bj} < 2$
 354 and the height of the plateaus could provide $a_2(A)$. It is a natural choice to move
 355 from 2N to 3N-SRCs by using the ratio of cross section from heavy nuclei to ${}^3\text{He}$ in
 356 the region where 3N-SRCs are dominant. The cross section ratio can be expressed
 357 as:

$$\frac{3}{A} \frac{\sigma_A(x, Q^2)}{\sigma_3(x, Q^2)} = a_3(A)|_{2 < x < 3}, \quad (1.18)$$

358 where $a_3(A)$ is the probability of finding 3N nucleons in nucleus A relative to ${}^3\text{He}$.
 359 The results of experiments that have investigated SRCs in both 2N and 3N region
 360 are summarized in the next chapter.

Chapter 2

SRCs measurements

Experiments have been performed to investigate SRCs at different labs, SLAC (Stanford Linear Accelerator Center), BNL (Brookhaven National Laboratory) and JLab (Jefferson Lab). These experiments used either electron or proton scattering in inclusive or exclusive reactions, $A(e, e')$, $A(e, e'p)$, $A(p, pn)$ etc. Many important results were accumulated and have provided a much better understanding of SRCs. A summary and discussion of these results is presented in this chapter, followed by the motivation for E08014 and an outlook for future SRCs studies.

2.1 Results of Inclusive experiments

The first direct evidence of SRC

As discussed in Section 1.5, electron inclusive scattering is the simplest reaction to study the SRCs. The results from the experiments performed at SLAC are considered as the first direct evidence of SRC [37]. This experiment collected data in the kinematic region, $x_{bj} > 1$ and $Q^2 > 1$, where SRCs are expected to be dominant (see Section 1.5.2). The cross sections included ^2D , ^3He , ^4He , ^{12}C , ^{27}Al , ^{56}Fe and ^{197}Au were used to calculate the cross section ratio $\frac{\sigma_A}{\sigma_D} \frac{2}{A}$. Some of the results can be seen in

Figure 2.1 [37].

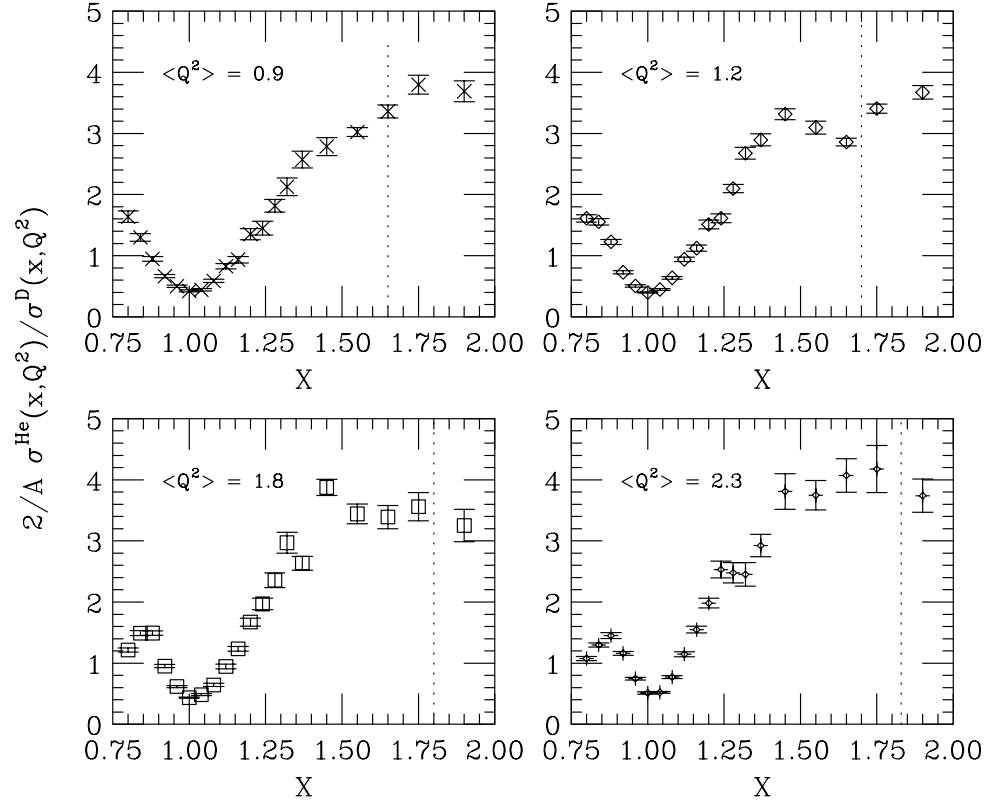


Figure 2.1: The first direct evidence of SRC from the SLAC data [37]. The plots show the cross section ratio per nucleon of ${}^4\text{He}$ to ${}^2\text{D}$ as function of x_{bj} for different Q^2 's.

378

Figure 2.1 shows the cross section ratio from ${}^{56}\text{Fe}$ to ${}^2\text{D}$ as a function of x_{bj} . Scaling (plateaus) was observed in the region $x_{bj} > 1.5$ which indicates the existence of SRC. The plateaus also indicate the scattering took place from the high momentum nucleons (above $k_F \sim 250$ MeV). The scaling factor $a_2(A)$ for different nuclei was obtained using the expression:

$$a_2(A) = \frac{2 \cdot \sigma_A}{A \cdot \sigma_D}$$

where σ_A and σ_D are the cross section from the nucleus A and deuteron, respectively.

The results from SLAC have poor statistics in the region where SRCs are expected

380

381 to be dominant. In addition, the ^2D data was taken at a different kinematics compared
 382 to the other nuclei which makes the extraction of $a_2(A)$ non trivial.

383 Results from JLab inclusive measurements

384 The results from Hall B and Hall C inclusive measurements at JLab are summarized
 385 here.

386 Hall B

387 One set of data was taken at Hall B at JLab using the CEBAF Large Acceptance
 388 Spectrometer (CLAS) in the kinematic range of $1 < x_{bj} < 2$ and $1.4 < Q^2 < 2.6 \text{ GeV}^2$
 389 for 2N-SRCs studies. This measurement can be used to compare to SLAC results,
 390 described earlier. Data with $x_{bj} > 2$ also collected, aiming to study 3N-SRCs [38,39].
 391 For the first time, the cross section ratio of ^4He , ^{12}C and ^{56}Fe to ^3He ($R_{^3\text{He}}^A = \frac{3\sigma_A}{A\sigma_{^3\text{He}}}$)
 392 were measured under identical kinematic condition. The ratio is shown in Figure 2.2
 393 and it shows scaling (a plateaus) in the region $1 < x_{bj} < 2$ for $Q^2 > 1.4$, corresponding
 394 to scattering from high momentum nucleons. The observation is consistent with
 395 the expectation from theory calculation [38] and with the observed scaling in the
 396 kinematical region from the SLAC experiment [37]. This scaling behavior seems to
 397 be independent of x_{bj} and Q^2 in the region where 2N-SRCs dominate ($x_{bj} > 1.5$ and
 398 $Q^2 > 1.4$), see Figure 2.2.

399 Using the above ratio $R_{^3\text{He}}^A$, the probability of finding SRCs in nuclei A relative
 400 to ^3He can be obtained using the expression [38,40]:

$$r(A, ^3\text{He}) = R_{^3\text{He}}^A \times \frac{A(2\sigma_p + \sigma_n)}{3(Z\sigma_p + N\sigma_n)} \quad (2.1)$$

401 In order to compare to the SLAC results, the per-nucleon probability of finding

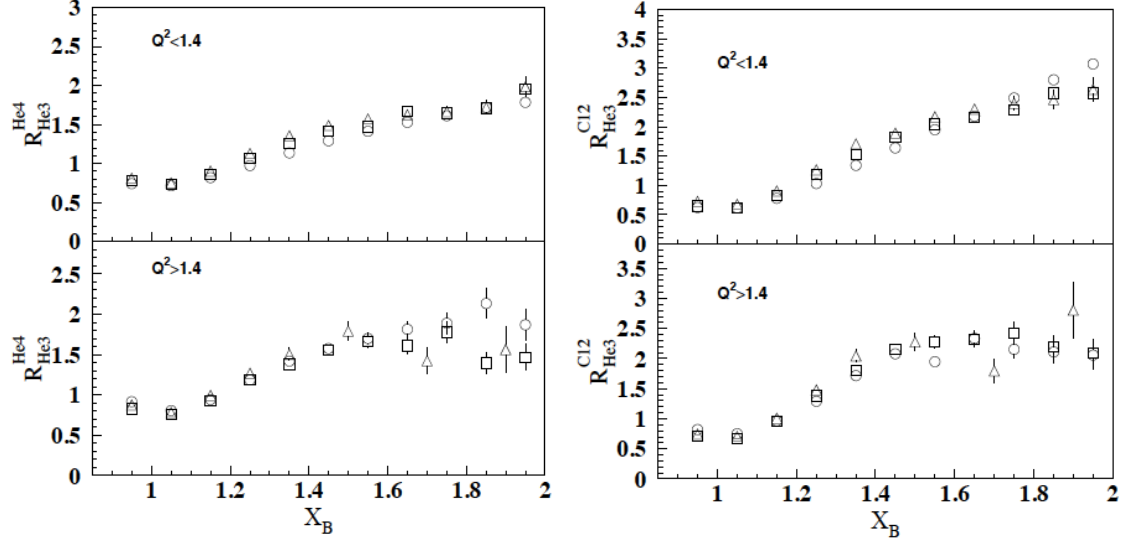


Figure 2.2: The cross section ratio per nucleon of ${}^4\text{He}$ (left plots) and ${}^{12}\text{C}$ (right plot) to ${}^3\text{He}$. The top plots show the ratio for $Q^2 < 1.4$ without an observation for scaling. The bottom plots show ratio for $Q^2 > 1.4$ with scaling in the region $1 < x_{bj} < 2$ [38].

402 SRCs in nuclei A relative to ${}^2\text{D}$, $a_2(A)$, has to be calculated using the relationship:

$$r(A, {}^3\text{He}) \sim a_2(A)/a_2(3) \rightarrow a_2(A) = r(A, {}^3\text{He}) \times a_2(3), \quad (2.2)$$

403 where $a_2(3)$ is the per-nucleon probability of finding SRCs in ${}^3\text{He}$ relative to ${}^2\text{D}$. The
 404 value for $a_2(3)$ was obtained experimentally ($a_2(3) = 1.7 \pm 0.3$ [37]) and using wave
 405 function calculations ($a_2(3) = 2 \pm 0.1$) [39]. A weighted average value of $a_2(3) =$
 406 1.97 ± 0.1 was taken. The details can be found in Ref. [38]. The results, $a_2(A)$, are
 407 consistent with the results from SLAC [37].

408 The ratio $r(A, {}^3\text{He})$ as a function of x_{bj} for ${}^4\text{He}$, ${}^{12}\text{C}$ and ${}^{56}\text{Fe}$ is presented in Figure
 409 2.3 [39]. The results showed a second plateaus in the region of $2.2 < x_{bj} < 2.8$. At
 410 the time of publication, this was claimed as the first evidence for 3N-SRCs. But the
 411 data has its limitations. It was assumed that the 3N-SRCs scaling starts at the same
 412 Q^2 as 2N-SRCs. These second plateaus included data averaged over the range of Q^2
 413 and the statistics were dominated by the lowest Q^2 data. It is difficult to confirm

that this measurement has reached the region where 3N-SRCs dominate. A detailed discussion of the results can be found in Ref. [41].

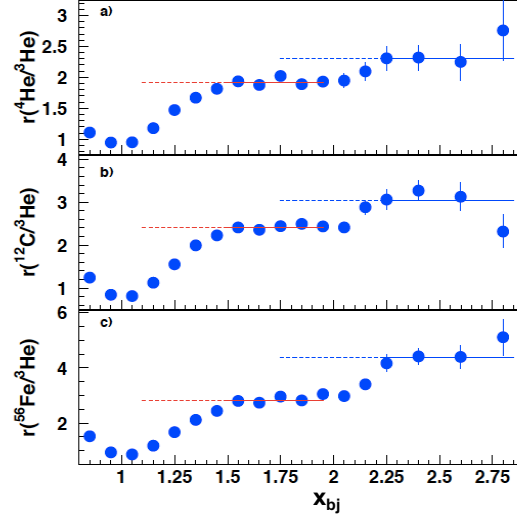


Figure 2.3: The ratio of cross section from different nuclei to ${}^3\text{He}$ shows 2 scaling regions. The scaling in the range of $1 < x_{bj} < 2$ was claimed as results of 2N SRCs, while second scaling $x_{bj} > 2$ was assumed to be the indication of 3N SRCs [39].

Hall C

Along with the data from CLAS, there is also precise data from Hall C. The experiment E02-019 [25, 42] performed at JLab's Hall C took data with high precision for 2N and 3N-SRCs studies. Information about the experimental setup as well as the results can be found in the Ref. [25, 42]. For 2N SRCs studies in the region $1 < x_{bj} < 2$, the results are in good agreement to the one from Hall B as well as the SLAC data (see Figure 2.4). A detailed comparison is presented in the Ref. [42].

This experiment also provided a second data set for 3N SRCs in addition to the Hall B data using the ${}^4\text{He}/{}^3\text{He}$ cross section ratio per nucleon (see Figure 2.5). The Q^2 values from the two data sets are different, $Q^2 \sim 2.9$ in E02-019 and $Q^2 \sim 1.6 \text{ GeV}^2$ for CLAS. The comparison shows a good agreement in the 2N-SRCs region but the E02-019 data is significant higher compared to the CLAS data in the region of $x_{bj} > 2$. This

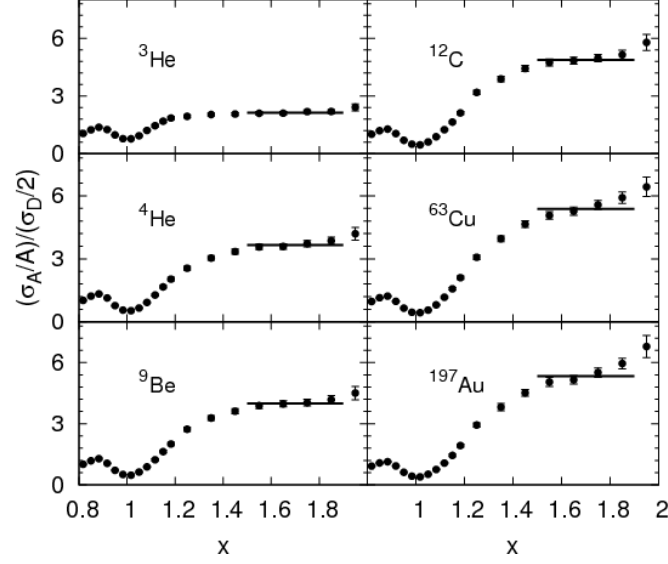


Figure 2.4: The cross section ratio per nucleon for different nuclei to ^2D from the E02-019, Hall C at JLab. A clear scaling in the region of 2N SRCs was observed and is in good agreement with the SLAC data [42].

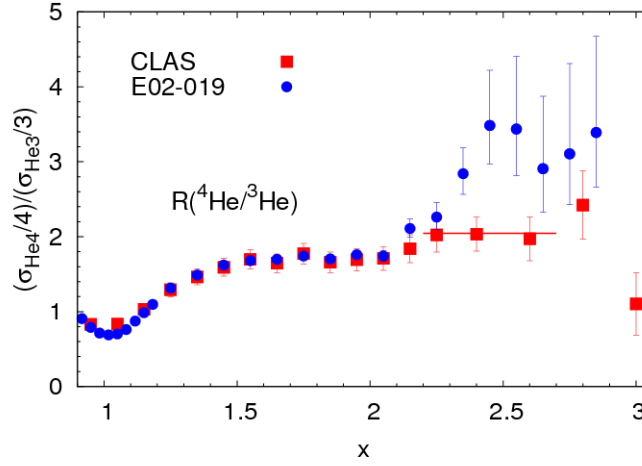


Figure 2.5: The comparison of the cross section ratio $R(^4\text{He}/^3\text{He})$ per nucleon between CLAS [39] data and E02-019 data [42].

428 suggested a Q^2 dependence of 3N-SRCs and more studies are necessary to determine
 429 the kinematic region where the signal can be isolated.

2.2 Results of Coincident Experiments

The observed plateaus in the inclusive data is a strong evidence that the high momentum tail in different nuclei has a universal behavior. An exclusive experiment where the scattered electron, scattered proton and recoil nucleon are detected can provide the confirmation that the observed plateaus in the inclusive scattering ratios are due to SRCs. In addition, it can provide more information about the nucleons involved in SRCs. The triple coincident experiment E01-015 was performed in Hall A at JLab to measure the SRC pair directly. The experimental setup is shown in Figure 2.6. ^{12}C was used as the target and data was taken at $x_{bj} = 1.2$, $Q^2 = 2 \text{ GeV}$ and missing momentum $P_m > 300 \text{ MeV}$. The scattered electron and proton was detected using the high resolution spectrometers (LHRS and RHRS) of Hall A at JLab. The BigBite and HAND detector packages were installed to detect the recoiling proton and neutron of the pp or pn SRC pair, respectively. There are several important results from this experiment.

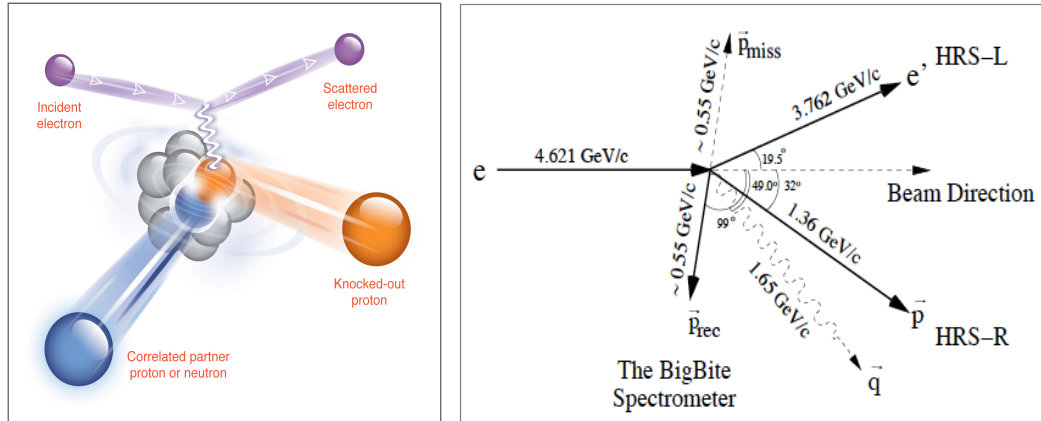


Figure 2.6: The triple coincident experiment E01-015 set up information. The scattering electron, scattered proton and recoiled nucleon were detected in this experiment (left plot). The right plot presents the kinematical settings [43, 44].

The first result is shown in Figure 2.7. It shows the distribution of the cosine of the angle between the missing momentum \mathbf{p}_{miss} and recoil momentum \mathbf{p}_{rec} for the $p_{miss} =$

0.55 GeV kinematics. The data showed that most of the time a scattered proton with high momentum was detected, a recoil proton or neutron was also detected with similar momentum in almost the opposite direction. This indicates that the high momentum nucleons come from correlated pairs with small total momentum and high relative momentum (a SRCs pair). Because of this small total momentum, the recoiling nucleons come out with a large angle, nearly in the opposite direction with respect to the scattered proton direction.

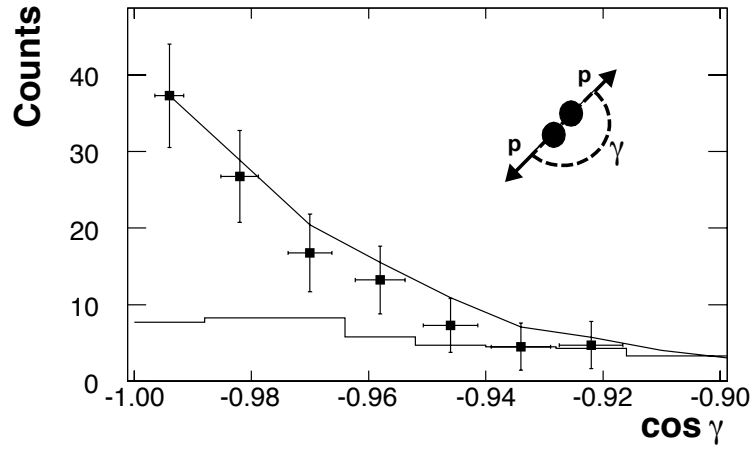


Figure 2.7: The $\cos(\mathbf{p}_{miss}\mathbf{p}_{rec})$ distribution shown as points [43]. The histogram presents the events distribution from random event selection and the curve is the expectation based on simulation.

452

453 The second important result from the triple E01-015 is the evidence of the isospin
454 dependence of SRCs.

455 Isospin dependence of SRC

456 In the simple model, SRCs are assumed to be isospin-independent, with equal con-
457 tributions from different types of correlated pairs (pp, nn, np). E01-015 provided the
458 ratio of $(e,e'pp)/(e,e'p)$ and $(e,e'pn)/(e,e'p)$ since for every event $(e,e'p)$, the recoil
459 proton or neutron is also detected. The results show that most of the $(e,e'p)$ events
460 $(96\% \pm 22\%)$ with a $p_{miss} > 300$ MeV have a recoil neutron [44]. The SRC measure-

ments show that SRC contribute to 20% of the ground state wave function while 90% of them come from np pairs (see Figure 2.8). A consistent result was obtained from an independent experiment performed at BNL using a proton beam to measure the $(p,2pn)/(p,2p)$ ratio. Results of that experiment showed that $92\% \pm 18\%$ of the events $(p,2p)$ with a $p_{miss} > 275$ MeV were detected with a correlated recoiled neutron, see Figure 2.8 (left) [45].

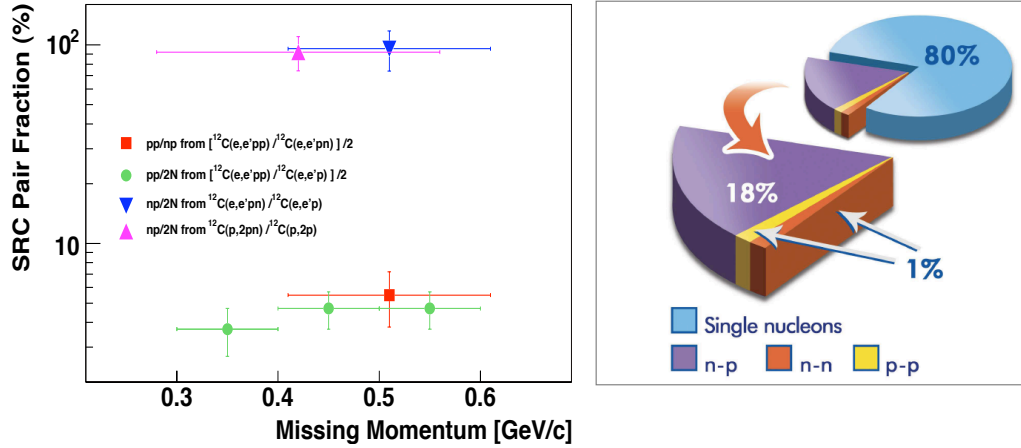


Figure 2.8: Left plot: the fraction of correlated pair combination in ^{12}C as obtained from the $(e,e'pp)$ and $(e,e'pn)$ reaction [44], as well as from the $(p,2pn)$ data [45]. Right plot: the average fraction of nucleons in the various initial configuration of ^{12}C [44].

466

467 This is a strong evidence for the isospin dependence of SRCs. This dependence has
 468 been explained by several theoretical calculations. Figure 2.9 shows the momentum
 469 distribution along with contribution of np pairs (solid line) and the pp pairs (dash
 470 line) for different nuclei from calculations [46]. These calculations clearly show that
 471 in the region where SRCs are dominant, the contribution from np pairs is much larger
 472 when compared to the one from pp pairs.

473 The isospin-dependent results motivated many other experiments to seek a better
 474 understanding. Some of them will be presented in the Section 2.4.

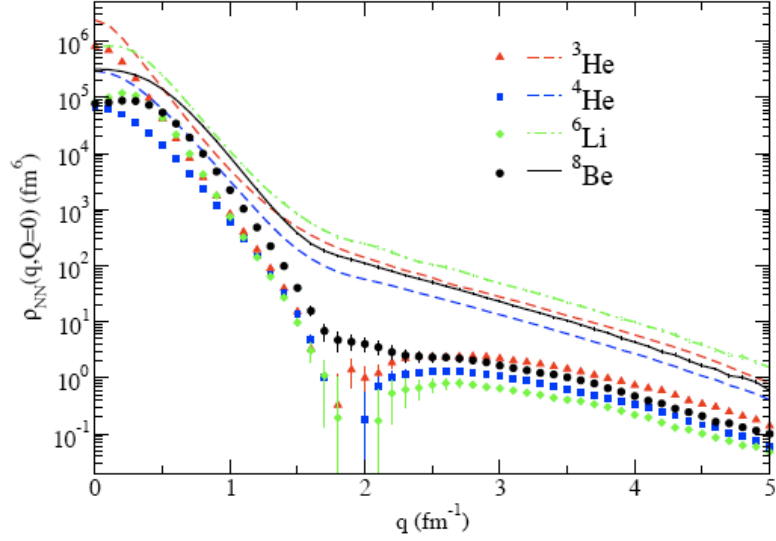


Figure 2.9: The nucleon momentum distribution calculated for different nuclei, indicated in the plots [46]. np contributions are shown by the solid line and pp contributions are shown by dashed line. The np pairs have a much larger contribution compared to pp pairs in the region where SRC expected to dominate.

475 Momentum sharing in imbalanced Fermi system

476 After isospin-dependence was revealed in triple coincident experiment using ^{12}C , an-
 477 other coincident measurement was done in Hall B at JLab using the CLAS detector on
 478 different nuclei ^{12}C , ^{27}Al , ^{56}Fe and ^{208}Pb . This experiment detected (e,e'pN) events
 479 with kinematics chosen to ensure that the knock-out proton belonged to the SRC pairs
 480 ($Q^2 > 1.5 \text{ GeV}^2$ and $x_{bj} > 1.2$) and missing momentum $300 < p_m < 600 \text{ MeV}$. This
 481 was the first attempt to investigate the isospin-dependence of nuclei with $A > 12$.
 482 The dominance of np SRC pairs over pp SRC pairs appear to be a universal property
 483 for every measured nuclei (see Figure 2.10) [47]. With np dominance, the number
 484 of protons and neutrons having high momentum are the same. So in neutron-rich
 485 nuclei the fraction of neutrons with high momentum is smaller than the fraction of
 486 protons which gives the protons a higher average momentum compared to neutrons.
 487 This result is in opposition to what is expected from the Pauli principle. Without the
 488 SRC interaction the Pauli principle will push the majority nucleons to higher aver-

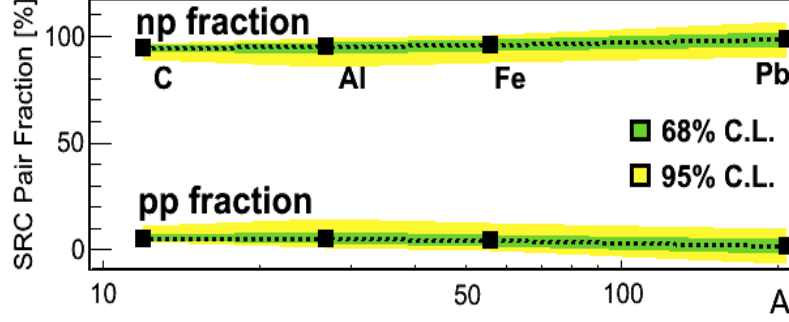


Figure 2.10: The evidence of the isospin-dependence for heavy nuclei $A > 12$. The plots show the fraction of np and pp SRCs pair. The yellow and green bands show the confident levels. The np SRCs pair fraction is dominant over pp SRCs pair in all measured nuclei, Ref [47].

age momentum. This would imply that in neutron-rich nuclei the majority nucleon, neutron, would have higher average momentum.

For light nuclei ($A < 12$) the average kinetic energy of a proton and neutron can be calculated by integrating the single-nucleon momentum distribution over the range of momenta.

$$\langle T_{p(n)} \rangle = \int n_{p(n)}(k) \cdot \frac{k^2}{2m} \cdot d^3\vec{k}, \quad (2.3)$$

where $\langle T_{p(n)} \rangle$ is the average kinetic energy of the proton (neutron). $n_{p(n)}(k)$ is the proton (neutron) momentum distribution obtained by many-body Variational Monte Carlo calculations (VMC) which use AV18 potential and Urbana-X potential for 2N and 3N interactions, respectively. The $n_{p(n)}(k)$ distribution needs to be normalized to 1.

$$\int n_{p(n)}(k) \cdot d^3\vec{k} = 1 \quad (2.4)$$

The results of this calculation, presented in Ref. [9], showed that the average kinetic energy of the minority nucleons is larger than the one from the majority nucleons. This is consistent with the expectation of np pair dominance in the high momentum tail. But this calculation is only available for light nuclei. In order to explain the np-dominance observed in heavy nuclei, an effective momentum distribution (con-

504 tact formalism) is used in calculations. The basic idea of this effective momentum distribution can be understood using a toy model, described in Figure 2.11.

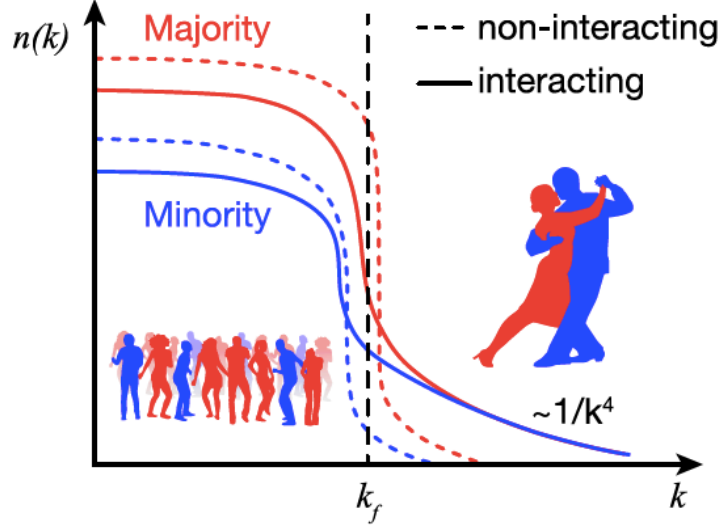


Figure 2.11: The momentum distribution in an imbalanced Fermi system. Without SRC interaction (dashed line), the majority nucleon has a higher average momentum. Including the SRCs interaction (solid line) high momentum tail is created. The same number of majority and minority nucleons have high momentum which leaves a larger fraction of majority nucleon at the low momentum states [47].

505

506 The solid lines and dashed lines represent nucleon momentum distribution with
 507 and without SRC interactions, respectively. The interacting nucleon momentum dis-
 508 tribution has the same number of minority and majority nucleon which leads to a
 509 larger fraction of majority nucleons in low momentum states. With this assumption,
 510 the momentum distribution $n_{p(n)}(k)$ of protons and neutrons in heavy nuclei can be
 511 expressed as follows:

$$n_{p(n)}(k) = \begin{cases} \eta \cdot n_{p(n)}^{\text{mean-field}}(k) & k < k_0 \\ \frac{A}{2Z(N)} \cdot a_2(A) \cdot n_d(k) & k > k_0, \end{cases} \quad (2.5)$$

512 where $n_{p(n)}^{\text{mean-field}}$ is the mean-field momentum distribution of the proton (neutron) in
 513 the nucleus A with Z protons and N neutrons. The scaling factor $a_2(A)$ is the ratio

of nuclei A cross section to ${}^2\text{D}$ in the 2N SRC region extracted from data, and the $n_d(k)$ is ${}^2\text{D}$ the momentum distribution.

Integrating the above momentum distributions over the momentum range, the average kinetic energy of a proton and neutron in different nuclei can be obtained. The detailed calculation can be found in Ref. [9]. The summary of the results are shown in Figure 2.12.

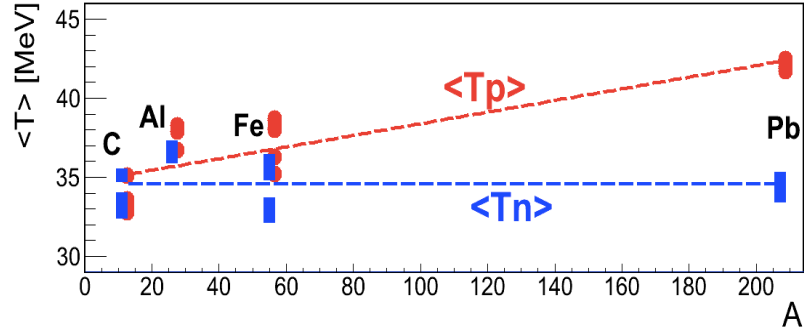


Figure 2.12: The average kinetic energy of proton and neutron calculations in different nuclei using the momentum distribution in the Equation 2.5.

519

The results showed that by including the np-dominance in the calculation the average kinetic energy of protons is larger than that of neutrons for heavy nuclei. This is consistent with the np-dominance observed in different heavy nuclei.

523 2.3 SRCs and The EMC effect

524 The EMC effect

Whether the quark structure in a bound nucleon and a free nucleon is the same is another outstanding question in nuclear physics. The general expectation is that they are the same. In the 1980s, Deep Inelastic scattering (DIS) measurements were performed at CERN to extract the DIS structure function F_2 for both heavy nuclei (Fe, Cu) and ${}^2\text{D}$. The experimental data shows that the deep inelastic structure

function F_2 for heavy nuclei was not the same as for ^2D [48]. In other words, the ratio of F_2^A/F_2^D is not equal to 1 as expected in the region $0.3 < x_{bj} < 0.7$, where the Fermi motion can be ignored. It is noteworthy that the binding energy is extremely small (few MeV) compared to the transferred energy (several GeV) in this experiment and therefore no noticeable effect was expected. In Figure 2.13, the ratio F_2^{Fe}/F_2^D is shown for data along with theoretical prediction [48]. The observed difference to the expectation is referred as the EMC (European Muon Collaboration) effect. This suggests that a bound nucleon inside nucleus behaves differently from a free nucleon.

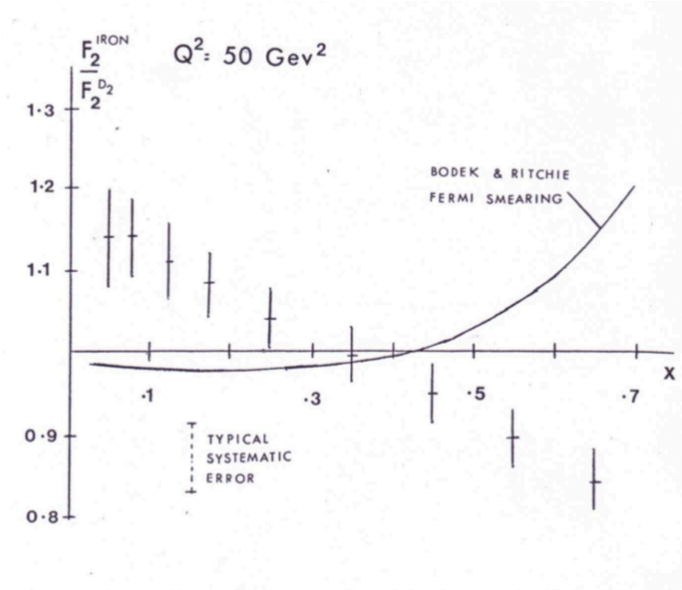


Figure 2.13: The DIS structure function F_2 ratio, F_2^{Fe}/F_2^D , from data at CERN, and the theoretical expectation [48].

538

After the first observation, there were more experiments conducted to study this effect in different nuclei [49, 50]. The results can be seen in Figure 2.14 where the DIS cross sections ratio per nucleon (R) for different nuclei to the ^2D is plotted as a function of x_{bj} for the SLAC data (left plots) [49] and JLab data (right plots) [50]. The EMC effect appears to be universal in different nuclei.

The strength of the EMC effect is taken as the slope of the ratio R (dR_{EMC}/dx)

544

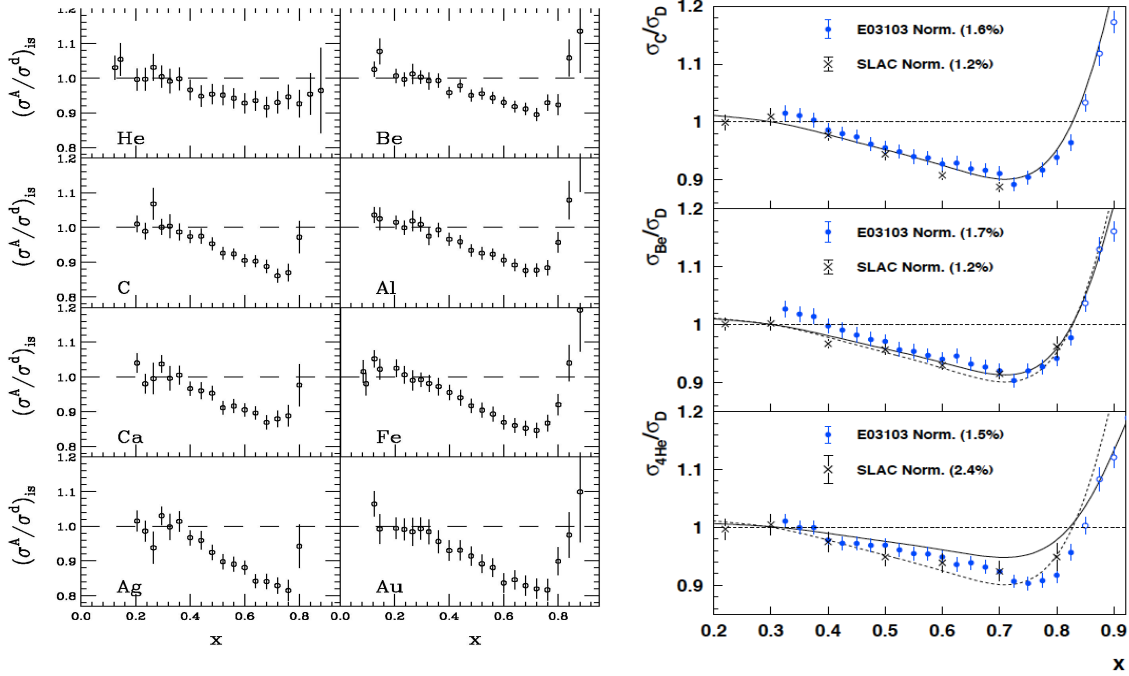


Figure 2.14: The DIS cross section ratio per nucleon for different nuclei to the ${}^2\text{D}$. The left plots present SLAC data [49] while the right plots present JLab data [50]. The results show the EMC effect is universal in different nuclei..

in the region $0.3 < x_{bj} < 0.7$ [50]. There are many phenomenological explanations for the EMC effect but no general accepted solution so far. The next section will discuss about a possible explanation of the EMC effect related to SRCs.

Possible connection between SRCs and the EMC effect

As discussed above, the EMC effect was observed in DIS measurement in the region $0.3 < x_{bj} < 0.7$ and $Q^2 > 2$ which it is sensitive to the nuclear quark distributions. The nuclear scaling was observed in the quasi-elastic region $x_{bj} > 1.5$ and $Q^2 > 1.5$ which is sensitive to SRCs. While the EMC effect is thought to be related to the medium modification, the SRCs are related to the high momentum tail in the wave function. They seem to be independent effects. But both of them are related to the local density (see Refs. [51–53]). A quantitative comparison of the strength of the EMC effect and SRC scaling factors for different nuclei was performed in Refs. [51,53]

and the results are shown in Figure 2.15.

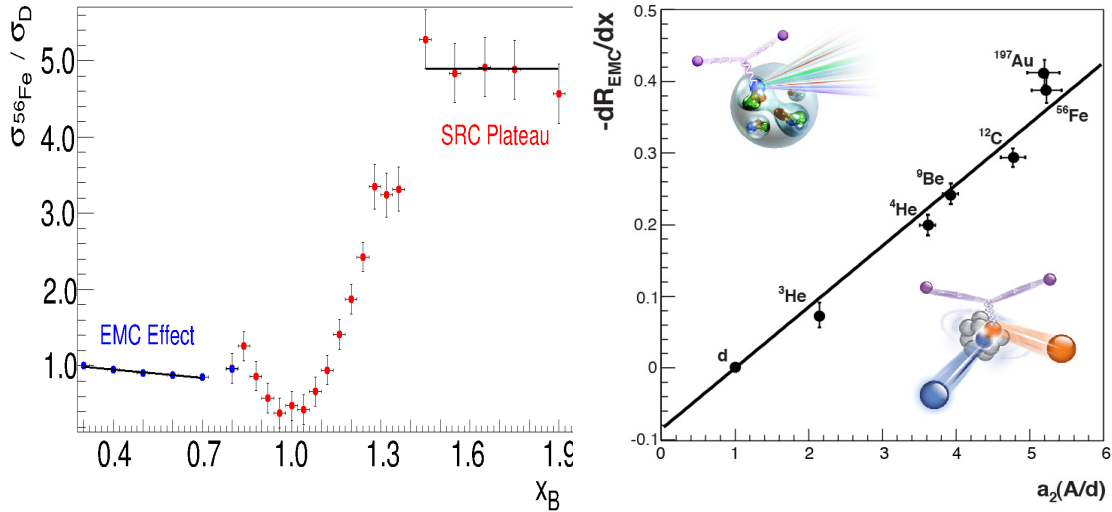


Figure 2.15: A quantitative comparison of the EMC strength and SRC scaling factors for different nuclei. The left plot shows the cross section ratio per nucleon for Fe to ^2D as function of x_{bj} . The EMC effect and SRC scaling both is visible. The right plot shows the correlation between the EMC strength and the SRC scaling over a range of nuclei.

557

558 A strong linear correlation between the EMC strength, dR_{EMC}/dx , and the SRC
 559 scaling factors, $a_2(A)$, suggested that they are related. This correlation is the first
 560 experimental clue about a common cause of the EMC effects and SRCs
 561 The study of SRCs is still on going to improve our knowledge of the nuclear structure
 562 at short distances. The next section will discuss the motivation for E08-014.

563 2.4 Motivations of Experiment E08-014

564 The E08-014 was proposed and approved in 2008. This experiment collected data
 565 in the kinematic region $1.3 < Q^2 < 2.2$ and for both $x_{bj} > 1$ and $x_{bj} > 2$. A more
 566 detailed information about the experimental setup as well as the kinematical setting
 567 will be presented in Chapter 3. This experiment was ran in Hall A at JLab in 2011
 568 and it focus on two main goals which are 1) the study of 3N-SRCs in the region

569 $x_{bj} > 2$ using the cross section ratio $^4\text{He}/^3\text{He}$ and 2) the isospin-dependence for 2N-
 570 SRCs in region $1 < x_{bj} < 2$ using the ratio of cross section $^{48}\text{Ca}/^{40}\text{Ca}$. Each goal will
 571 be discussed in the following sections

572 3N SRCs study using the ratio $^4\text{He}/^3\text{He}$

573 At the time the experiment was proposed, there were two sets of data (see Refs.
 574 [39, 42]) in the region $x_{bj} > 2$ where 3N-SRCs were expected to be dominant, but
 575 they did not agree with each other (see Section 2.1). The original motivation for this
 576 experiment was to collect data in the same kinematic region to provide a third data
 577 set for 3N-SRCs studies with higher statistics and a Q^2 dependence check. This study
 578 was carried out by a previous analysis [54] and the result was published in Ref. [55]
 (see Figure 2.16)

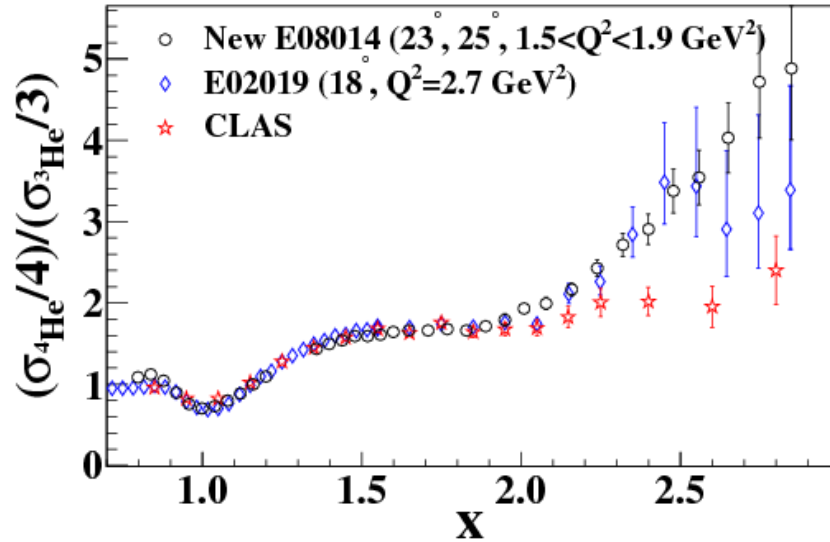


Figure 2.16: A comparison of 3 different data sets for 3N-SRCs studies from JLab's hall A, B and C. They are in good agreement in the 2N-SRCs region $1 < x_{bj} < 2$. For the 3N-SRCs region $x_{bj} > 2$, the data from E08-014 (hall A) and E02-019 (hall C) agree with each other but differ from the CLAS (hall B) data [55]

579

580 The results gave a very good agreement with both the CLAS (Hall B) and E02-

019 (Hall C) data in the 2N-SRCs region $1 < x_{bj} < 2$. But in the region where
 3N-SRCs are expected to be observed, the E08-014 data is significantly higher than
 the CLAS data and consistent with the E02-019 data. This supports the comments
 on the CLAS data in Ref. [56] that the second scaling in the CLAS data for $x_{bj} > 2$
 is due to the poor energy resolution and a large bin migration.

Evidence for 3N-SRCs is still an open question and one possibility is that much
 higher Q^2 is required to be able to observe the second scaling, as discussed in Ref. [35].
 These results also suggest that it is much more difficult to isolate 3N SRCs, though
 there are preliminary indication of 3N SRCs, also in Ref [35].

The 2N SRCs isospin-dependence in $A(e, e')$

The other goal of the experiment, also the focus of this thesis, is the isospin-dependence
 of 2N SRCs using the per-nucleon cross section ratio $^{48}\text{Ca}/^{40}\text{Ca}$. With and without
 the isospin-dependence assumption this ratio would return different results. With
 the isospin-independent assumption, the ratio of the number of neutron to number
 of proton in SRCs is equal to the N/Z ratio of nucleus. The isospin dependence of
 SRCs can be studied using nucleus with different N/Z ratios. In the kinematics of
 E08014 (see Chapter 3), the cross section of electron-proton scattering (σ_p) is about
 3 times the electron-neutron scattering (σ_n). In the isospin-independent assumption,
 the cross section ratio per-nucleon from ^{48}Ca to ^{40}Ca can be expressed as [41]:

$$\frac{\sigma_{^{48}\text{Ca}}/48}{\sigma_{^{40}\text{Ca}}/40} = \frac{(20\sigma_p + 28\sigma_n)/48}{(20\sigma_p + 20\sigma_n)/40} \xrightarrow{\sigma_p \sim 3\sigma_n} \sim 0.92 \quad (2.6)$$

In the case of the isospin-dependence, there is not yet a precise estimation of this
 effect on the cross section ratio per-nucleon for $^{48}\text{Ca}/^{40}\text{Ca}$ due to the complexity of
 these nuclear systems. Only a hand waving estimation was used for this ratio based
 on the total possible combination of np pairs, $(Z * N)$, presented in the proposal [41]:

604

$$\frac{\sigma_{48\text{Ca}}/48}{\sigma_{40\text{Ca}}/40} = \frac{(20 \cdot 28)/48}{(20 \cdot 20)/40} = 1.17 \quad (2.7)$$

Later a theoretical calculation was provided using a realistic potential and taking into account the isospin-dependence effect it aimed to quantify short-range correlations in nuclei [57]. The results from this calculation can predict the fraction np pairs prone to SRC from the total possible number of np pair combinations as function of nuclear mass A , including ^{40}Ca and ^{48}Ca , see Figure 2.17. Using this prediction with

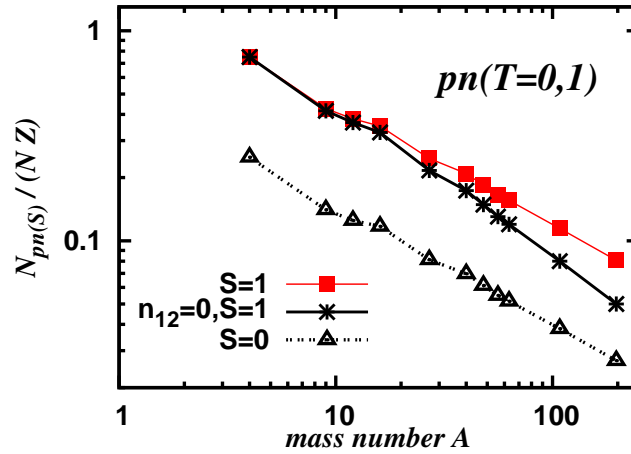


Figure 2.17: The predicted fraction of np pairs are prone to SRCs from the total number combination of np pairs ($N * Z$) for ^4He , ^9Be , ^{12}C , ^{27}Al , ^{40}Ca , ^{48}Ca , ^{56}Fe , ^{63}Cu , ^{108}Ag and ^{197}Au [57].

the isospin-dependent assumption the ratio of cross section per nucleon $^{48}\text{Ca}/^{40}\text{Ca}$ is around 1.

$$\frac{\sigma_{48\text{Ca}}/48}{\sigma_{40\text{Ca}}/40} \sim 1$$

605 The detailed analysis of this isospin-dependence study will be presented in the
606 following chapters. The results and its implication can be found in Chapter 6.

607 A new SRC measurement, E12-11-112 [58] is running using the mirror targets ^3He
608 and ^3H to measure the 2N SRCs region. It will provide a very clear probe of isospin-
609 dependence. In these $A = 3$ mirrored nuclei, the effect of isospin-dependence on the

610 cross section can be easily estimated. In the isospin-independent case the cross section
 611 ratio $\sigma_{^3\text{He}}/\sigma_{^3\text{H}}$ can be expressed as $(2\sigma_p + \sigma_n)/(\sigma_p + 2\sigma_n) \sim 1.4$ with the condition
 612 $\sigma_p \sim 3\sigma_n$. In the isospin-dependent case the cross section ratio is 1, $\sigma_{^3\text{He}}/\sigma_{^3\text{H}} = 1$
 613 because both of these nuclei have two np pairs. There is a 40% difference in the cross
 614 section ratio using these different assumptions. This is very large and should be easy
 615 to detect. The results will provide more information about isospin dependence in
 616 light nuclei.

Chapter 3

The Experiment Setup

3.1 Overview

This chapter will give an introduction to the setup of E08014 [41, 54]. Only a short introduction to the accelerator, the beam line components and detector package in the Hall A will be presented here.

3.2 E08014

E08014 (also known as $x > 2$) was performed in Hall A of the Thomas Jefferson National Accelerator Facility (JLab) during the Spring 2011. A single beam energy of 3.356 GeV was used during the experiment to do inclusive measurements at 9 kinematic settings on 6 different targets: ^2D , ^3He , ^4He , ^{12}C , ^{40}Ca and ^{48}Ca . Each kinematic setting is defined by the central scattering angle θ_0 and central spectrometer momentum P_0 of the spectrometer. Table 3.1 presents θ_0 , P_0 and the central kinematic variables Q_0^2 , x_{bj}^0 for each kinematic setting. The kinematic range of Q^2 and x_{bj} is shown on Figure 3.1.

Table 3.1: The central kinematic variables for each kinematic setting, including central momentum (P_0), central angle (θ_0), Q_0^2 and x_{bj}^0 .

Kin	K3.1	K3.2	K4.0	K4.1	K4.2	K5.0	K5.05	K5.1	K5.2	K6.5
P_0 (GeV)	2.905	3.055	2.6	2.855	3.035	2.505	2.650	2.795	2.995	2.845
$\theta_0(^{\circ})$	21	21	23	23	23	25	25	25	25	28
Q_0^2 (GeV ²)	1.29	1.36	1.38	1.5	1.61	1.57	1.66	1.75	1.88	2.23
x_{bj}^0	1.53	2.41	0.97	1.62	2.69	0.98	1.26	1.67	2.78	2.33

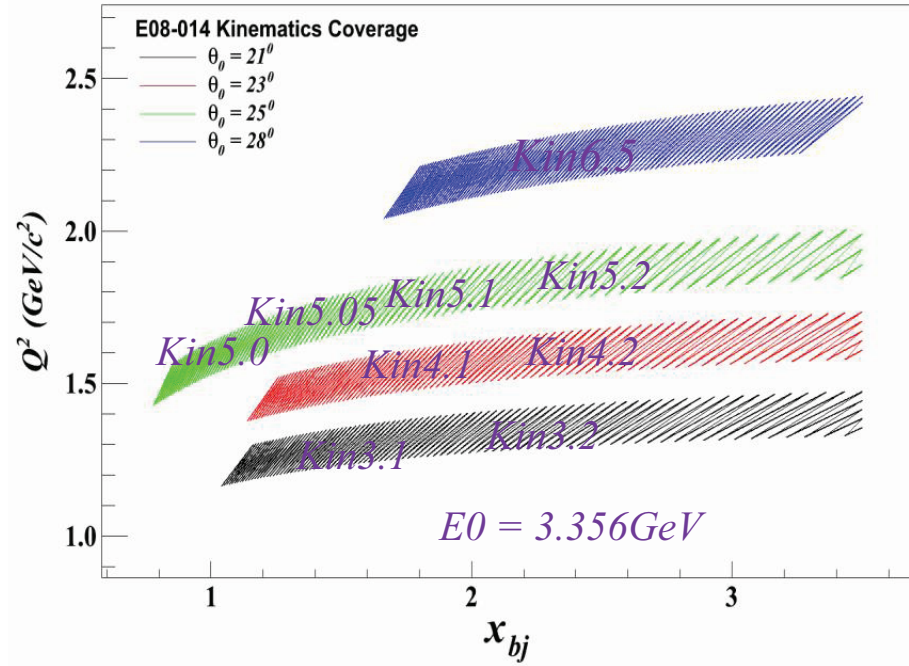


Figure 3.1: Kinematic coverage for every settings as a function of Q^2 and x_{bj} . The beam energy is fixed for all kinematic settings. Each color band indicates a fixed angle and the associated range of momentum.

3.3 CEBAF

The Continuous Electron Beam Accelerator Facility (CEBAF) at Jefferson Lab was designed to deliver high quality electron beams simultaneously to three different experimental Halls A, B, C [59]. The recent 12 GeV upgrade increases the maximum beam energy from 6 GeV to 12 GeV and added another experimental, Hall D. The layout of the experimental halls is shown in Figure 3.2.

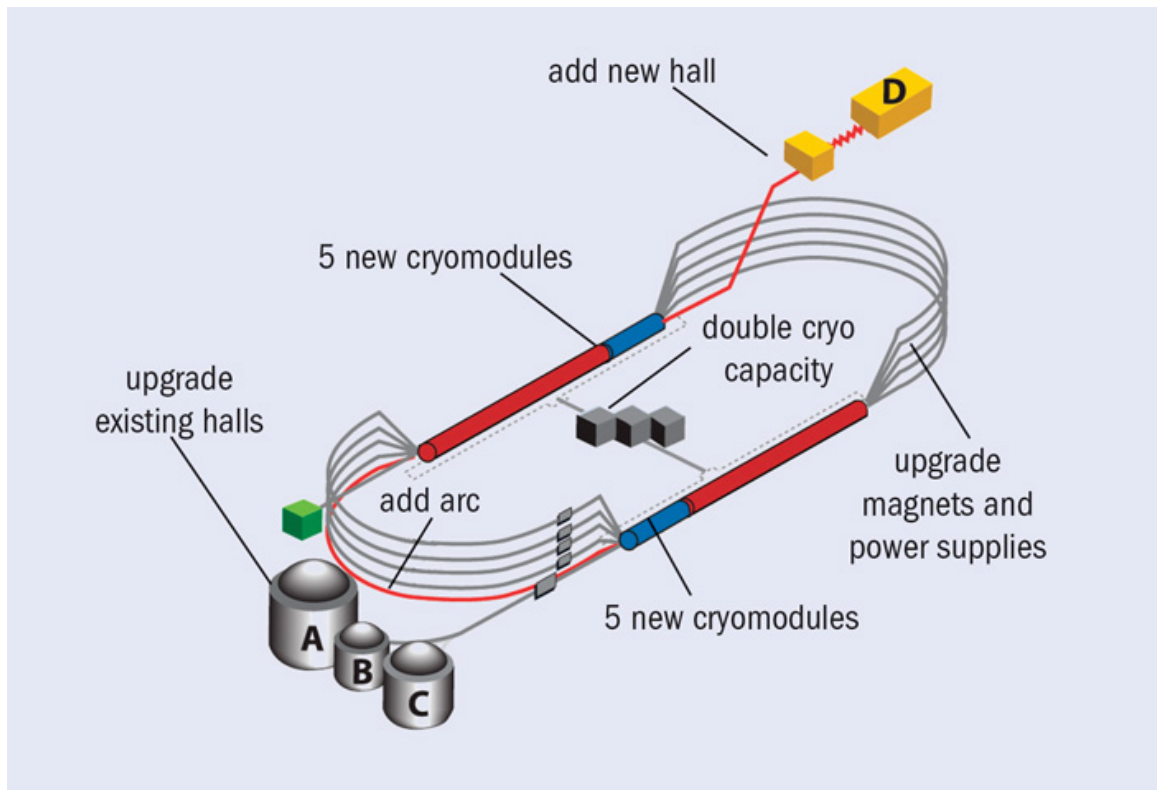


Figure 3.2: The Hall A end station at CEBAF. The electron beam is produced at the injector, accelerated through two linacs then extracted to the experimental halls.

The electron beam is produced at the injector then accelerated to 45 MeV. The beam is further accelerated by recirculating the beam up to 5 times through two superconducting linacs in the 12 GeV design. Each pass increases the beam energy by 1200 MeV. The beam can be extracted to four different experimental halls simul-

643 taneously and the beam current to each hall can be controlled independently.

644 3.4 Beam Line

645 The basic beam line components in Hall A [60] for E08014 are shown schematically
646 in Figure 3.3. The function of each component will be described in the following
sections.

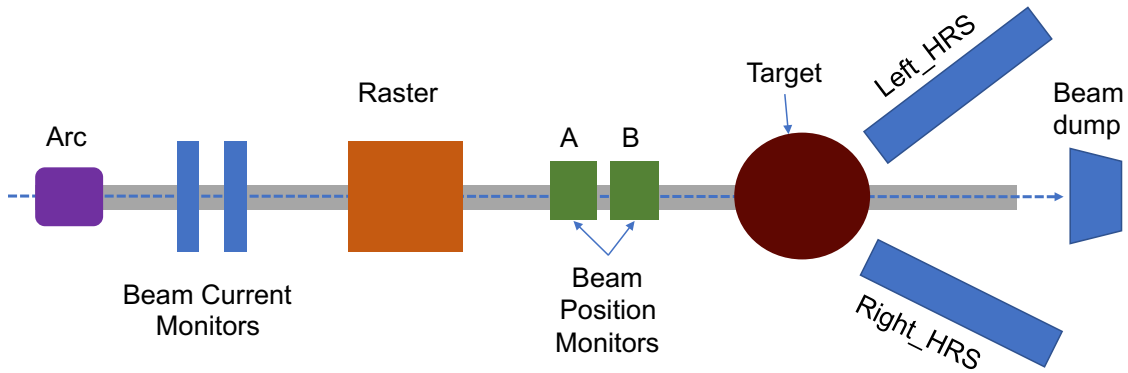


Figure 3.3: Hall A Beam line layout for Experiment E08-014

647

648 3.4.1 Beam Position Monitors

649 In Hall A, Beam Position Monitors (BPMs) are used to provide information of the
650 beam position and angle at target [61]. The beam position is an important parameter
651 for optics calibration and acceptance studies of the spectrometers. There are two
652 BPMs, A and B, located at 7.345 m and 2.214 m upstream of the target, see Figure
653 3.3. Each BPM is comprised of 4 antennae arranged at 90° to one other, oriented
654 $\pm 45^\circ$ to the horizontal. They are used to extract the relative position of the beam to
655 within $100\ \mu\text{m}$ for currents above $1\ \mu\text{A}$. The signal produced in the antennae by the
656 beam passing through the BPMs is inversely proportional to the distance from the
657 beam. The absolute position of the beam can be determined by calibrating them with

respect to wire scanners called "harps", located adjacent to the BPMs, see Figure 3.4.

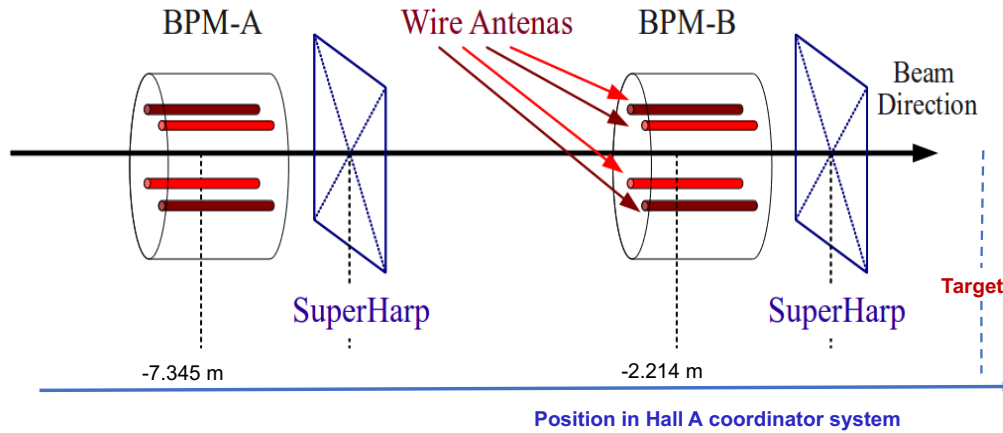


Figure 3.4: Hall A BPMs and Harp schematic, see text.

3.4.2 Raster

The inherent beam size at CEBAF is small (on order of $100\mu\text{m}$) and with the high beam current used in this experiment ($40\mu\text{A}$ - $100\mu\text{A}$), local boiling of cryogenic targets can occur. This is due to the deposited energy from the beam, and results in a non-uniform target density. To reduce this effect, the beam was rastered, increasing the effective spot size. The raster system is installed 23 m upstream of the target and consists of horizontal (X) and vertical (Y) dipole magnets. The dipole magnetic field is driven with frequency close to 25 kHz by a triangular waveform. There is a small difference in the frequency of raster X and raster Y to avoid dwelling Lissajous curves. In addition, the rasters can be read out much faster than BPMs (due to the transmission time of the signal) which allows the beam position to be recorded event by event while BPMs provide only average beam position information.

3.4.3 Beam Current Monitor (BCMs)

Most Hall A experiments need to measure the beam current with absolute accuracy of about 1%. The CEBAF accelerator delivers continuous wave (CW) electron beams making precise current measurement more difficult than at a pulsed machine. The beam current monitors (BCMs) are designed for a stable, low-noise, non-interfering beam current measurement. It consists of an Unser monitor, and two RF cavities referenced as Up and Down (see Figure 3.5) and are installed in a temperature-controlled housing 25 m upstream of the target. The Unser monitor is a parametric current transformer which provides an absolute reference since it can be calibrated with a precision wire current. The two stainless steel, cylindrical RF cavities are on either side of the Unser and have a $Q \sim 500$.

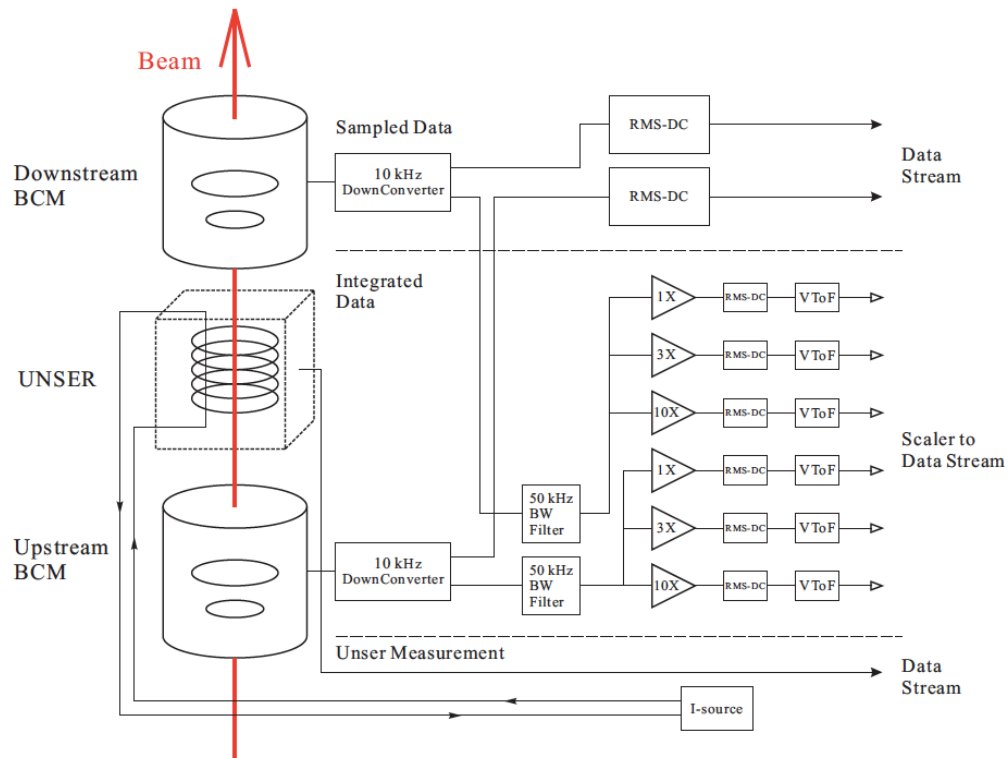


Figure 3.5: Hall A BCMS schematic including the Unser, up(down) BCMS and how the signal from these devices connected to scales and transfer to Data stream.

3.5 Target Setup

There are three target loops in the cryogenic target system. Loop 1 and Loop 2 each have two aluminum cylindrical target cells mounted to a target ladder, with the lengths of 10 cm and 20 cm, respectively while the Loop 3 has two 20 cm long cylindrical cells, also made of aluminum. The target assembly is installed inside the scattering vacuum chamber and includes the cryogenic target system with cooling, gas handling, temperature and pressure monitoring, target controller, and a solid target ladder.

This experiment ran in two time periods and we had two different target configurations [62], see Table 3.2. For period one (04/11/2011 - 04/19/2011), the 20 cm loop 1 cell was filled with ^4He and the Loop 2 was filled with liquid deuterium (LD2), while Loop 3 was kept as a spare. For the second period (04/21/2011 - 05/15/2011), Loop 2 did not change but Loop 1 was filled with ^3He . The solid targets ^{40}Ca , ^{48}Ca were installed in the former Loop 3 location (see Figure 3.6), Ref. [63,64]. Since the doubly magic ^{48}Ca is very expensive, it was installed in an aluminum target cell to protect it. ^{40}Ca was treated similarly to have consistent the aluminum backgrounds.

Table 3.2: The Cryogenic Target configuration for the two run periods [62]

Target Loop	Run Period 1 (04/11/11 - 04/19/11)	Run Period 2 (04/21/11 - 05/15/11)
Loop1 20 cm cell	^4He	^3He
Loop2 20 cm cell	LD2	LD2
"Loop3" 20 cm cells	Spare	^{40}Ca and ^{48}Ca

The operating pressure and temperature for cryogenic targets are provided in Table 3.3 and Table 3.4. The position of each target on the target ladder can be found in Table 3.5. The window thickness of the cryogenic targets is listed in Table 3.6 [62]. Other targets are available for calibration included a Dummy target, an optics target and a single ^{12}C foil. For optics calibration we used 7 carbon foils cut

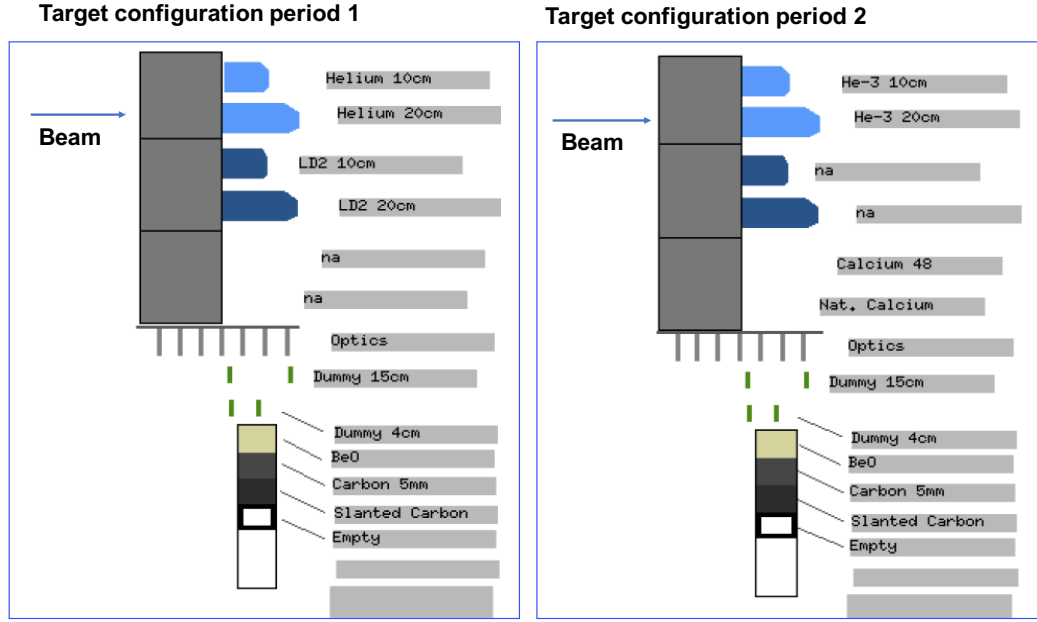


Figure 3.6: The target configuration for 2 run time periods. The left plot is target configuration for run period 1 while the right plot is for the run period 2 Refs. [63] [64]

Table 3.3: The operating information for Cryogenic Targets, Period 1 [62]

Target	Material	Pressure (psia)	Temperature (K)
Loop1 20 cm cell	^4He	202	20
Loop2 20 cm cell	LD2	30.5	22

704 from the same sheet. The upstream face of each foil is located at 0 cm, ± 5 cm, ± 10
 705 cm and ± 15 cm. The Dummy targets are used for endcap contamination studies,
 706 and consist of two thick aluminum foils located at ± 5 cm or ± 10 cm around the
 707 nominal center for 10 cm and 20 cm dummy targets, respectively. A BeO target, a
 708 single ^{12}C foil and an empty target were installed below the 10 cm dummy target.
 709 Detailed information about solid targets can be found in Table 3.7.

Table 3.4: The operating information for Cryogenic Targets, Period 2 [62]

Target	Material	Pressure (psia)	Temperature (K)
Loop1 20 cm cell	^3He	211	17
Loop2 20 cm cell	LD2	30.5	22

Table 3.5: The encoder position of each target on the Target ladder [62]

Target	Material/Description	BDS Encoder
Loop1 10 cm	He	35185744
Loop1 20 cm	He	31610960
Loop2 10 cm	LD2	28036176
Loop2 20 cm	LD2	24461392
Loop3 Spacer	Ca48	20886608
Loop3 Spacer	Ca40	17311824
Optics	Carbon	14397294
Dummy 20 cm	Aluminum	13365584
Dummy 10 cm	Aluminum	11739984
BeO	BeO	9179984
Solid Carbon	Carbon (5mm)	7554384
Slanted Carbon	Carbon	3035983
Empty	N/A	-10000000

Table 3.6: The window thickness information for Cell targets [62]

Position	Entrance Window Thickness (in)	Exit Window Side Wall (in)	Exit Window Nose (in)
Loop1 10 cm	0.0107 ± 0.0002	0.0132 ± 0.0007	0.0142 ± 0.0005
Loop1 20 cm	0.0108 ± 0.0001	0.0129 ± 0.0006	0.0139 ± 0.0005
Loop2 10 cm	0.0104 ± 0.0001	0.0126 ± 0.0006	0.0141 ± 0.0002
Loop2 20 cm	0.0107 ± 0.0001	0.0129 ± 0.0011	0.0142 ± 0.0002
Loop3 20 cm	0.0107 ± 0.0001	0.0129 ± 0.001	0.0141 ± 0.0002

Table 3.7: Solid Targets information

Target Name	Material	Purity	Thickness (g/cm ²)
BeO	BeO	99.0%	0.149±0.001
Carbon	C12	99.95%	0.8918±0.0008
Slanted Carbon	C12	99.9%	0.419±0.005
Optics (7 foils) each foil	C12	99.5%	0.0248±0.001
Dummy 10 cm			
Upstream	Aluminum	N/A	0.275±0.0003
Downstream	Aluminum		0.270±0.003
Dummy 20 cm			
Upstream	Aluminum	N/A	0.427±0.0003
Downstream	Aluminum		0.429±0.003
Ca48	Calcium 48	N/A	0.779±0.005
Ca40	Calcium 40	N/A	0.819±0.01

710 3.6 Spectrometers

711 In Hall A, there are two identical High Resolution Spectrometers (HRS) [59], the
 712 Left and Right, where Left and Right are respect to the beam line direction. Each
 713 spectrometer is a combination of two parts, a magnetic particle transport system
 714 and a detector package. There are 3 quadrupoles (Q) and a dipole (D) in the magnet
 715 system, see Figure 3.7. Each detector package includes Vertical Drift Chambers, a Gas
 716 Cherenkov detector, scintillator counters, and a lead glass Calorimeter. A summary
 717 of the characteristics of the HRS can be found in the Table 3.8 and the arrangement
 718 of detector packages can be seen in Figure 3.8. The following sections will discuss the
 functions and characteristics for each detector in detector package.

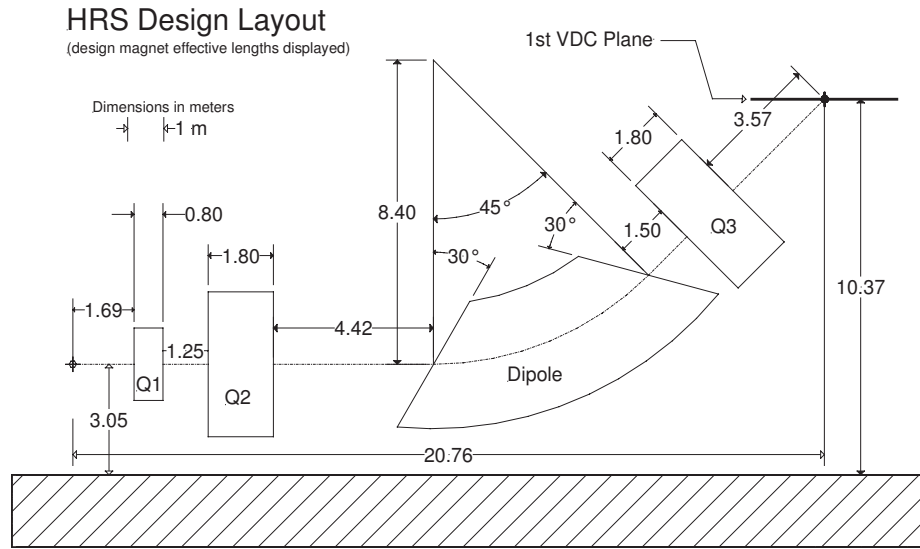


Figure 3.7: Hall A magnet system for HRS. The Q1 is convergent in the vertical plane. The Q2 and Q3 provide the transverse focusing. The Dipole is designed to have uniform field at the center for the bending angle [59].

719

720 3.6.1 Vertical Drift Chambers

721 Tracking information is provided by a pair of Vertical Drift Chamber (VDCs) in each
 722 HRS, described in detail in Refs. [59] and [65]. Each VDC is composed of two wire

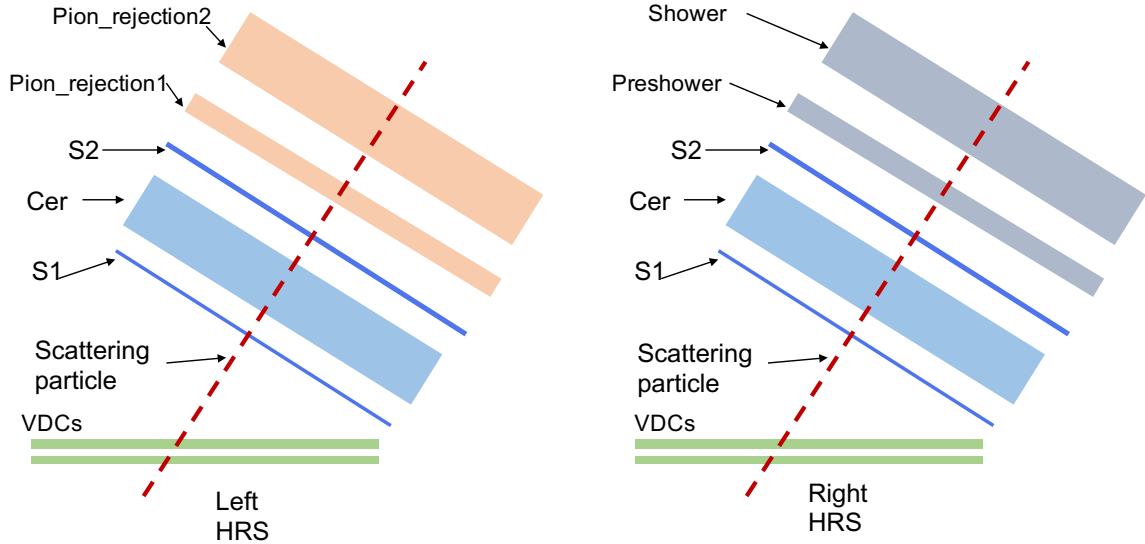


Figure 3.8: The Hall A HRS detector package. The left and right figures are for left and right HRS, respectively. Each of spectrometer includes the Vertical Drift Chambers (VDCs), scintillator counters (S1 and S2), a gas Cherenkov (Cer), and Calorimeters (For the left HRS they are called Pion-rejection1 and 2 (PR1, PR2). For the Right HRS they are called the Preshower and the Shower).

723 planes, separated by 3.35 cm, in a standard UV configuration. The U and V planes
 724 are oriented 90° to one another and lie in the horizontal plane of the hall, at an angle
 725 45° with respect to the dispersive and non-dispersive directions, see Figure 3.9. There
 726 are a total of 368 sense wires in each plane with a spacing of 4.24 mm. The nominal
 727 particle trajectory crosses the wires planes at angle 45° .

728 The VDCs are filled with a mixture gas of argon (62%) and ethane (38%). The
 729 VDC electric field is shaped by gold plated Mylar planes, and generated by applying
 730 a high voltage of around 4kV. The charged particles enter the VDCs, collide with
 731 gas molecules creating electron-ion pairs which drift along the electric field lines,
 732 and rapidly accelerate toward the closest wire. This acceleration generates many
 733 secondary electrons resulting in a signal which is amplified and sent to a TDC card.
 734 On the average, electrons that travel at nominal angle 45° with respect to VDC planes
 735 normally fire 4 - 6 wires per plane as shown in Figure 3.10. An important advantage

Table 3.8: The characteristics of Hall A where the resolution values listed are FWHM. See Ref. [59]

Configuration	QQDQ vertical bend
Bend Angle:	45°
Optical Length:	23.4 m
Momentum Range:	0.3-4.0 GeV/c
Momentum Acceptance:	$-4.5\% < \delta p/p < +4.5\%$
Momentum Resolution:	1×10^{-4}
Angular Range LHRS	12.5 – 150°
Angular Range RHRS	12.5 – 130°
Angular Acceptance Horizontal	± 30 mrad (Horizontal)
Angular Acceptance Vertical	± 60 mrad (Vertical)
Angular Resolution Horizontal	0.5 mrad
Angular Resolution Vertical	1.0 mrad
Solid Angle at $\delta p/p = 0, y_0 = 0$	6 msr
Transverse Length Acceptance:	± 5 cm
Transverse Position Resolution:	1 mm

of the two-chamber design is that it provides a long lever arm for the measurement of track angles, resulting in excellent angle tracking resolution [59].

3.6.2 Scintillators

The scintillators are used to form the trigger for the DAQ system as well as provide the timing information for each event. There are two Scintillators (S1 and S2) separated by a distance of about 2 m as shown in Figure 3.11. The S1 plane is composed of 6 identical overlapping paddles made of thin plastic scintillator, while the S2 plane is made up of 16 paddles of a thicker scintillator. Each scintillator paddle is viewed by two PMTs, one at each end. When charged particles cross the scintillator paddles, they create the light that will be detected by both PMTs then converted to an analog signal. The average timing between two PMTs is called the mean time of a paddle. The difference of S1 and S2 mean times provides the time of flight for each particle. The time resolution per plane is approximately 0.30 ns [59] .

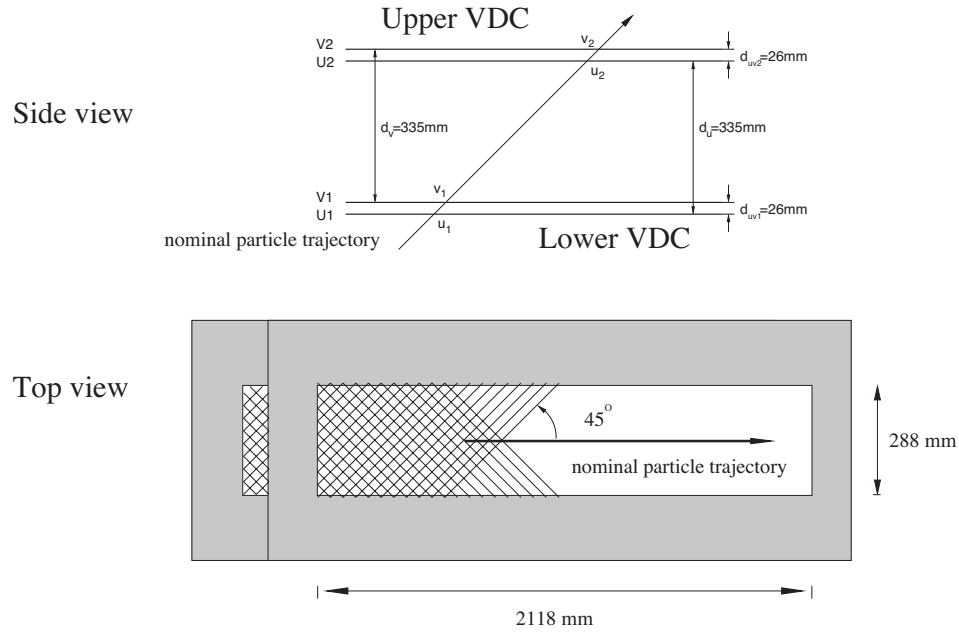


Figure 3.9: The lay-out of a pair of Vertical Drift Chamber for one HRS. The top plot, each VDC consists of one U and one V wire plane. The bottom plot, the VDCs are inclined at angle 45° with respect to the dispersive and non-dispersive planes.

749 3.6.3 Gas Cherenkov

750 The Gas Cherenkov is responsible, along with the calorimeters, for particle identifica-
 751 tion in the HRS detector package. The Cherenkov is located between scintillators S1
 752 and S2. The working principle of the Gas Cherenkov is that when a charged particle
 753 moves faster than the speed of light in a medium, it emits a small number of photons
 754 at a fixed angle θ determined by the velocity of particle v ($\beta = v/c$) and the index of
 755 refraction of the medium n ,

$$\beta \geq \frac{1}{n} \Rightarrow \cos(\theta) = \frac{1}{\beta n}. \quad (3.1)$$

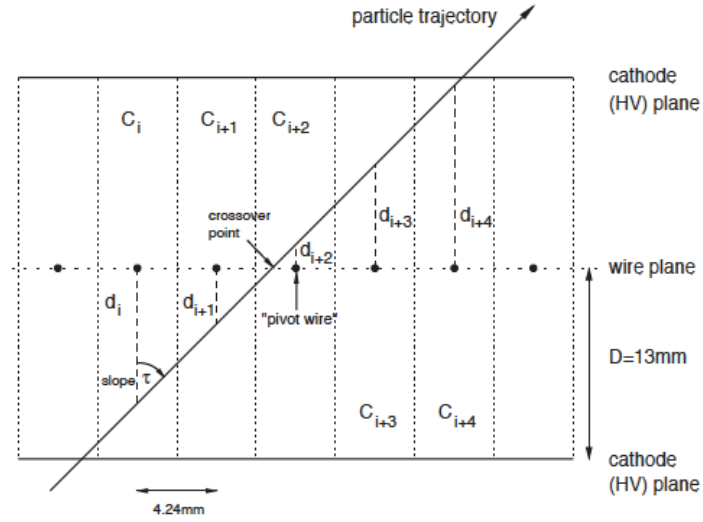


Figure 3.10: Showing a normal track fires 5 wires. The trajectory of a hypothetical particle as it fires 5 wires [59].

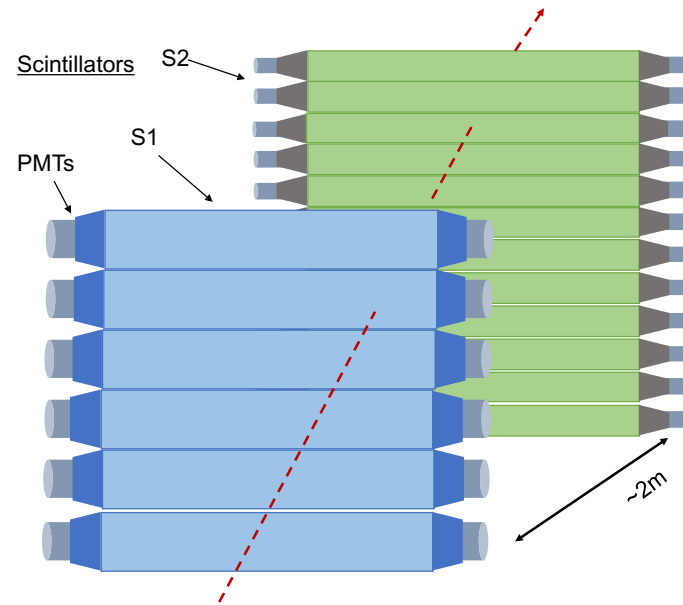


Figure 3.11: Hall A Scintillator Diagram

756 The momentum threshold for the production of Cherenkov radiation is based on this
 757 velocity threshold for different particles with different mass, as here

$$p_{threshold} = \frac{mc}{\sqrt{(n^2 - 1)}}. \quad (3.2)$$

In this experiment, the Cherenkov detectors were filled with CO_2 at atmosphere

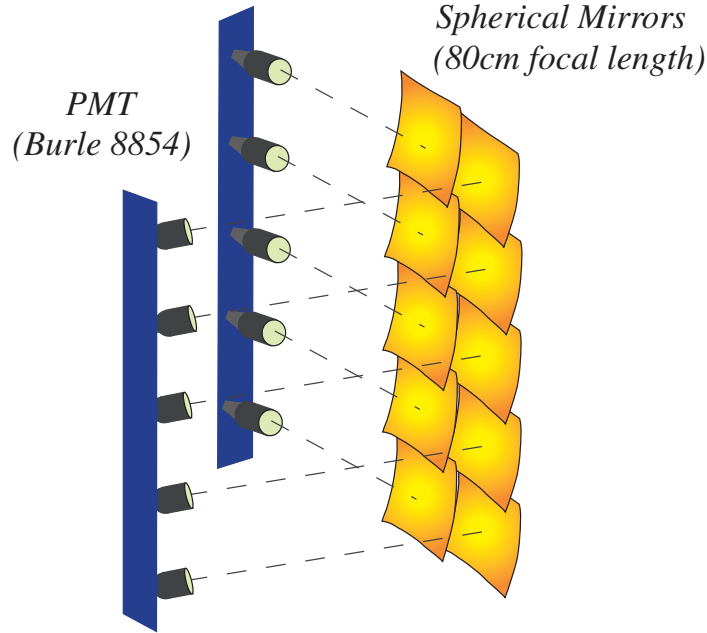


Figure 3.12: A Diagram of the Gas Cherenkov showing the spherical mirrors focusing the Cherenkov light to 10 PMTs.

758

759 pressure, which has an index of refraction of $n = 1.00041$. For CO_2 , the momentum
 760 threshold for electrons is $0.017 \text{ GeV}/c$, whereas the threshold for pions is $4.8 \text{ GeV}/c$,
 761 well above the maximum momentum of HRS. In this way the detection of Cherenkov
 762 light is a very effective way for electron/pion discrimination, since only electrons
 763 create a significant signal. Once the Cherenkov light is produced, it is detected by 10
 764 PMTs, (see Figure 3.12), installed on both sides of the detector. The signals from 10
 765 PMTs are summed together, and used for particle identification cuts in data analysis.

766 3.6.4 Calorimeters

767 The calorimeters provide further electron/pion discrimination by measuring the en-
 768 ergy deposited by particles that pass through it. An accelerating high energy electron
 769 emits photons through Bremsstrahlung. These photons undergoes pair production,
 770 producing electron-positron pairs, and the process is repeated such that the number

of charged particles increases exponentially with the depth in the calorimeter. This process gives the calorimeter another name, a shower detector.

Electrons and positrons deposit almost all of their energy in the calorimeter but pions deposit a smaller amount of energy due to direct Cherenkov light and occasional π^0 production, etc. If $E/p \sim 1$ then the particle is an electron (E is deposited energy in calorimeters and p is particle momentum).

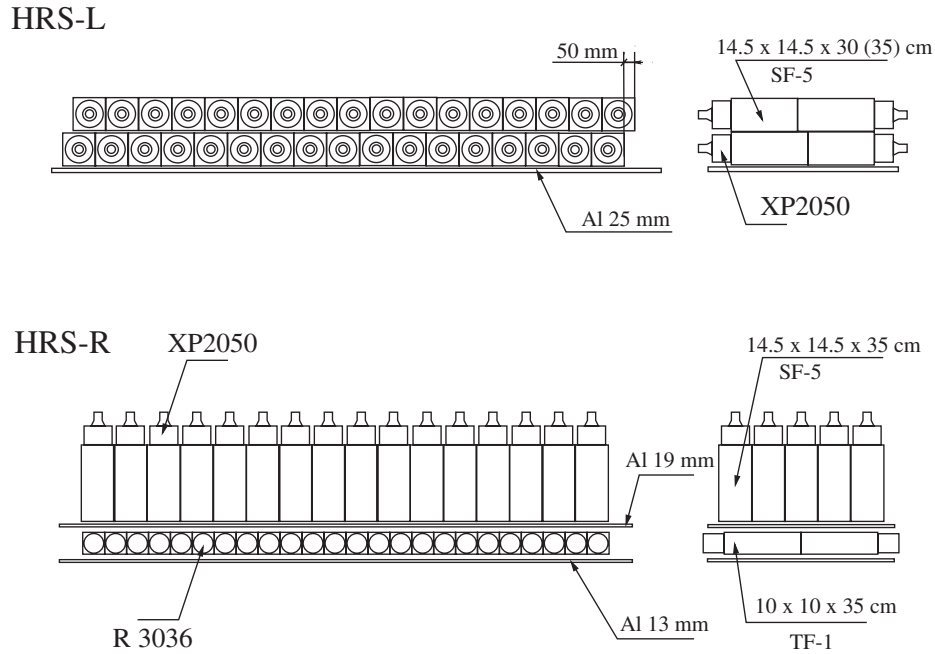


Figure 3.13: Schematic lay-out of part of the shower detector in HRS-L and HRS-R, see Ref. [59]

The configuration of calorimeters in HRS-L and HRS-R are a bit different. For HRS-L, the calorimeter is a combination of two layers made of 34 lead-glass blocks, called pion rejector 1 and 2 (PR1, PR2). Both layers are oriented perpendicular to the particle trajectory. The dimension of blocks in these two layers are 15cm x 15cm x 30cm. The HRS-R calorimeter also has two layers of lead-glass blocks, called the Preshower and the Shower. The Preshower is comprised of 48 lead-glass blocks, oriented perpendicular to the particle trajectory and each block is 10cm x 10cm x 35cm. The shower is made of 80 lead-glass blocks, oriented parallel to the particle

785 trajectory and each block is 15cm x15 cm x35cm. The calorimeter in the LHRS is
 786 not a full energy deposition calorimeter, while the RHRS is a total energy absorber.
 787 The light produced by the cascade is linearly proportional to the energy deposited
 788 and is collected by PMTs mounted to each lead-glass block.

789 3.6.5 Trigger Design

790 Three detectors were used to build the trigger for DAQ system for E08014 and they
 are S1, S2 and the Gas Cherenkov.

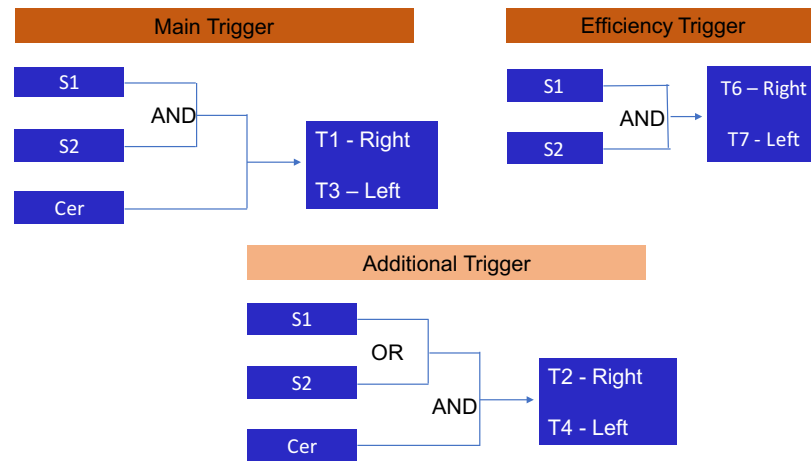


Figure 3.14: Trigger Design for the Experiment E08014

791

792 For the production data we used the main trigger consisting of the coincidence
 793 signal of S1, S2 and Gas Cherenkov. To be able to check the efficiency of the main
 794 trigger, another trigger was built using coincidence of S1 and S2. We have addi-
 795 tional triggers in this experiment which are coincidence of either S1 OR S2 AND the
 796 Cherenkov. Figure 3.14 is the summarizes of how all triggers are designed.

Chapter 4

Data Analysis

4.1 Overview

This chapter will discuss the calibrations of the beam line components and detectors. Some of the calibrations was done in a earlier analysis [54]. Here the focus is on the calibrations and the corrections necessary to improve the quality of the results. The optics calibrations and the acceptance studies will be discussed in this Chapter along with the beam charge and live time calculations and the efficiency studies of particle identification and tracking. The trigger efficiency will not be included here as it needs a special treatment that will be discussed in Chapter 5. The analysis presented here provides all needed information for extracting the cross section.

4.2 Beamline Calibration

4.2.1 BPM calibration

The BPM calibration provides the parameters to convert the BPMs signals to beam positions, necessary to get the vertex information for each event. The results of the calibration from the previous analysis was the starting point. But during this

analysis, it was determined that the sign of the BPM position in the X direction was flipped while the sign of the BPM position in the Y direction was correct. The Hall A coordinate system and accelerator coordinate system (MCC) are left handed and right handed, respectively, see Figure 4.1 [66]. It means that the BPM information in the root files, which uses the Hall A coordinate system and BPM information from MCC which uses the accelerator coordinate system, must have opposite signs in the X direction. We can use what is called Bulls-eye data listed in table 4.1 to confirm the convention of coordinates and then confirm that the BPM in X direction was flipped.

Run number	BPMA-X (mm)	BPMA-Y (mm)	BPMB-X (mm)	BPMB-Y (mm)	movement (MCCs)
3877	0.7	1.673	-1.49	2.988	+1mm in Y
3878	0.667	-1.058	-1.51	0.99	-1mm in Y
3880	1.482	0.33	-0.499	2.003	+1mm in X
3881	-0.117	0.294	-2.499	2.004	-1mm in X
3882	0.631	0.308	-1.512	1.99	Nominal position

Table 4.1: Bulls-eye Scan Data includes 5 runs, for each run the beam position is moved from the nominal position to left or right, up or down, then back to nominal. The beam position information at each location is recorded in both Hall A and accelerator coordinate.

Using the beam X convention in the Hall A coordinate system (see Figure 4.2), if beam moves to positive X direction then Y_{tg} will move in the positive direction as well. It means that Y_{tg} of run 3880 should be more positive compared to the one from Run 3882 (as the beam moves +1mm in X direction in Run 3880 compare to Run 3882). But that is not what happened. As can be seen in Figure 4.3 which shows the reconstructed Y_{tg} distribution from data for these two Runs, it moved in the opposite direction. This confirmed that the X direction in Hall A and MCC were opposite. But the BPMs information in the root files had the same sign as MCC values. This is confirmation that the BPM in the X direction was flipped.

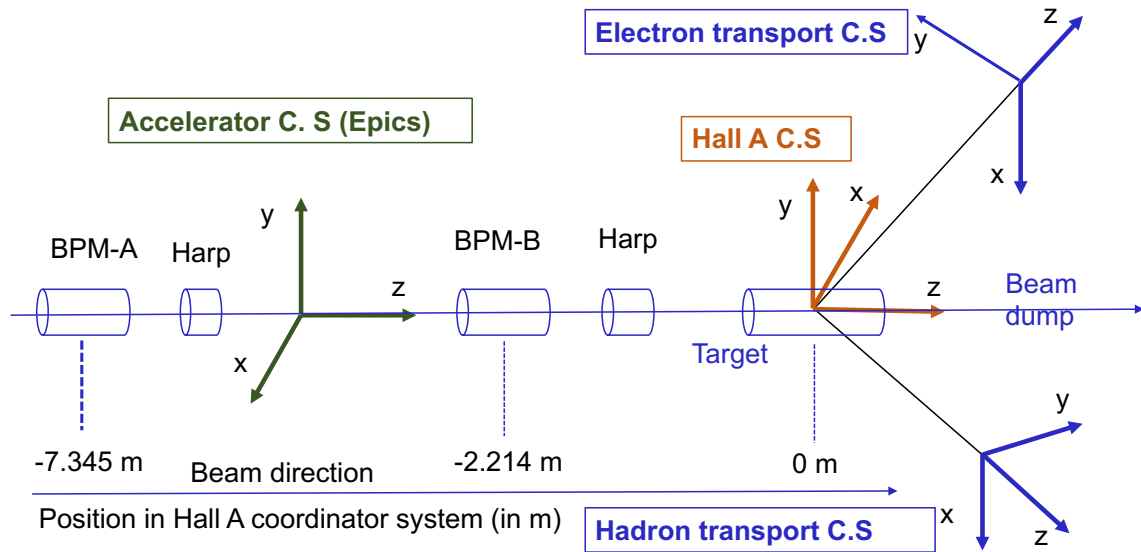


Figure 4.1: BPM in Hall A and accelerator coordinate system. The x-axes are in opposite directions. For details see Ref. [66].

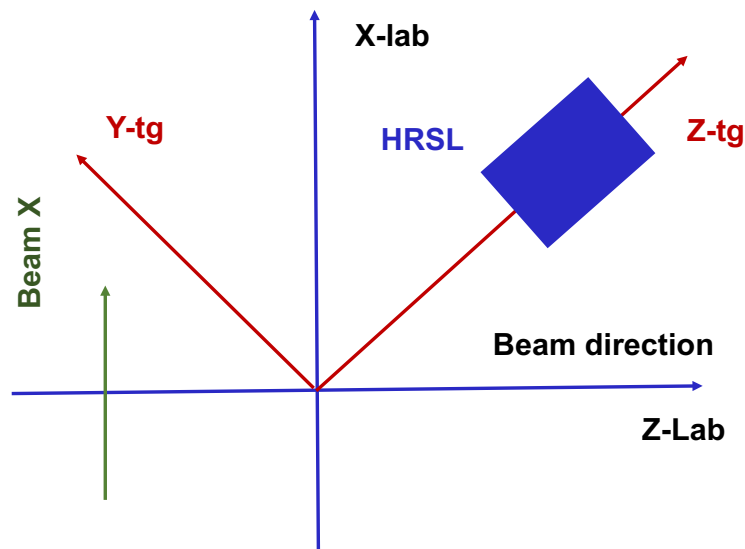


Figure 4.2: Beam X convention in the Hall A coordinate system

831

832 This problem needed to be fixed to provide the correct BPM information. It was
 833 not necessary to do new BPM calibration. In order to get beam information from the

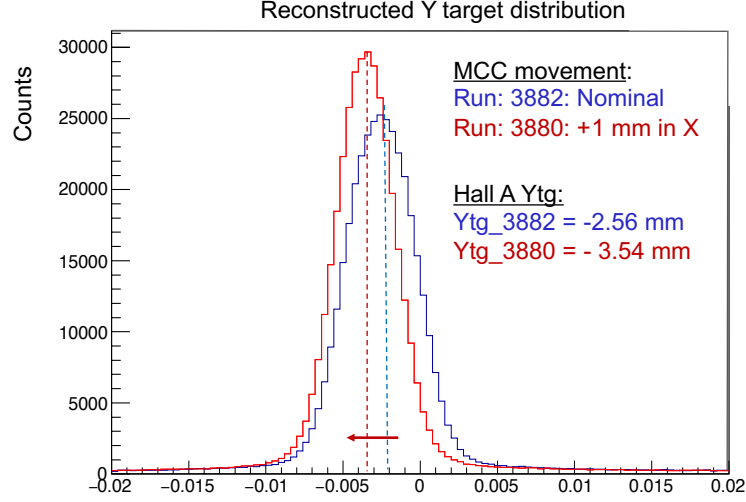


Figure 4.3: Y_{tg} distribution of Bull-eyes scan runs for beam x convention. The beam was moved +1mm in the Run 3880 compared to Run 3882 but the Y_{tg} distribution of Run 3880 is more negative compare to the one of Run 3882 what indicates the beam was moving in negative direction in Hall A coordinator system.

834 BPMs, one uses Equations 4.1 and 4.2 and a set of coefficients shown in Table 4.2.

$$\begin{pmatrix} \text{BeamX} \\ \text{BeamY} \end{pmatrix} = \begin{pmatrix} C1 & C2 \\ C3 & C4 \end{pmatrix} \begin{pmatrix} \text{RotX} \\ \text{RotY} \end{pmatrix} + \begin{pmatrix} C5 \\ C6 \end{pmatrix} \quad (4.1)$$

835

$$\text{BeamX} = C1 * \text{RotX} + C2 * \text{RotY} + C5 \quad (4.2)$$

Table 4.2: BPMs coefficients from an early Calibration for E08-014, Ref. [54]

BMP	C1	C2	C3	C4	C5	C6
A	0.66899	-0.684665	0.672353	0.683605	0.000147627	-0.00063362
B	0.659737	-0.836241	0.646295	0.839493	0.00493647	-0.00602042

836

837 The RotX and RotY are the beam position in the rotated coordinate system of
 838 the 4 wire antennas, as in Figure 3.4. The BPM calibration provide the 2x2 rotation
 839 matrix and offset terms to be able to convert the beam position from the rotated

coordinate system to the lab coordinate system, BeamX and BeamY. Hence the sign
of three coefficients C1, C2 and C5 must be flipped to fix the sign of BeamX.

Using the data with the raster off (only using the BPM information) and the
flipped sign of coefficients, C1, C2, C5 it can be confirmed that the values agree
well with MCC values and have the opposite sign. Upon examination of the event
distribution as function of Z-vertex, determined from the BPM information, a large
improvement can be seen. Previously there was a large unexplained offset in Z-vertex
(1cm) but after this fix the offset is about 1 mm which is not unreasonable for the
target offset. See Figure 4.4.

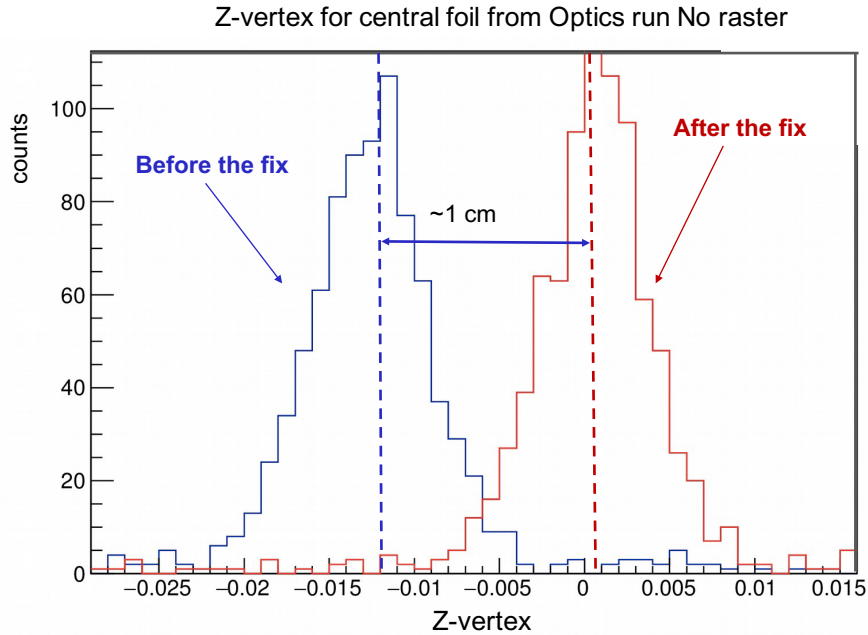


Figure 4.4: The Z-vertex distribution before and after fixing the BPMX. Before the fix, there is a large offset (1 cm) in the Z-vertex. After the fix the offset is around 1 mm.

848

The corrected average beam position now can be obtained using the updated
BPM coefficients. In order to get the beam information for each event, a new raster
calibration is required as it was based on the BPM's information.

851

852 4.2.2 Raster Calibration

853 During production data taking, the beam raster was used to increase the beam spot
 854 size. Subsequently, the beam position information comes from the raster system. The
 855 raster calibration determines the parameters to convert the raster current to a beam
 856 position at the location of BPMA, BPMB and the target (see Figure 3.4). The BPM
 857 information and the raster current are both needed for the calibration to provide the
 858 separate parameters sets for each location. There is a phase lag between the actual
 859 beam position recorded by raster current and the one recorded by BPMs, see Figure
 860 4.5. This phase lag is due to the fact that the raster current signal can be recorded
 861 instantaneously what gives the actual beam position at the moment but the BPMs
 862 signal arrives later due to transmission time, so when BPMs signal is recorded it
 863 reflects the beam position from few moment earlier. The BPMs are only used for the
 864 average position. The raster current is recorded for each event and used to get beam
 865 position event by event [66–68].

866 Figure 4.6 shows an event distribution for beam X at the target and raster current
 867 X, it is similar for beam Y and raster current Y. Recall that, we only measure the
 868 beam position at BPMA and BPMB. The BPM distribution at the target is the
 869 projection from the one BPMA and BPMB based on their distance to the target.
 870 The only tricky problem for the raster calibration is determining the direction beam
 871 moves when the raster current changes. The sign of K_x and K_y in equation 4.3 must
 872 be determined.

$$\begin{aligned}
 X_{\text{offset}} &= ta_x - ra_x \cdot dta_x / dra_x \cdot K_x \\
 X_{\text{slope}} &= dta_x / dra_x \cdot K_x \\
 Y_{\text{offset}} &= ta_y - ra_y \cdot dta_y / dra_y \cdot K_y \\
 Y_{\text{slope}} &= dta_y / dra_y \cdot K_y,
 \end{aligned}
 \tag{4.3}$$

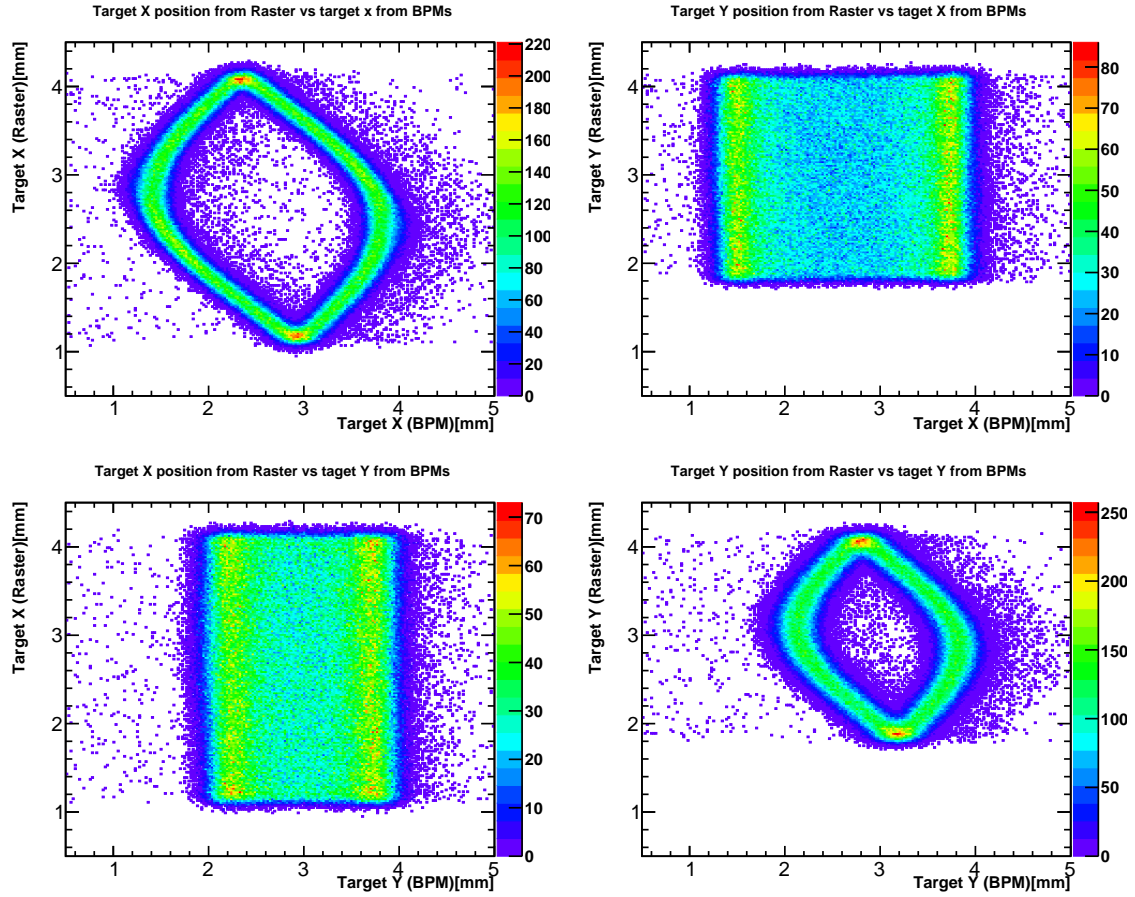


Figure 4.5: The phase lag in the beam position recorded by BPMs and from raster current. See the text for more information.

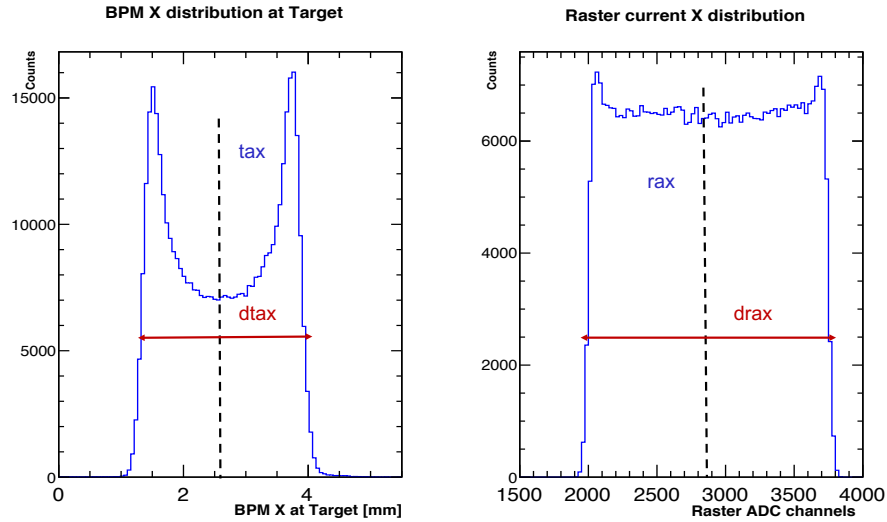


Figure 4.6: Raster Calibration at Target location

873 where ta_x , dta_x , ra_x , dra_x are the mean and the width of BPM X distribution at
 874 target and the raster current X distribution, respectively. The beam position can be
 875 calculated from the raster coefficient as follows:

$$\begin{aligned} X_{beam} &= X_{slope} \cdot X_{curr} + X_{offset} \\ Y_{beam} &= Y_{slope} \cdot Y_{curr} + Y_{offset} \end{aligned} \quad (4.4)$$

876 To be able to determine the sign of K_x we can use the plot of raster current X versus
 877 the Y_{tg} as in Figure 4.7. When the raster current increases (i.e the ADC channel
 878 increases), Y_{tg} is more positive and this means that the position of the beam moves
 879 in the positive direction. The beam X position is proportional to the raster current
 880 X and $K_x = +1$.

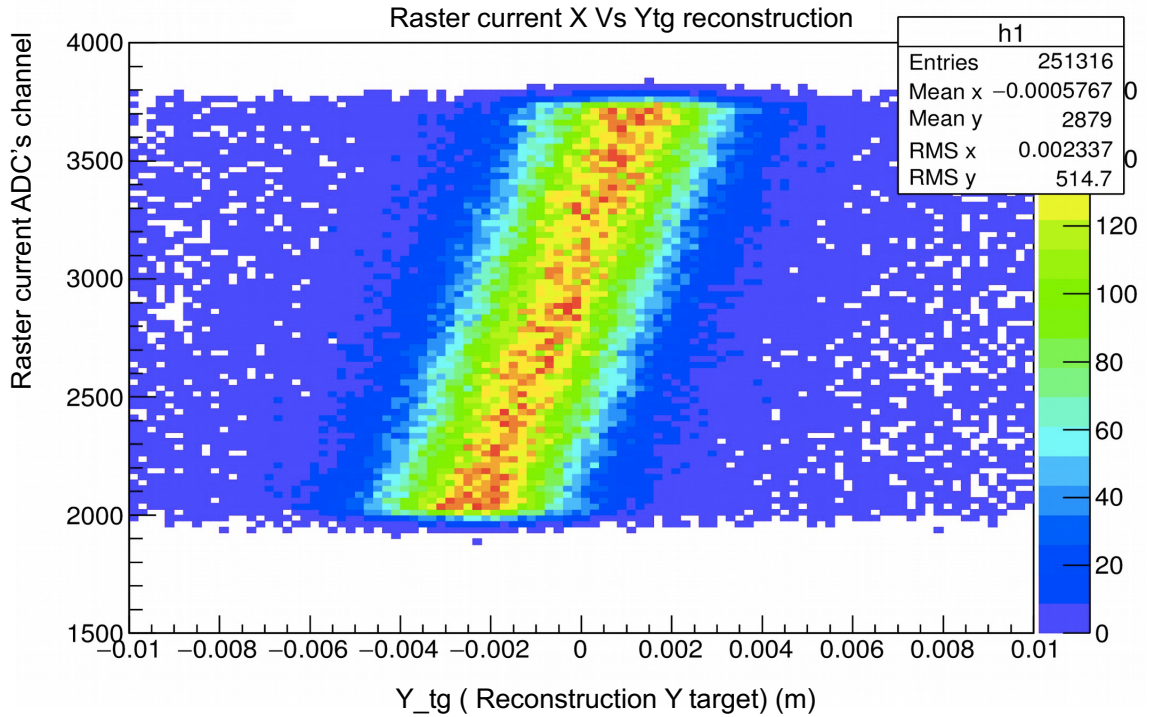


Figure 4.7: Determining the sign of K_x for Raster Calibration using the raster current X vs the reconstructed Y_{tg} . The Y_{tg} moves to positive direction when the raster X current's ADC channel increases. This indicates the beam X position is proportional to the raster current X.

881 It is more difficult to determine the sign of K_y . During E08-014 no specific data
 882 was taken for this determination. However optics data taken with a sieve can be
 883 used. The sieve is a thick plate with many small holes so that the particles going
 884 through the same hole are taken to have the same scattering angle and others are
 885 absorbed. If we select events from a specific hole with the raster on we are able to
 886 see the small variance in the out-of-plane angle (θ_{tg}). The first step in this work is to
 887 select the central foil from the multiple foils target data. Then we select the central
 hole from the sieve pattern of the central foil. See Figure 4.9. In the end we will see

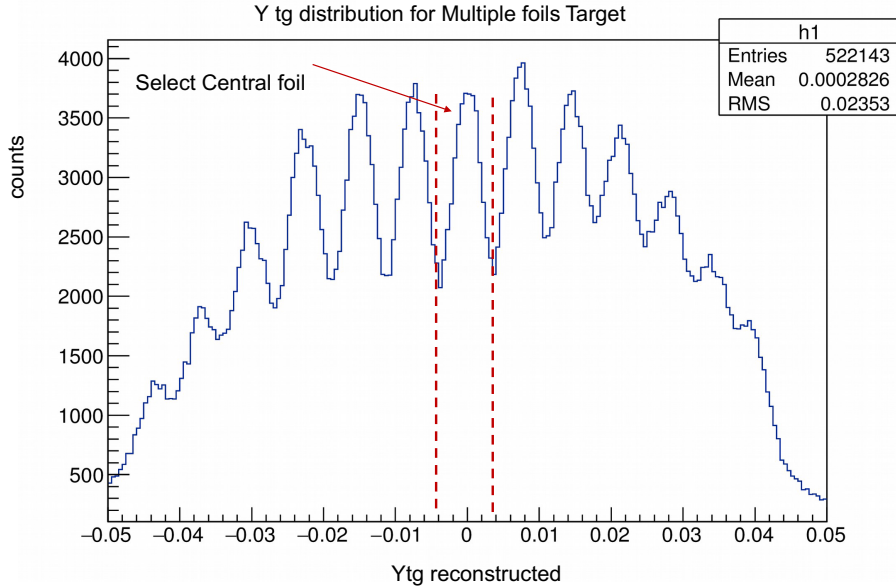


Figure 4.8: The Y_{tg} distribution of the multiple foils target run. There are 13 foils, each foil is presented by a peak in this plot. The central foil is located at position where Y_{tg} is close to 0. The event from the central foil is selected using the Y_{tg} cut.

888

889 the distribution of selected events of the central hole of the central foil as function
 890 of the raster current Y and the out-plane angle. See Figure 4.10. From Figure 4.10,
 891 when the raster current increases (ADC channel gets bigger) the out-plane angle goes
 892 more negative. This indicates that the beam position moves down in the negative
 893 direction. The beam Y is negative proportional to the raster current Y or $K_y = -1$.

894 Note that, the raster calibration depends on the beam energy and the beam po-

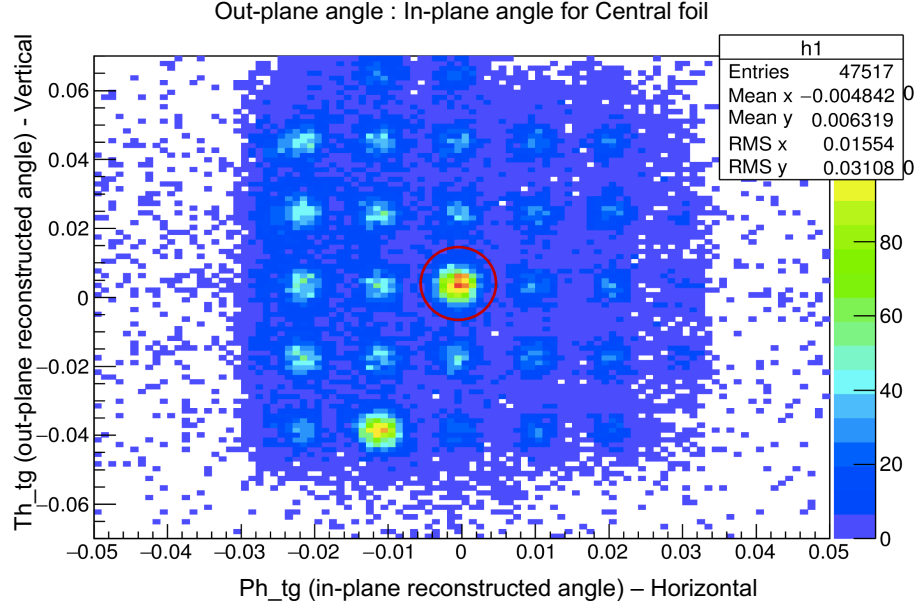


Figure 4.9: The plot show the sieve pattern of the selected event from the central foil (See Figure 4.8). The events from the sieve's central hole are selected using the cuts on in-plane-angle and out-of-plane angle, indicated as red circle in the plot.

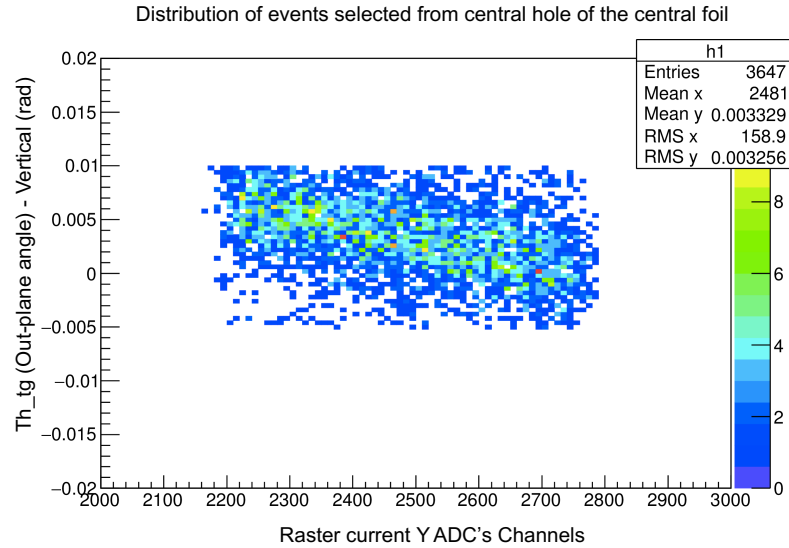


Figure 4.10: Determining of the sign of K_y for raster calibration using the distribution of events from the central hole corresponding to the central foils of the optics data.

sition. It means that different beam energies or different beam positions we need to
 have a different calibration. The raster coefficients can only reflect the beam position
 which was used in calibration and does not take into account beam movement. The

898 easiest solution, and that taken, is to keep beam position fixed.

899 4.3 Detector calibration

900 4.3.1 VDC Calibration

901 The VDC configuration and their working principle was discussed in Section 3.6.1.
 902 The drift times of all the wires in each wire plane are measured using the Time-to-
 903 Digital Converter (TDC) [59]. The TDCs were operated in the common-stop mode
 904 which means that larger TDC channel correspond to shorter drift time and vice
 905 versa. Figure 4.11 (left panel) shows the drift time spectrum of the VDC wire plane.
 906 Different regions in the drift time spectrum can be understood based on the position
 of the trajectory in the drift cell, see Figure 4.11 (right panel) [65]

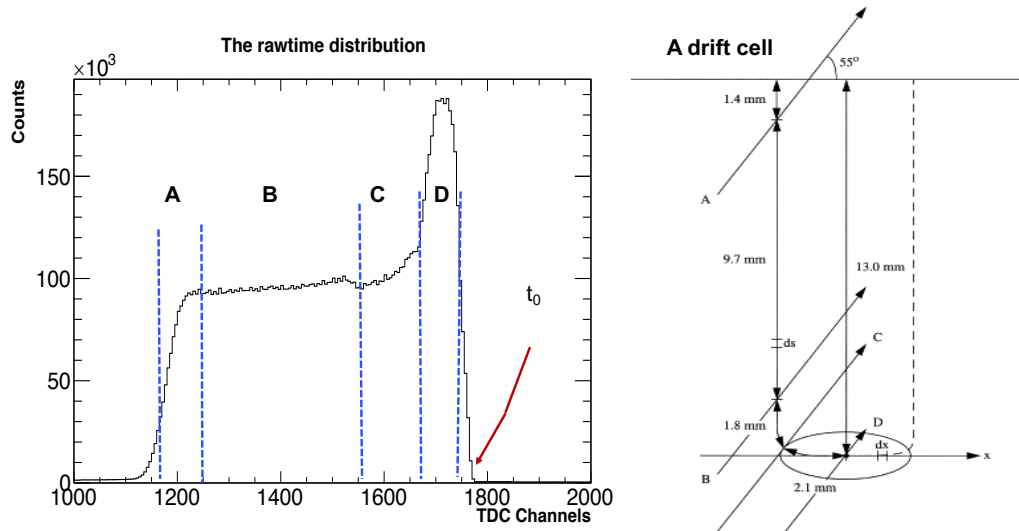


Figure 4.11: Left plot: the VDCs raw-time distribution as a function of TDC channels. Right plot: A drift cell for a wire with 4 different trajectories crossing the cell.

907

- 908 • **Region A:** This region corresponds to the particles that have a large trajectory
 909 angle and small intersection path with the drift cell. These particles are further
 910 away from the sense wire and have less ionization which give them a smaller

911 probability to be detected.

- 912 • **Region B:** In this region, the particles trajectories cross an area where the field
913 lines are parallel and the drift velocity almost a constant. The drift time is flat
914 for this region.
- 915 • **Region C:** Here the particle trajectory gets close to the wire where the field
916 lines change from parallel to quasi-radial. The detection probability increases
917 in this region and it corresponds to a transition in the drift time spectrum.
- 918 • **Region D:** The particle trajectories cross the quasi-radial field line region where
919 track density is a maximum and the drift velocity is increasing rapidly. The
920 probability of detecting a particle in this region is maximum and it corresponds
921 to the peak in the drift time spectrum.

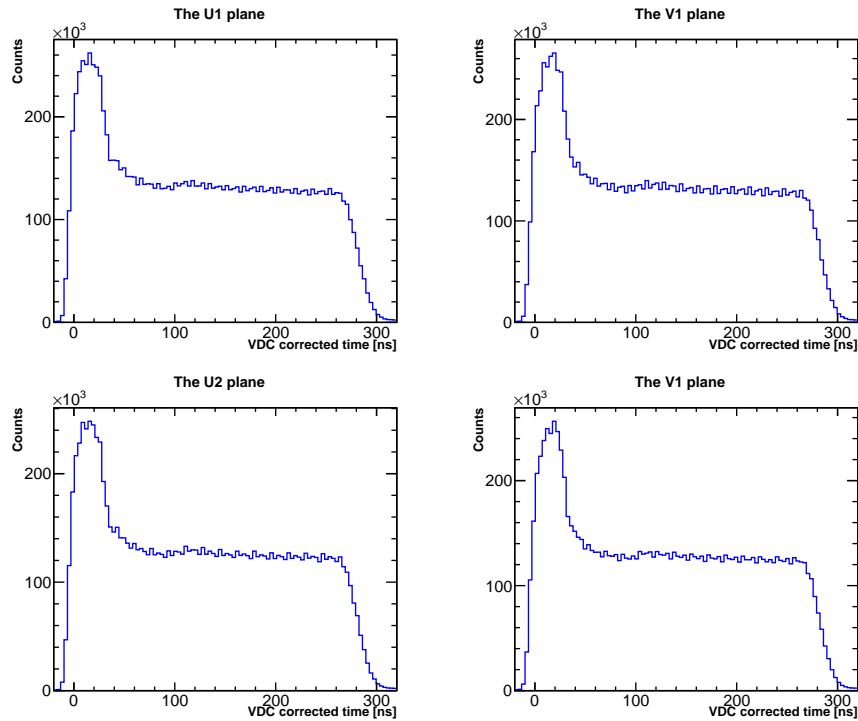


Figure 4.12: The VDC corrected time distribution after the VDC calibration for different wire planes.

922 The main goal of VDC calibration is to determine t_0 , the time offset for each wire,
 923 in order to compare the drift times of all the wires in a plane. This time offset t_0
 924 comes from the variations in cable lengths and signal processing times. The first
 925 step of the VDC calibration is to find the rising edge of the drift time spectrum and
 926 then calculate the derivatives numerically in this region. Next the maximum slope is
 927 identified and extrapolated to the drift time axis and the intercept used to define t_0 .
 928 Figure 4.12 shows the VDC corrected time after the VDC calibration.

929 4.3.2 Cerenkov's ADC calibration

930 As mentioned in Section 3.6.3, the Cherenkov detector is responsible for discriminat-
 931 ing electrons from pions. The Chereknov sum signal is used for this in the analysis.
 932 However the Gas Cherenkov includes 10 individual PMTs and they have different
 933 gain factors. Before making a sum from 10 individual signals, a calibration is needed
 934 to make sure every PMTs have the same response to the same signal. The goal of
 935 this calibration is to align the single photon electron peak (SPE) at ADC channel 100
 936 for each PMT. The SPE indicates the response of the PMTs when only one photo-
 937 electron is emitted and we can use it as a unit for measurement of total number of
 938 photo-electrons. The gain factors is calculated using

$$C = \frac{100}{\text{SPE}_{\text{observed}} - \text{PED}}, \quad (4.5)$$

939 where $\text{SPE}_{\text{observed}}$ is the observed SPE channel before calibration and PED is the pedestal
 940 channel. In this experiment the Gas Cherenkov was included in the main trigger with
 941 a threshold level so we can not see the SPE when using the main trigger. Any other
 942 trigger which does not include the Gas Cherenkov can be used to study the SPE.
 943 The previous calibration result was used to check the alignment of SPE. In Figure
 944 4.15, it can be seen that only 7 out of 10 PMTs were aligned in initial calibration.

945 A new calibration was done. The first step is to determine the PED. The pedestal
 946 subtracted signal is used to find the SPE and calculate the new coefficients. Figure
 4.13 shows the ADC channel distribution of PMT1 before and after calibration.

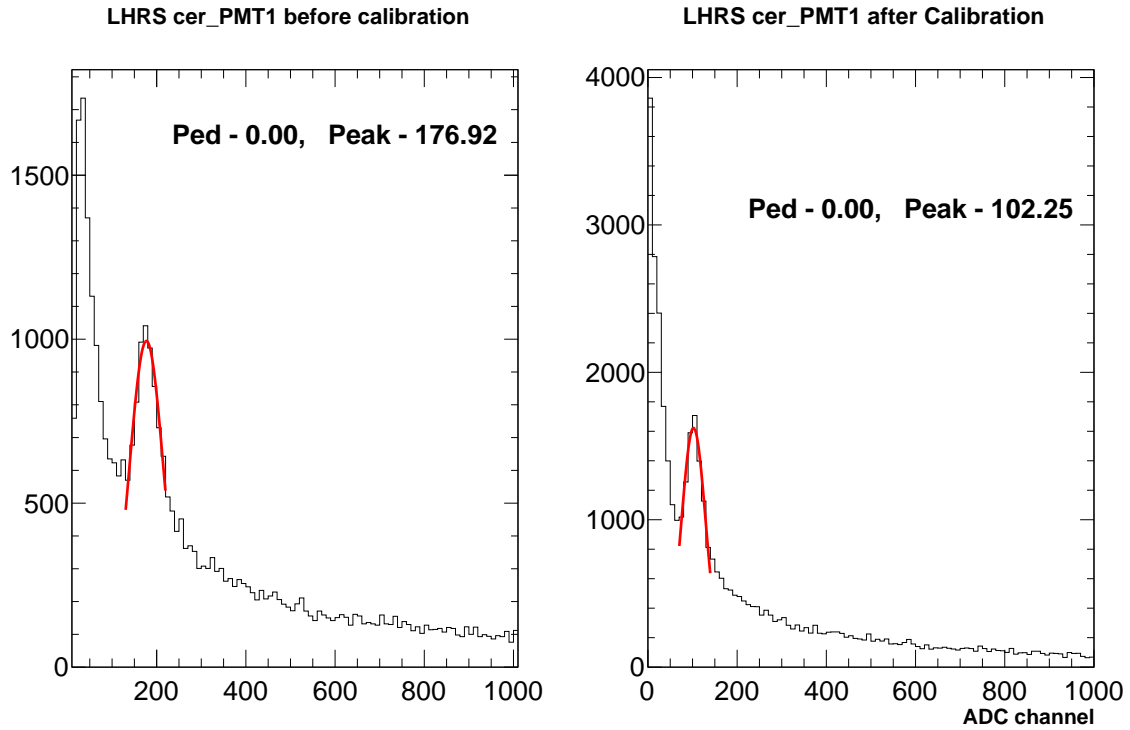


Figure 4.13: The SPE location before and after calibration for PMT1.

947

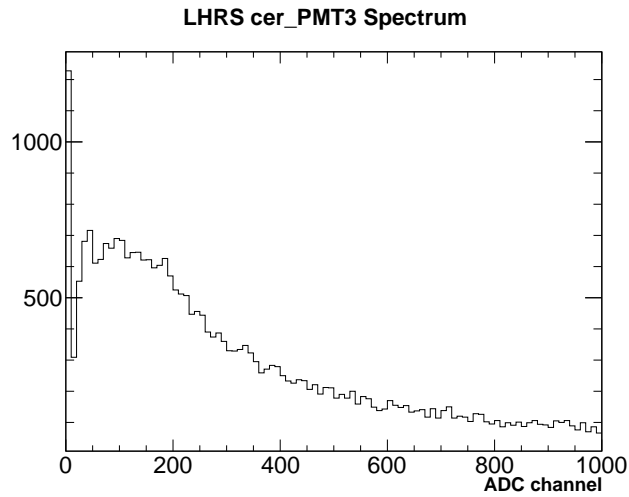


Figure 4.14: The PMT3's ADC spectrum. This is the noisy PMT. No SPE peak is seen and the pedestal is not easily determined.

948 Applying these new coefficients we can check the alignment again on the same data
 949 and it is much improved, as seen in Figure 4.16. The alignment works better after
 950 the new calibration. It was found that PMT3 was a bit noisier compared to the other
 951 PMTs and it was harder to define the PED or the SPE for this PMT. See Figure 4.14.
 952

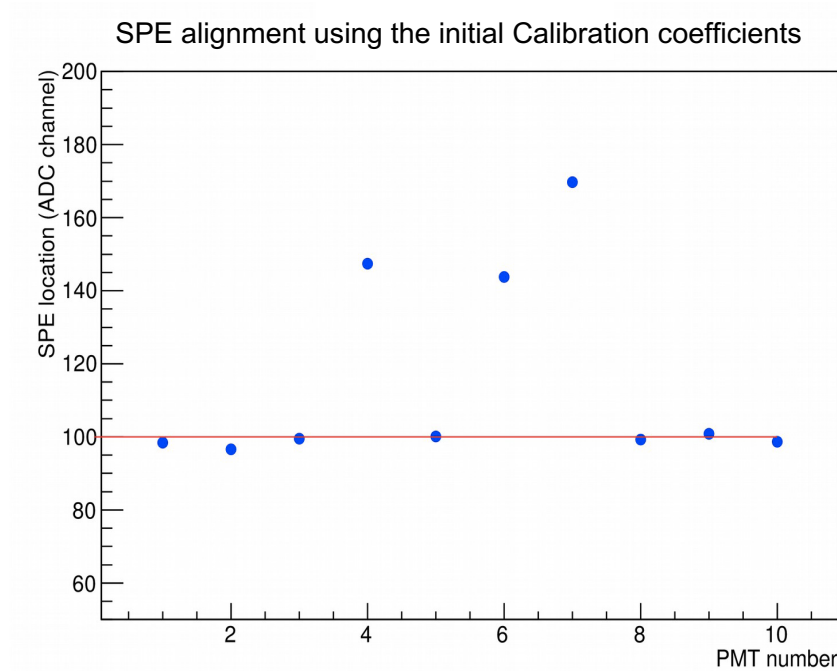


Figure 4.15: The SPE alignment using the initial calibration coefficient.

953 4.3.3 Calorimeter Calibration

954 The configuration and working principles of the calorimeter were mentioned in Section
 955 3.6.4. The calorimeter provides a additional electron/pion discrimination working
 956 in combination with the Cherenkov. The calorimeters in both LHRS and RHRS
 957 are segmented into many individual lead-glass blocks, each of them is connected
 958 to a PMT. When a high energy particle passes through calorimeter it can produce
 959 γ, e^+, e^- . This process continues through the calorimeter and creates a cascade of
 960 secondary particles. The energy of original particle is converted to the light and

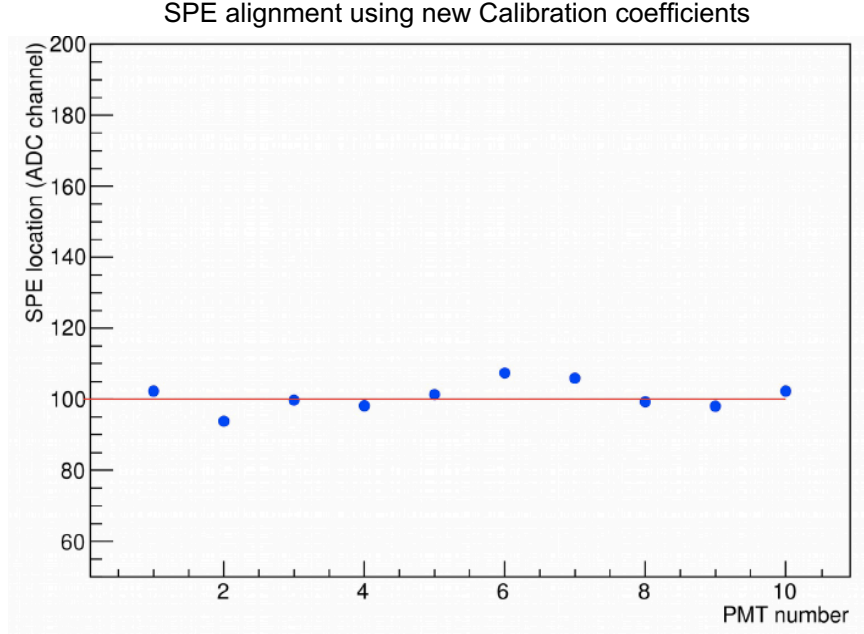


Figure 4.16: The SPE alignment with new calibration.

961 recorded by the PMTs. Normally, a cascade can fire several lead-glass blocks at the
 962 same time which defines a shower cluster. In order to get the deposited energy (E)
 963 of particle, the recorded ADC signal from the PMTs needs to be converted to energy
 964 and summed over from all adjacent blocks, as in Equation 4.6.

$$E = \sum_i^n E_i = \sum_i^n C_i \times A_i, \quad (4.6)$$

965 where E_i is the energy in block i and n is number of block in a cluster. A_i is the
 966 recorded ADC value from block i and the C_i is the coefficient to convert the ADC
 967 channel to energy.

968 The main goal of the calorimeter calibration is to determine the C_i 's such that
 969 the total deposited energy is equal to the momentum of the electron or $E/p \sim 1$. The
 970 calibration is based on χ^2 minimization where χ^2 is defined as:

$$\chi^2 = \sum_i^N \left(\sum_j^{n_1} C_j A_j^i + \sum_k^{n_2} C_k A_k^i - P^i \right)^2, \quad (4.7)$$

971 with P^i the momentum of event i , n_1 and n_2 are number of the block in a cluster
 972 in prl1 (pre-shower), prl2 (shower) for the LHRS (RHRS), respectively. N is total
 973 number of events selected for the calibration. There is a difference in the LHRS and
 974 RHRS calorimeter calibration. As the RHRS total energy is deposited while in LHRS
 975 it is not. Details can be found in Refs. [54, 69].

976 Figure 4.17 shows the performance of the calorimeter after calibration at different
 977 kinematics. The left plot is for $P_0 = 2.505$ GeV, $\theta_0 = 25^\circ$ and the right plot is for
 978 $P_0 = 3.035$ GeV, $\theta_0 = 23^\circ$. The peak is nicely located around 1 and the width of the
 979 peak implies a resolution around 5% in both cases.

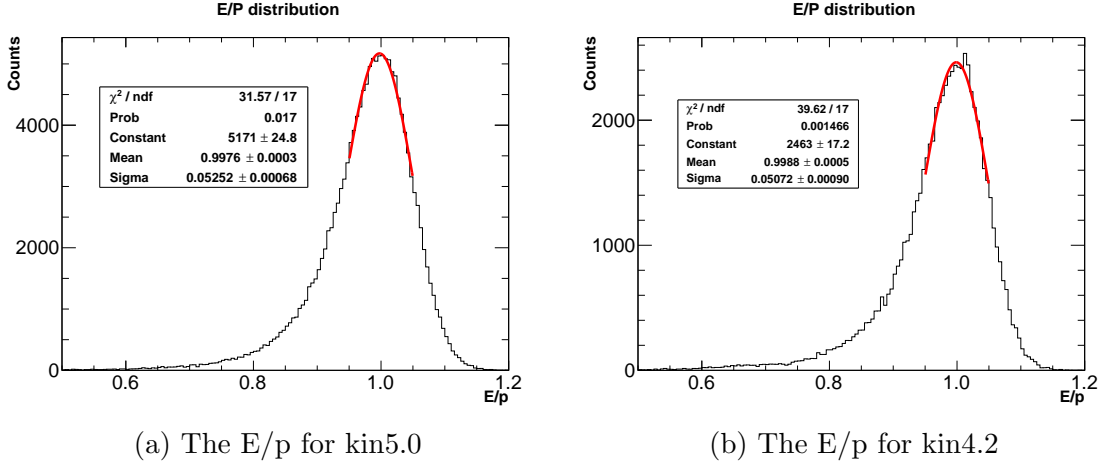


Figure 4.17: E/P distribution after calibration. The left plot is for kin5.0, central momentum $P_0 = 2.505$ GeV and $\theta_0 = 25^\circ$. The right plot is for kin4.2, central momentum $P_0 = 3.035$ GeV and $\theta_0 = 25^\circ$. Note that the distribution peaks at $E/p \sim 1$.

980 4.4 Optics Calibration

981 This section will give a general introduction to the working principles of the optics
 982 and optics calibration procedure [70–72]. The goal of this calibration is to determine
 983 the optics matrix in order to get the reconstructed target variables y_{tg} , θ_{tg} , ϕ_{tg} and
 984 δ for each event. The idea of this reconstruction problem is that after the electron

beam scatters in the target, a scattered electron comes out and goes through the magnetic fields of the QQDQ magnet configuration of the Hall A HRS before they are detected in the hut. The optics matrix takes the detected information and returns the reconstructed information including the position and direction of each event at target. The optics matrix is a mathematical expression of the magnetic field of HRSs and we use a polynomial function in this case. We use special data to do the optics calibration. For example, data from the multiple foils target and the sieve slit are used for the position and angle calibration, respectively. We also need a detailed survey for target position as well as spectrometer offsets to calculate the expected value. The calibration is based on a χ^2 optimization to determine the coefficients for the polynomial function which form the optics matrix. An introduction to the HRS coordinate systems is provided in the following section in order to understand the calculation of expected quantities.

4.4.1 HRS coordinate systems

There are five different right handed coordinate systems used in the HRS analysis. Only a short introduction is presented here, a detailed description for each of coordinate system can be found in Ref. [70].

- **Hall Coordinate system (HCS):** The origin of HCS is the Hall A center, which is defined as intersection of the electron beam and the vertical axis of the target. The positive z is along the beam direction, positive y is vertical up and positive x pointing to the left of the beam direction as seen in Figure 4.18
- **Target coordinate system (TCS):** The z_{tg} is defined as central ray of the spectrometer which is perpendicular to the sieve slit surface and goes through the sieve slit central hole. The positive z_{tg} points away from the target. The y_{tg} axis points away from beam direction. The x_{tg} axis points down as can be

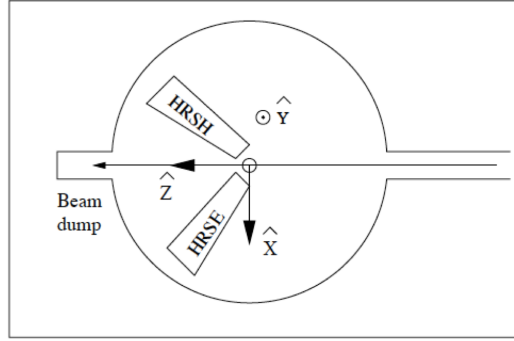


Figure 4.18: Hall coordinate system (HCS) (top view).

1010 seen in Figure 4.19. In the ideal situation where the beam, spectrometer and
 1011 sieve slit have no offset, the origin of the TCS is the same as hall center.

1012 • **Detector coordinate system (DCS):** The intersection of wire 184 of the
 1013 VDC1 U1 plane and the perpendicular projection of wire 184 in the VDC V1
 1014 plane onto the VDC U1 plane defines the origin of DCS. The z axis is perpen-
 1015 dicular to VDC1 U1 plane pointing vertically up, x is along a symmetry axis of
 1016 the lower VDC pointing away from the hall center See Figure 4.20.

• **Transport coordinate system (TRCS):** The TRCS is generated by rotating the DCS clockwise around its y axis by 45° , so that the z axis coincides with the central ray of the spectrometer in the ideal case See Figure 4.21. The TRCS is used to transport the DCS to the focal plane coordinate system which is used in the optimization, described in the later section. The TRCS coordinates of each event can be expressed in term of DCS coordinates by the following equation

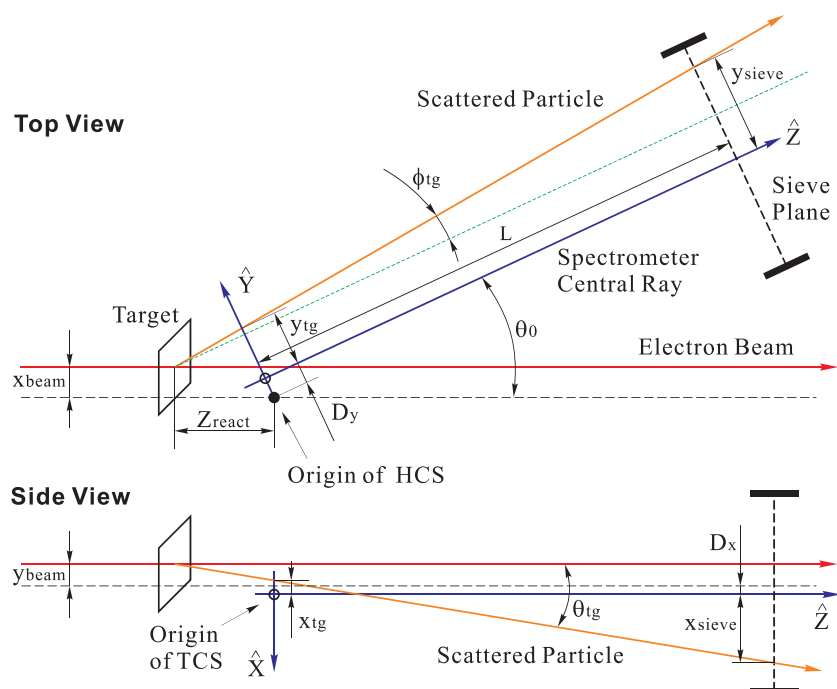


Figure 4.19: Target coordinate system for top view and side view.

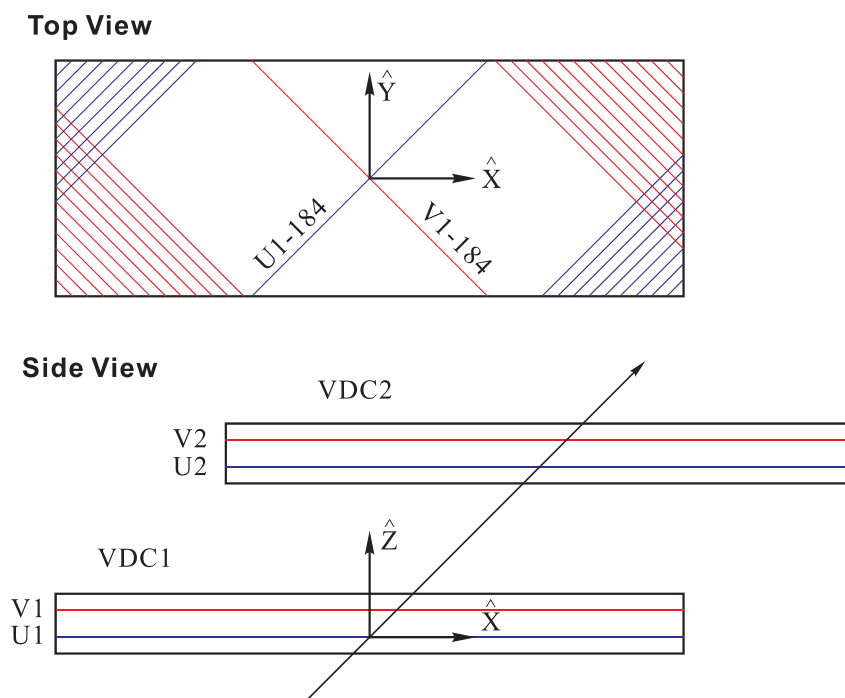


Figure 4.20: Detector coordinate system, top and side view.

4.8.

$$\begin{aligned}
\theta_{tran} &= \frac{\theta_{det} + \tan \rho_0}{1 - \theta_{det} \tan \rho_0} \\
\phi_{tran} &= \frac{\phi_{det}}{\cos \rho_0 - \theta_{det} \sin \rho_0} \\
x_{trans} &= x_{det} \cos \rho_0 (1 + \theta_{tran} \tan \rho_0) \\
y_{tran} &= y_{det} + \sin \rho_0 \phi_{tran} x_{det}
\end{aligned} \tag{4.8}$$

and $\rho_0 = -45^\circ$ is the rotation angle.

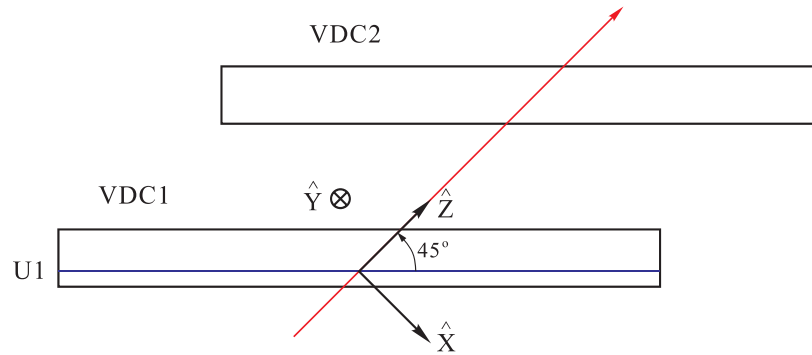


Figure 4.21: Transport coordinate system (side view).

1017

- **Focal plane coordinate system (FCS):** The FCS is the coordinate system, generated by rotating the DCS clockwise around its y axis by the angle ρ where ρ is the angle between the local central ray and the z axis of the DCS, see Figure 4.22. This coordinate is chosen for the HRS analysis and can be calculated from

the TRCS coordinates by following equation:

$$\begin{aligned}
 x_{fp} &= x_{tran} \\
 \tan \rho &= \sum t_{i000} x_{fp}^i \\
 y_{fp} &= y_{tran} - \sum y_{i000} x_{fp}^i \\
 \theta_{fp} &= \frac{\theta_{det} + \tan \rho}{1 - \theta_{det} \tan \rho} \\
 \phi_{fp} &= \frac{\phi_{det} - \sum p_{i000} x_{fp}^i}{\cos \rho - \theta_{det} \sin \rho},
 \end{aligned} \tag{4.9}$$

1018 where t_{i000} , y_{i000} , p_{i000} are the terms in the optics matrix which were calibrated
 based on the offset of VDC package.

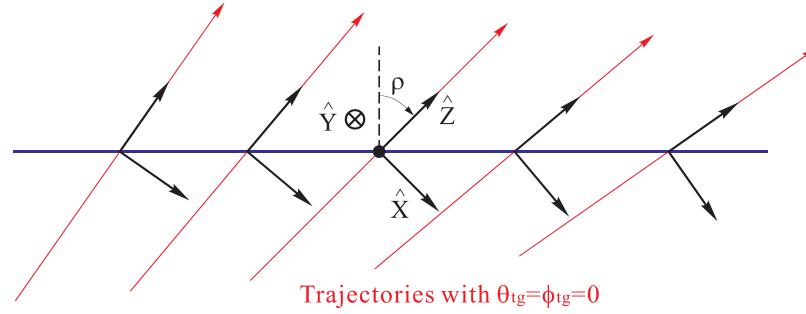


Figure 4.22: Focal plane coordinate system (side view).

1019

1020 4.4.2 Optimization procedure

1021 Expected target variables

Using the survey information and the geometry of the spectrometer the expected value for each target variable can be determined using the Equation 4.10. This is the

first step forward calculating the χ^2 for optimization.

$$\begin{aligned}
\theta_{tg} &= \frac{x_{sieve} + D_x + y_{beam}}{L - Z_{react} \cos(\theta_0) - x_{beam} \sin(\theta_0)} \\
\phi_{tg} &= \frac{y_{sieve} + D_y - x_{beam} \cos(\theta_0) + Z_{react} \sin(\theta_0)}{L - Z_{react} \cos(\theta_0) - x_{beam}} \\
x_{tg} &= x_{sieve} - L\theta_{tg} \\
y_{tg} &= y_{sieve} - L\phi_{tg}
\end{aligned} \tag{4.10}$$

L , D_x , D_y come directly from spectrometer survey. For more details see Figure 4.19. These target variables are used to calculate the scattering angle and the reaction points along the beam (Z_{react}) using:

$$\begin{aligned}
\theta_{scat} &= \arccos\left(\frac{\cos \theta_0 - \phi_{tg} \sin \theta_0}{\sqrt{1 + \theta_{tg}^2 + \phi_{tg}^2}}\right) \\
z_{react} &= \frac{-(y_{tg} + D_y) + x_{beam}(\cos \theta_0 - \phi_{tg} \sin \theta_0)}{\cos \theta_0 \phi_{tg} + \sin \theta_0},
\end{aligned} \tag{4.11}$$

1022 where θ_0 is the central angle of the spectrometer. The x_{sieve} and y_{sieve} come from the
1023 sieve survey.

1024 **Reconstructed target variables from data**

In the DCS coordinates, for each event, two angle coordinates θ_{det} and ϕ_{det} and two spatial coordinates x_{det} and y_{det} are directly measured with the VDCs. These variables are corrected for any detector offsets to provide the focal plane variables which are used to calculate the reconstructed variables at the target. The transformation between focal plane variables to the target variables can be written as:

$$\begin{pmatrix} \delta \\ \theta \\ y \\ \phi \end{pmatrix}_{tg} = \begin{pmatrix} \langle \delta|x \rangle & \langle \delta|\theta \rangle & 0 & 0 \\ \langle \theta|x \rangle & \langle \theta|\theta \rangle & 0 & 0 \\ 0 & 0 & \langle y|y \rangle & \langle y|\phi \rangle \\ 0 & 0 & \langle \phi|y \rangle & \langle \phi|\phi \rangle \end{pmatrix} \begin{pmatrix} x \\ \theta \\ y \\ \phi \end{pmatrix}_{fp}$$

The relative momentum δ is defined as:

$$\delta = \frac{P - P_0}{P_0},$$

where P is the measured momentum of the particle and P_0 is the central momentum setting of the spectrometer. In the optics calibration, to reduce the number of unknown variables at target, the x_{tg} is effectively set at zero. Other target variables δ , θ_{tg} , ϕ_{tg} and y_{tg} can be calculated using the initial optics matrix following by Expression 4.12.

$$\begin{aligned} y_{tg} &= \sum_{j,k,l} Y_{jkl} \theta_{fp}^j y_{fp}^k \phi_{fp}^l \\ \theta_{tg} &= \sum_{j,k,l} T_{jkl} \theta_{fp}^j y_{fp}^k \phi_{fp}^l \\ \phi_{tg} &= \sum_{j,k,l} P_{jkl} \theta_{fp}^j y_{fp}^k \phi_{fp}^l \\ \delta &= \sum_{j,k,l} D_{jkl} \theta_{fp}^j y_{fp}^k \phi_{fp}^l, \end{aligned} \tag{4.12}$$

where the tensors Y_{jkl} , T_{jkl} , D_{jkl} are polynomials in x_{fp} , for example:

$$Y_{jkl} = \sum_i C^{Y_{jkl}} x_{fp}^i.$$

1025 χ^2 minimization

1026 For each event the χ^2 is defined as the variance of reconstructed data from their
1027 expected values.

$$\chi^2 = \sum_N \left(\sum_{j,k,l} X_{jkl} \theta_{fp}^j y_{fp}^k \phi_{fp}^l - X^0 \right)^2, \quad (4.13)$$

1028 where N is total number of measured events for the calibration. The X_{jkl} can be
1029 any of the tensors Y_{jkl} , T_{jkl} , P_{jkl} , D_{jkl} and the X^0 can be the expectation value for
1030 any variable y_{tg} , θ_{tg} , ϕ_{tg} , δ . A optimization package, TMINUIT, minimizes χ^2 to
1031 determine the optics matrix elements for all target variables.

1032 4.4.3 Reconstruction after optimization

1033 E08014 did not have enough optics data nor sufficient survey data so the optics
1034 calibration did not perform well. The initial optics matrix for this analysis had a
1035 reconstruction issue for the θ_{tg} variables, and detailed information will be provided in
1036 Section 4.6. In principle the optics is sensitive to the magnet tune of the spectrometer,
1037 i.e, the ratio of magnetic field in Quadrupoles (Q) to the magnetic field in Dipole (D).
1038 If the magnets follow the same tune, the same optics matrix can be used in the nominal
1039 range of momentum and angular acceptance. The G_M^p experiment (E12-07-108) ran
1040 in Spring 2016 with high precision optics using the same magnetic tune, so their data
1041 was used in this analysis. The following is the reconstruction check using the G_M^p
1042 optics matrix elements on both position, the angle and momentum [73,74].

- 1043 • Position reconstruction Z_{vertex} : The multiple foil target which covers the full
1044 length of long target was used to take data for the position calibration See
1045 Figure 4.23.
- 1046 • Angle reconstruction θ_{tg} , ϕ_{tg} : The sieve slit data taken with multiple foils target
1047 was used for the angle calibration See Figure 4.24.

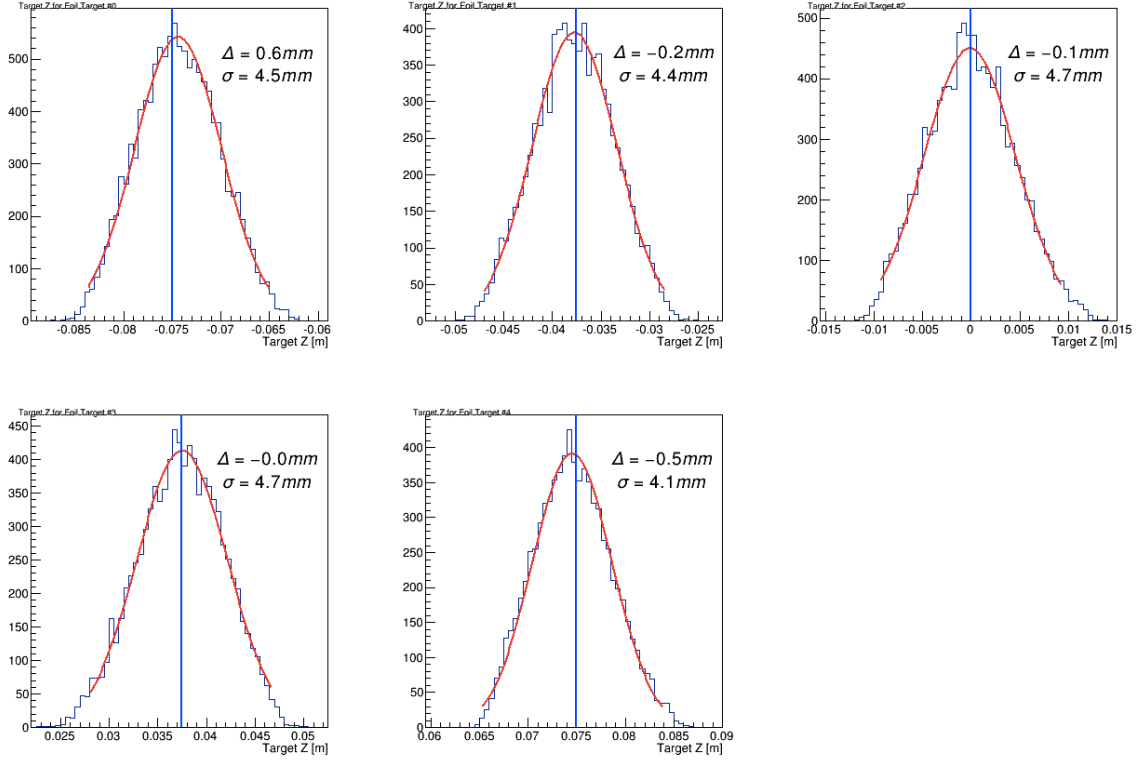


Figure 4.23: Z_{vertex} after the optimization in G_M^p optics using Spring 2016 optics data. The blue lines are the expected values. The black curves are the event distribution for different target foils.

- Relative momentum reconstruction: See Figure 4.25

4.4.4 Performance of G_M^p optics on E08014 data

Applying the G_M^p optics on the E08014 optics data as check of the performance of the optics matrix.

Multiple foils target data

Using the multiple foils target data, we can check the position reconstruction performance. See Figures 4.26 and 4.27.

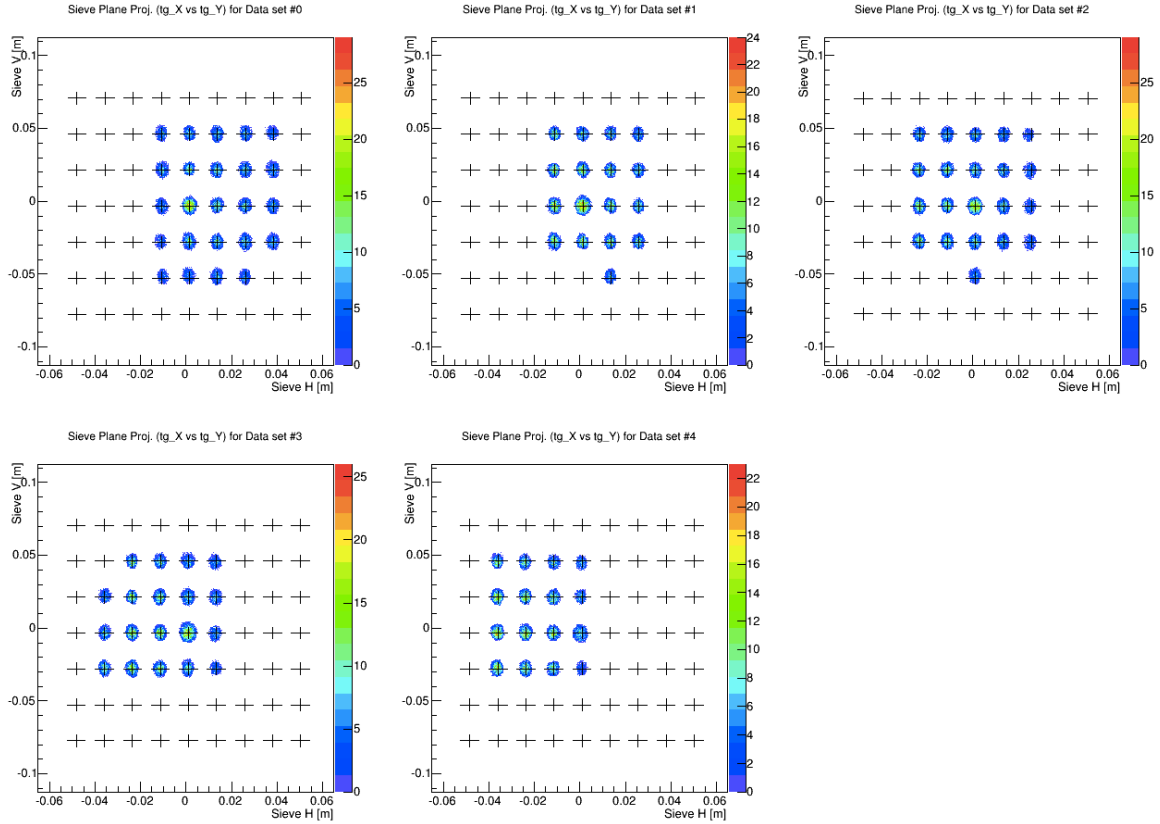


Figure 4.24: Reconstructed angle after the optimization in G_m^P optics using Spring 2016 optics data. The cross points present the expected position of each sieve hole. The event distribution after optimization for each sieve hole shows a good reconstruction.

1055 Multiple foils targets with sieve on data

1056 Using the sieve on data on the multiple foils target we can check the angle recon-
 1057 struction performance of the optics See Figure 4.28.

1058 The optics matrix using the G_M^P data performs well for the E08014 data and is
 1059 used for this analysis.

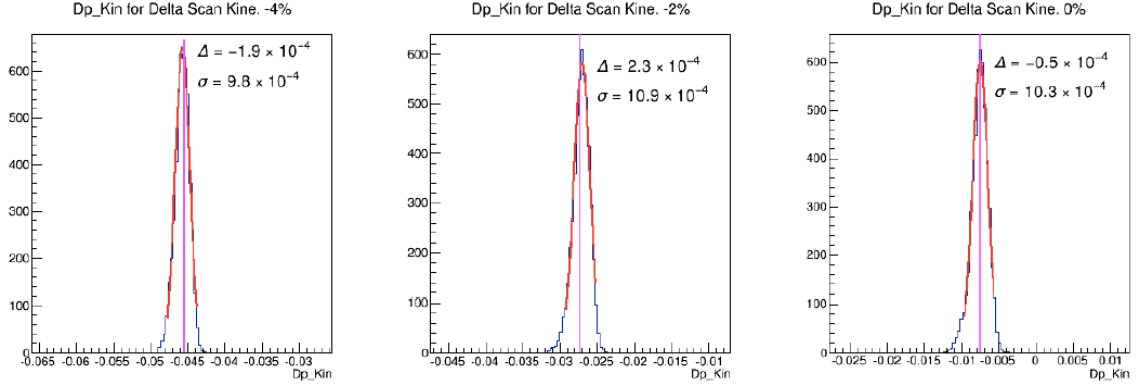


Figure 4.25: Relative momentum reconstruction after the optimization in G_m^P optics using Spring 2016 optics data. The blue lines are the expected value of the relative momentum and the black curves are the event distribution after the optimization. The red curves are a gaussian fit to the event distribution. The reconstruction has good resolution ($\sigma \sim 10^{-3}$)

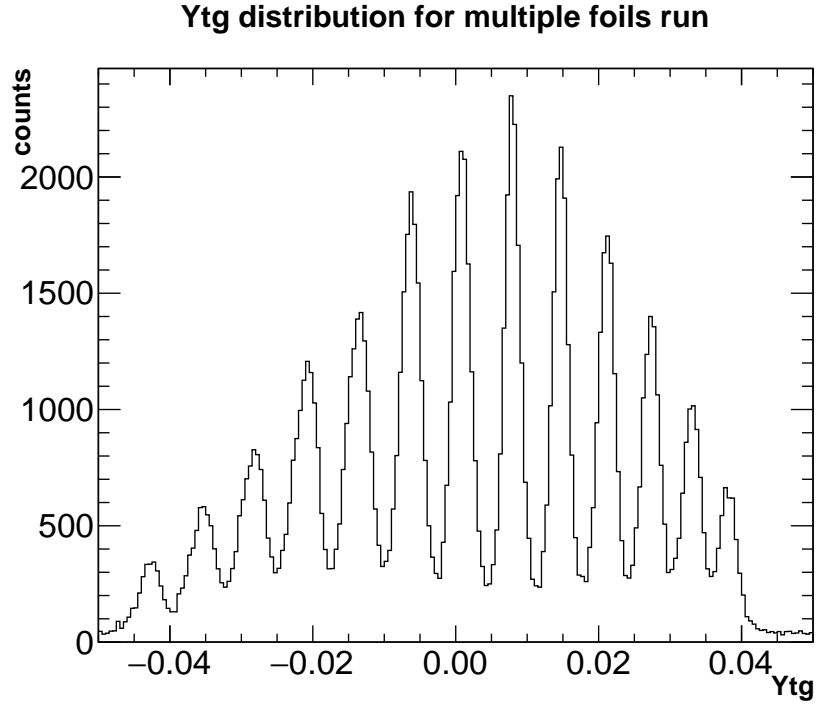


Figure 4.26: Multiple foils target, Y reconstruction

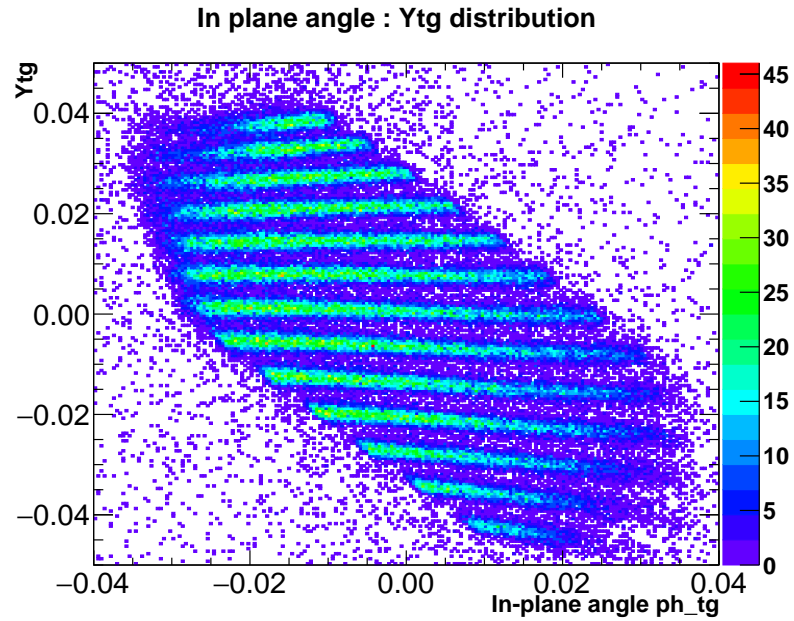


Figure 4.27: Multiple foils target, in plane angle vs Ytg reconstruction. The foils are well separated and the reconstructed positions agree well with the expected position of each foil.

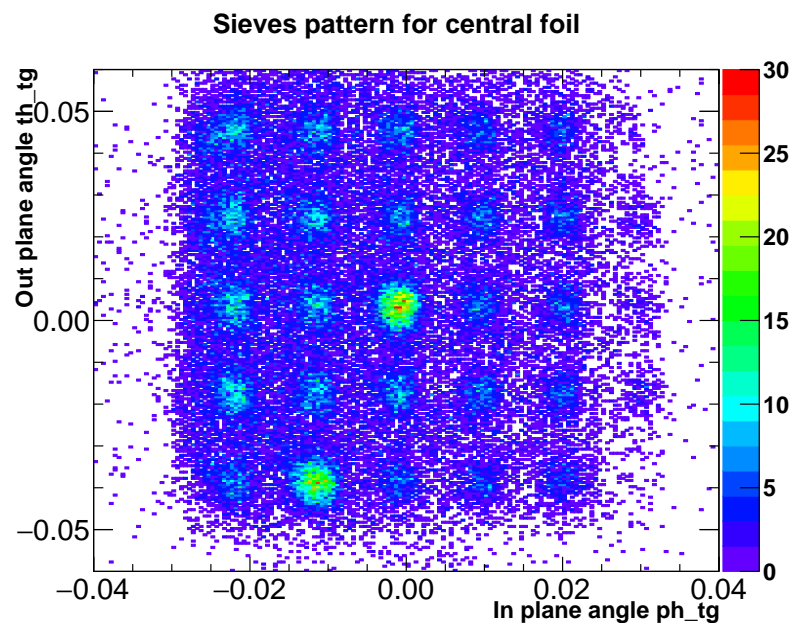


Figure 4.28: Sieves run with multiple foils target. The pattern of the sieve for the central foil.

1060 4.5 Efficiency Studies

1061 4.5.1 Beam Charge Calculation

1062 In Hall A BCMs were responsible for measuring the beam current. Scalers were used
 1063 to record the information from BCM signals and timing signals. The clock sends a
 1064 pulse signal and get counted in a time scaler. The number of clock count in the scaler
 1065 increases with time and is proportional to the clock rate See Figure 4.29.

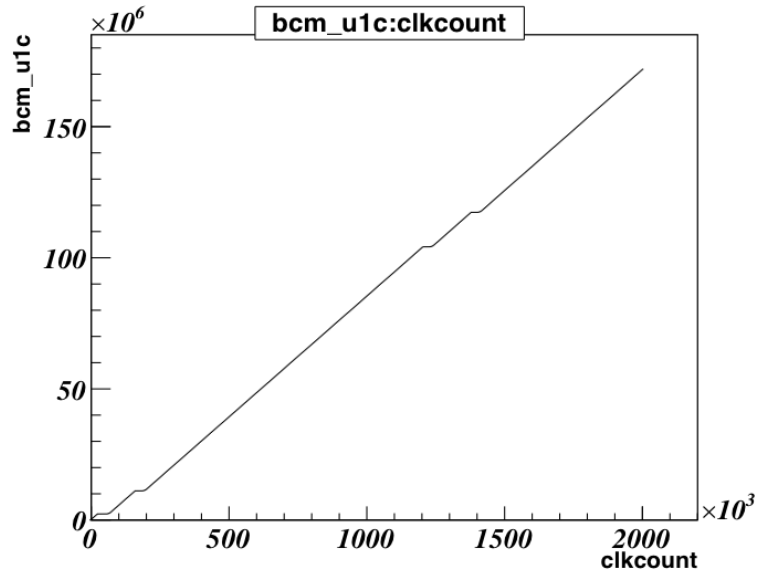


Figure 4.29: The number of BCM counts from bcm-u1 vs number of count from the clock. When beam trips, the bcm count doesn't change.

1066 The clock rate used in this experiment was 1042 Hz, and the number of clock
 1067 counts was updated every 4 seconds. Using the time scaler we can extract the running
 1068 time. The BCM scalers record the accumulated counts from BCM signals. The beam
 1069 current is the pulse signal with frequency, higher current corresponding to higher
 1070 frequency and can be expressed as:

$$I = m \times f + b, \quad (4.14)$$

1071 where f is frequency, m and b are two parameters provided by the BCM calibration
 1072 [75] (m is the slope while b is an offset term). The total beam charge is the integration
 1073 of current over the total time:

$$Q = \int I dt = \underbrace{\left[m \times \int f dt \right]}_{\text{First term}} + \underbrace{\left[b \times \int dt \right]}_{\text{Second term}}. \quad (4.15)$$

1074 The First term is the total count from BCM scalers. The current may change
 1075 during runtime through changing the frequency but we don't need to worry about
 1076 the change in f , the integral will give total count from BCMs. In an ideal situation
 1077 when there is no current f is zero and no counts are recorded from BCMs. In the
 1078 real situation the BCMs have an offset which means that the BCMs still have some
 1079 counts when there is no beam. The parameter b will take care of the BCM offset.
 1080 The second term is the total count from the time scaler which provides the run time
 1081 using:

$$\int dt = \frac{\text{Clk}_{count}}{\text{Clk}_{rate}} \quad (4.16)$$

1082 where Clk_{count} and Clk_{rate} are the number of counts from clock scaler and the clock
 1083 rate. Beam trips need to be handled. From the plot of BCMs count as function of
 1084 time, Figure 4.29, BCMs count are constant when there is a beam trip. We only
 1085 care about total charge when beam is on. To be able to do that we can calculate the
 1086 accumulated charge and live current between two consecutive scaler events.

$$\begin{aligned} \text{BCM}_{count}^i &= \text{BCM}_{i+1} - \text{BCM}_i \\ \Delta t^i &= (\text{Clk}_{i+1} - \text{Clk}_i) / \text{Clk}_{rate} \\ I^i &= m * \text{BCM}_{count}^i / \Delta t^i + b \\ Q^i &= I^i \cdot \Delta t^i. \end{aligned} \quad (4.17)$$

1087 We can set the condition for this live current to select events without a beam
 1088 trip. Two different scalers, Up (U) and Down (D), for both the Left and the Right

HRS were set up to do the measurement for the same BCMs signal but with different amplification factors ($x1, x3, x10$), labeled as U1(D1), U3(D3), U10(D10). E08014 used current above $40 \mu\text{A}$ so U10(D10) were unusable. The read-back of the HRS Left scalers was unstable for the entire experiment, so the Right BCM scalers read-back was used to determine the charge and current for production data [54,75]. There are two sets of BCM parameters from two calibrations which can be used to estimate the beam charge uncertainty. The difference in the calculated beam charge using two parameter sets the uncertainty at the level of 0.5%.

4.5.2 Livetime

The dead-time of concern is the computer dead-time. Events will be skipped if they come while the DAQ is busy processing the current event. The dead-time will be evaluated as the percentage of the trigger events being skipped to the total trigger event over a certain period of time. The dead-time mainly depends on the trigger rate. The dead-time can be reduced by applying a pre-scale factor for each trigger. In this way the DAQ processes at a lower rate so the chance that DAQ misses an event is lower and this means lower dead-time.

Online dead-time is monitored by using the electron dead time monitor module (EDTM) which mixes pulse with fixed frequency send to TDC signal. The total number of pulses in a fixed amount of time is known and total number events detected by DAQ is known as well. So the dead-time is a percentage of events which are not recorded by DAQ. The dead-time can be adjusted by changing the pre-scale factor.

For offline analysis, dead-time is calculated for individual triggers. The average value of the dead-time for the main trigger will be calculated by dividing the total number of events recorded by DAQ (scaled by pre-scale factor) to the total trigger events was sent to DAQ. So the average dead-time (DT) for each trigger can be

expressed by:

$$DT_i = 1 - \frac{PS_i * N_i^{record}}{N_i^{sent}}, \quad (4.18)$$

where DT_i is the dead-time for a specific trigger T_i , PS_i is pre-scaler factor, N_i^{DAQ} is the number of events recorded by DAQ and N_i^{scaler} is the total number of events counted by the scaler for the same trigger. The N_i^{DAQ} can be defined using the trigger type cut while the N_i^{sent} can be calculated as the total accumulated count of the trigger event using the trigger scaler. The value that is used in data analysis is Livetime (LT) where $LT = 1 - DT$. The number of good events for each run will be corrected by LT:

$$N_{EX} = PS_i \cdot \frac{N_i^{selected}}{LT_i} \quad (4.19)$$

where $N_i^{selected}$ is total number of selected events after selection cuts. After applying the LT correction, the expected total number of events, N_{EX} , can be obtained. This will be used in the cross section calculation.

4.5.3 Particle Identification Efficiency

The combination of the Gas Cherenkov and the calorimeter are responsible for e^-/π discrimination. Specifically, the cuts on the Cherenkov sum signal (cer-sum) and the total energy deposited in calorimeter (E/p) are used to separate the e^- from the π . These cuts eliminate the most of the π contamination but they can also remove some of the good e^- 's. The goal of the particle identification efficiency study is to find the optimized cuts which minimize the π contamination while maximizing the number of good e^- 's. An understanding of the behavior of different types of particles in the Cherenkov and calorimeter is needed in order to do this study. Good electrons produce a large signal in cer-sum and E/p is centered at 1.0 as seen in Figure 4.30. The π^- 's do not normally create a Cherenkov signal because of the velocity threshold

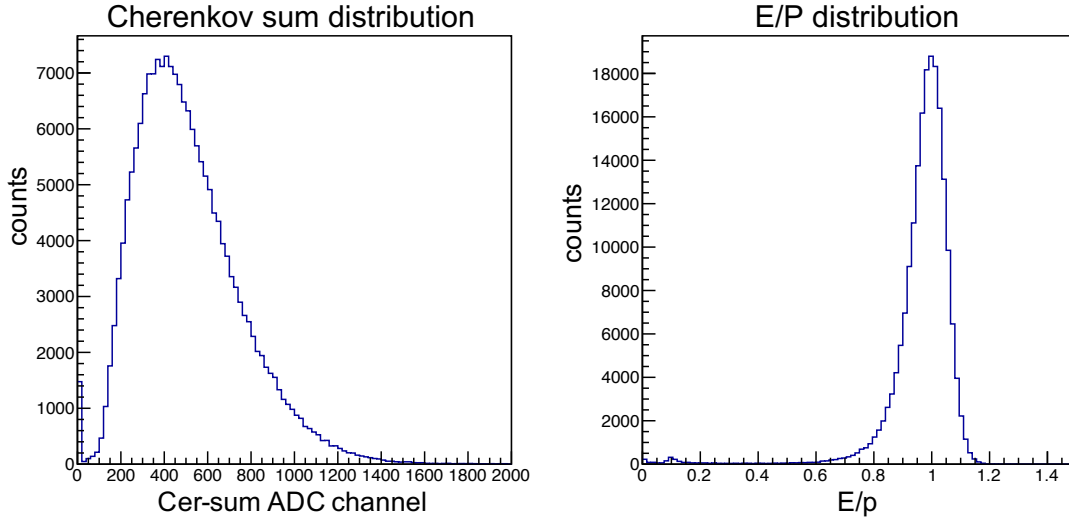


Figure 4.30: The left plot is the Cherenkov sum distribution. The right plot is the E/p distribution, electrons are centered around $E/P \sim 1$, pions are centered at $E/P \sim 0.1$. Only loose acceptance cuts and single track were applied on both plots.

1136 and deposit only a small amount of their energy in the calorimeters. These π^- are
 1137 found in the region A of the left plot in Figure 4.31. When the π^- 's go through the
 1138 Cherenkov gas they can produce a knock-on (secondary) electron which can create a
 1139 Cherenkov signal and fire the trigger. These secondary e^- produce a small signal in
 1140 the calorimeters, see the left plot region B in Figure 4.31. In addition with very small
 1141 probability, the π_s^- can produce photons through the channel $\pi^- p \rightarrow \pi^0 n \rightarrow \gamma\gamma$.
 1142 These photons create a large signal in calorimeter but have no Cherenkov signal, see
 1143 the left plot region C in Figure 4.31. The main trigger cut can help to get rid of the
 1144 events from the region A and C, as shown as the right plot of Figure 4.31.

1145 For the kinematic settings of E08014, the π/e^- ratio is small, see Table 4.3. In
 1146 addition, as the Cherenkov is added to the main trigger, removing pions at hardware
 1147 level, makes the π contamination even smaller. To be able to study the π rejection
 1148 efficiency, an efficiency trigger is used to select a π sample. We can start with the
 1149 kinematic setting which has largest ratio π/e^- . If the contamination for this setting
 1150 is negligible we don't have to worry about other the settings.

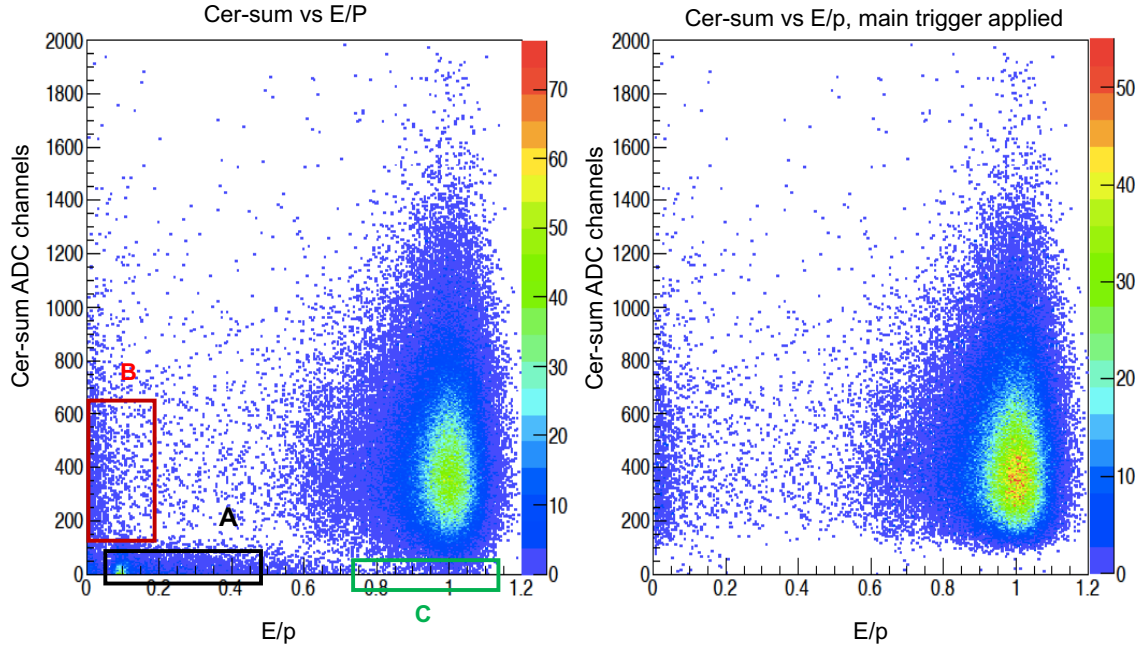


Figure 4.31: The left plot shows the 2D distribution of the Cherenkov sum vs E/p . Only loose acceptance cuts and single track were applied. The region A, these events are pions, they have small energy deposited and no Cherenkov signal. The region B, the events have big Cherenkov signal but small energy deposited in calorimeter. These events are secondary electron. The region C, the events come from photon production. The right plot is the 2D distribution of the Cherenkov sum vs E/p with an additional main trigger cut.

1151 The first step for the PID studies for both cer-sum and E/p cuts is selecting a
 1152 sample of events with loose cuts on the acceptance, single track and trigger cuts, a
 1153 sample is shown in Figure 4.32. The subsequent steps in this study will be described
 1154 in the following sections.

1155 Cherenkov sum cut efficiency

1156 Determination of the Cherenkov sum efficiency requires a nearly pure electron and
 1157 pion samples using the calorimeter signal. See Figure 4.33. The electron sample are
 1158 the events with the large energy deposited in both the pion rejection layer 1 (prl1)
 1159 and the pion rejection layer 2 (prl2) with E/p is close to one. The pion sample are
 1160 the events that have little energy deposited in both prl1 and prl2 with E/p is close

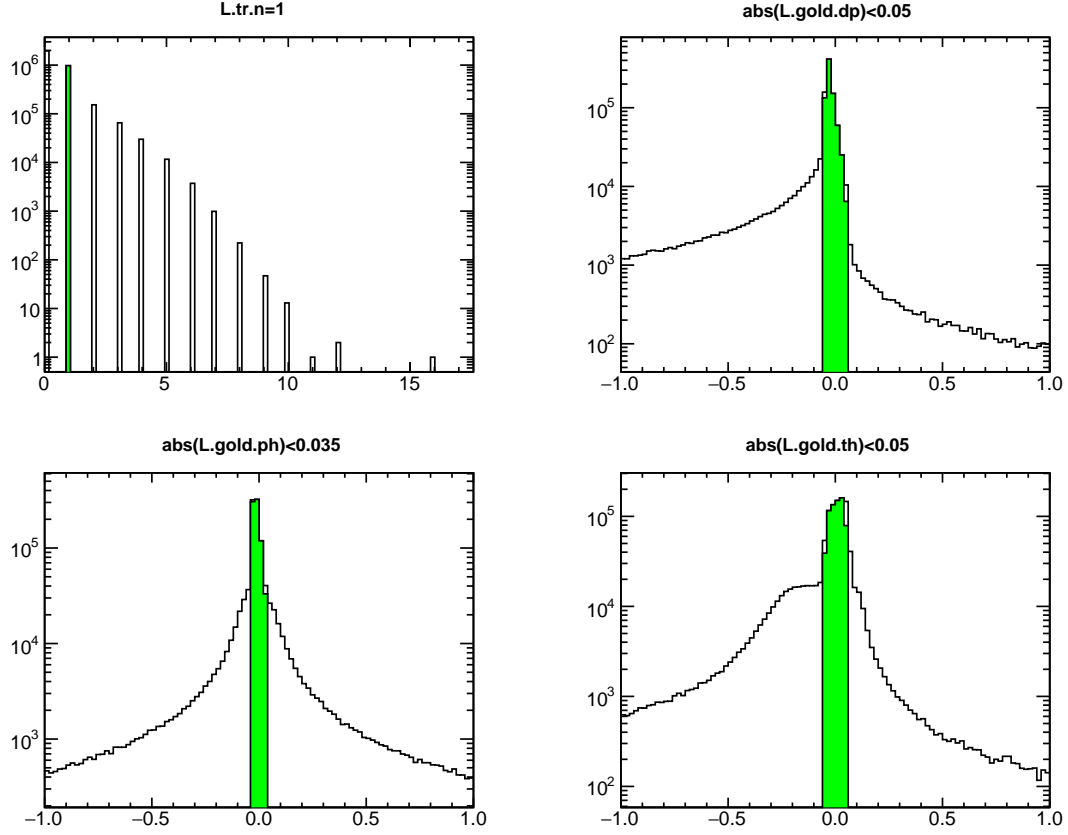


Figure 4.32: Top left plot shows event distribution of the track number (L.tr.n). The top right plot is event distribution for relative momentum variable (L.gold.dp). The left and right bottom plots are event distributions of the in-of-plane (L.gold.ph) angle and out-of-plane (L.gold.th) angle, respectively. Only events pass selection cuts, the single track cut (L.tr.n=1), and loose acceptance cuts ($\text{abs}(\text{L.gold.dp}) < 0.05$ & $\text{abs}(\text{L.gold.ph}) < 0.035$ & $\text{abs}(\text{L.gold.th}) < 0.05$), are selected. The green region shows the selection cut applied on each variables.

1161 to 0.1. See Figure 4.35. Geographic cuts were used to select the electron and pion
 1162 samples, see Figure 4.34. Once a sample is selected, we scan (change) the value of
 1163 the cer-sum cut systematically on the electron and pion samples to see how many
 1164 electrons remain and how many pions are rejected. The electron efficiency of the
 1165 cer-sum cut, $\epsilon_{\text{cer-sum}}^{e^-}$, is defined as:

$$\epsilon_{\text{cer-sum}}^{e^-} = \frac{N_{\text{cal,cer-sum}}^{e^-}}{N_{\text{cal}}^{e^-}}. \quad (4.20)$$

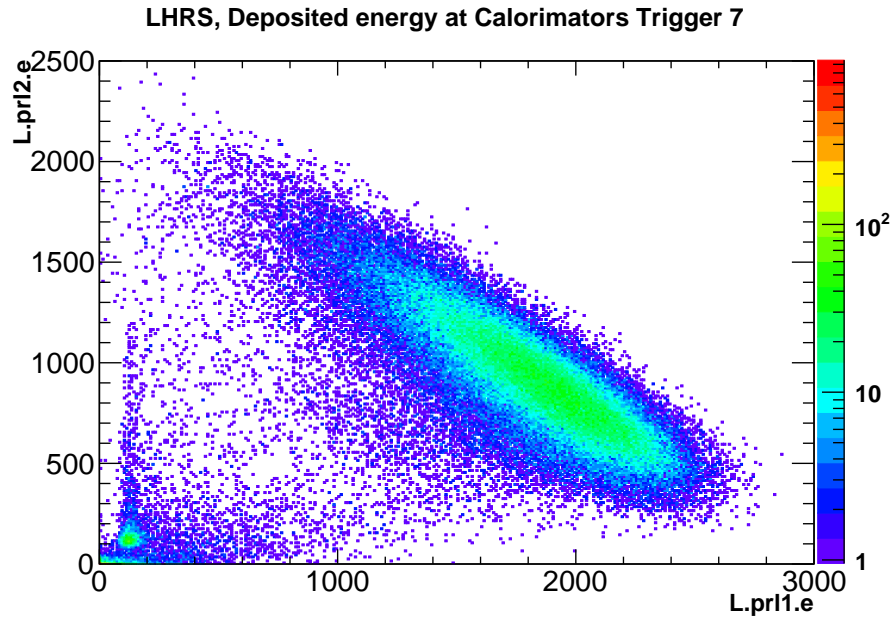


Figure 4.33: The 2D events distribution of prl2 (pion rejection layer 2) vs prl1 (pion rejection layer 1). Loose acceptance, single track, trigger 7 cuts were applied to select the events.

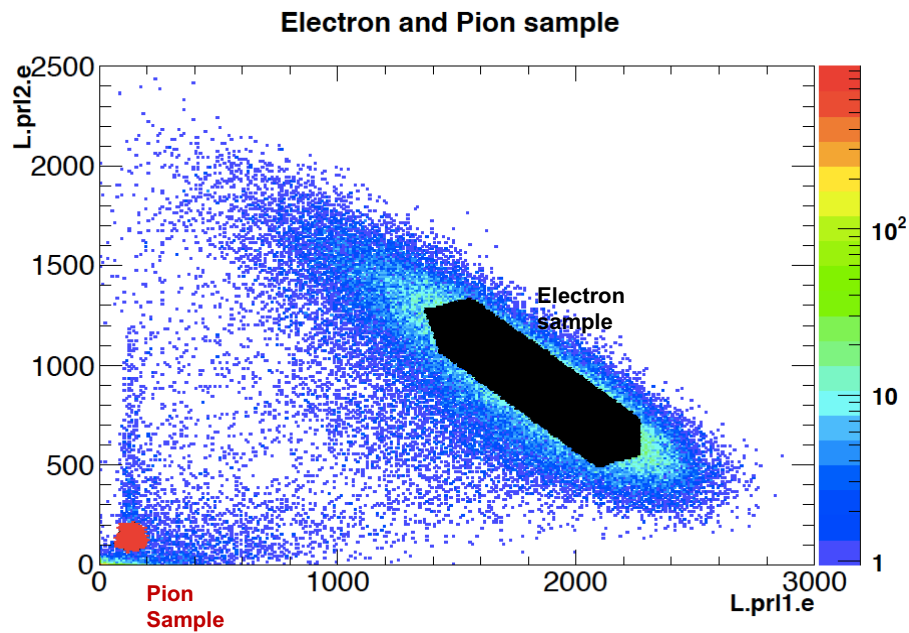


Figure 4.34: The 2D events distribution of the prl2 vs the prl1. The loose acceptance, single track, trigger 7 and geographic cuts were applied to select the electron and pion samples.

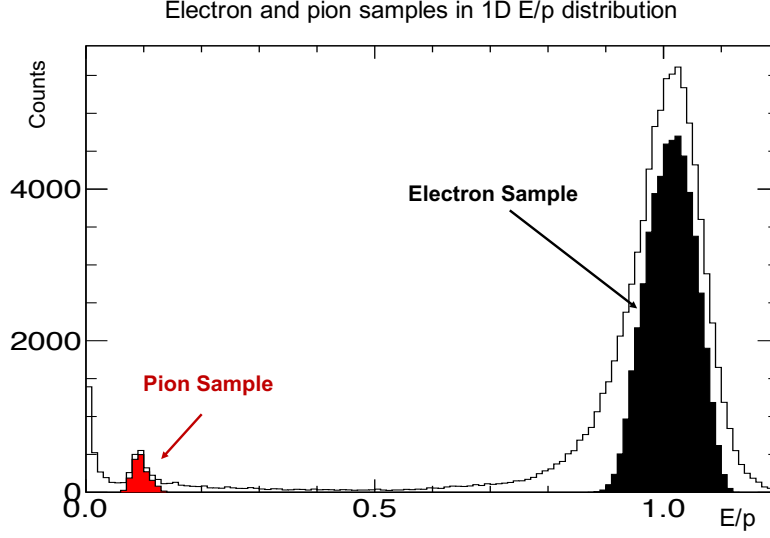


Figure 4.35: The 1D E/p distribution of electron and pion samples. The loose acceptance, single track, trigger 7 and geographic cuts were applied to select the electron and pion samples exactly as in 2D sample plots.

1166 Similarly, the pion rejection efficiency of the cer-sum cut $\epsilon_{cer-sum}^{\pi^-}$ is defined as:

$$\epsilon_{cer-sum}^{\pi^-} = 1 - \frac{N_{cal,cer-sum}^{\pi^-}}{N_{cal}^{\pi^-}}, \quad (4.21)$$

1167 where $N_{cal}^{e^-}$ and $N_{cal}^{\pi^-}$ are the number of electron and pion in the samples selected
 1168 using the calorimeter signal, respectively. The $N_{cal,cer-sum}^{e^-}$ and $N_{cal,cer-sum}^{\pi^-}$ are the
 1169 number of remaining electrons and pions in the samples after the cer-sum cut. The
 1170 uncertainty of the efficiency follows the binomial distribution and can be calculated
 1171 using approximation, expressed as:

$$\text{Uncertainty} = \epsilon_{cer-sum}^{e^-(\pi)} * \frac{\sqrt{(N_{cal}^{e^-(\pi)} - N_{cal,cer-sum}^{e^-(\pi)})}}{N_{cal}^{e^-(\pi)}} \quad (4.22)$$

1172 Figure 4.36 shows the cer-sum cut efficiency for both the electron and pion rejection
 1173 efficiencies. The cer-sum >50 ADC channel is chosen for PID cut where we can
 1174 keep more than 99% of electrons and reject more than 96% of the pions. Note that

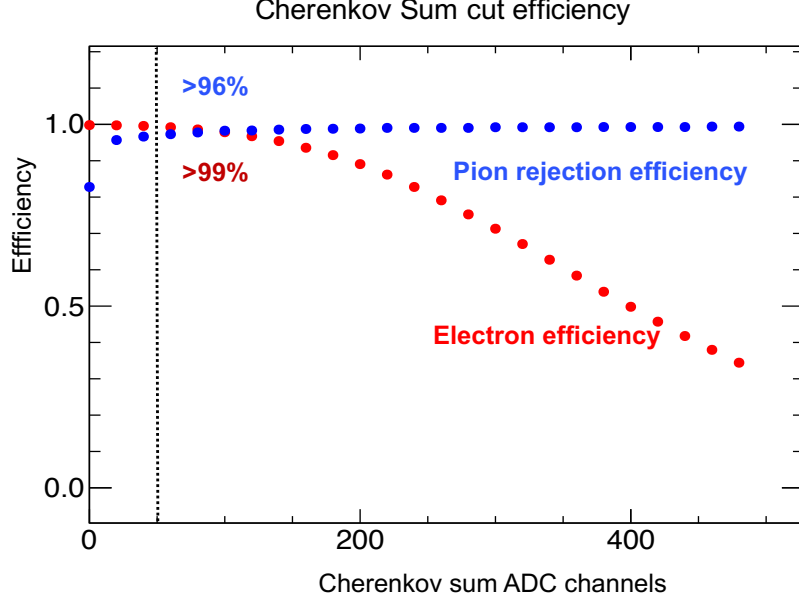


Figure 4.36: The Cherenkov sum cut efficiency for both electron and pion rejection efficiency as function of number of Cherenkov sum ADC channels.

1175 π/e^- ratio is small, the largest one is around 5%, so the remaining pions are less than
 1176 0.25% after the cer-sum cut. With any reasonable E/p cut we can easily bring the
 1177 remaining pions to well below 0.1% which is negligible. The pion rejection can be
 1178 taken to be 100% without any uncertainty.

1179 **E/p cut efficiency**

1180 For the E/p cut efficiency study, the procedure is similar to that for the cer-sum. The
 1181 only the difference is that now we will select the electron and pion samples shown in
 1182 Figure 4.37 using the Cherenkov sum signal as .

1183 The E/p cut is scanned on the samples to see how many electrons and pions
 1184 remain after the cut. The electron efficiency and pion rejection efficiency of the E/p
 1185 cut are defined by

$$\epsilon_{E/p}^{e^-} = \frac{N_{cer,E/p}^{e^-}}{N_{cer}^{e^-}} \quad \text{and} \quad \epsilon_{E/p}^{\pi^-} = 1 - \frac{N_{cer,E/p}^{\pi^-}}{N_{cer}^{\pi^-}}, \quad (4.23)$$

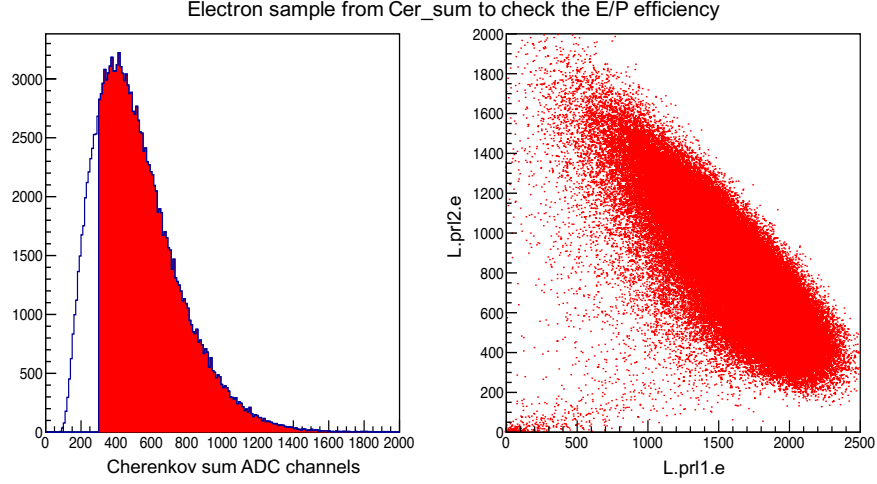


Figure 4.37: The electron sample using the Cherenkov sum to calculate the E/P efficiency. The cuts applied are a trigger cut, the loose acceptance cut and cer-sum > 300 ADC channels. The right plot show (pr12 vs pr11) distribution of selected event on the left plot.

1186 where $N_{cer}^{e^-}$ and $N_{cer}^{\pi^-}$ are the number of electrons and pions in the samples selected
 1187 using the Cherenkov signal, respectively. The $N_{cer,E/p}^{e^-}$ and $N_{cer,E/p}^{\pi^-}$ are the number of
 1188 remaining electrons and pions in the samples after the E/p cut.

1189 As discussed in Section 4.5.3, only the electron efficiency is calculated for this
 1190 experiment and the π contribution can be ignored. Figure 4.38 shows the electron
 1191 efficiency of E/p cut as a function of E/p. The cut $E/p > 0.5$ is chosen as calorimeter
 1192 PID cut which allows a retention of more than 99% of the electrons while insuring
 1193 make we have good pion rejection in combination with the cer-sum cut. Table 4.3 is
 1194 the summary of cer-sum > 50 ADC channel and E/p > 0.5 electron efficiency cut for
 1195 different kinematic settings.

1196 As a summary for PID efficiency cut, the cut cer-sum > 50 ADC channels has
 1197 an efficiency of 99.5% for every run, 0.3% normalization uncertainty and 0.1% un-
 1198 certainty point to point. The E/p > 0.5 cut has an efficiency of 99.6% for every run,
 1199 0.3% normalization uncertainty and 0.1% uncertainty point to point. These uncer-
 1200 tainties are applied to the absolute cross section. For the cross section ratios only

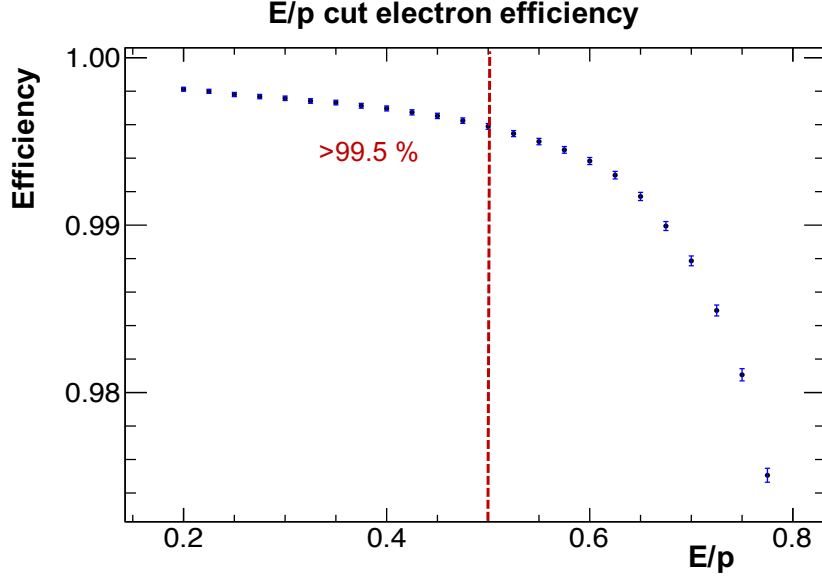


Figure 4.38: The E/p electron efficiency as function of number of E/p.

Table 4.3: Particle Identification efficiency summary

Kin	π/e^- (%)	Cer-sum cut	$\epsilon_{cer-sum}^{e^-}$ (%)	E/p cut	$\epsilon_{E/p}^{e^-}$ (%)
kin5.0	4.7	50	99.4	0.5	99.7
kin5.05	3.3	50	99.4	0.5	99.7
kin5.1	3.7	50	99.6	0.5	99.5
kin3.1	1.0	50	99.4	0.5	99.6
kin3.2	1.0	50	99.5	0.5	99.4
kin4.1	1.7	50	99.5	0.5	99.6
kin4.2	2.5	50	99.4	0.5	99.1

1201 0.1% uncertainty point to point applied because the cancelation, Ref. [76].

1202 4.5.4 Tracking Efficiency

1203 The VDCs are responsible for the tracking information in the HRSs. This tracking in-
 1204 formation is used to reconstruct the position and angle of the particle trajectory which
 1205 is required to determine the kinematic variables for each event. A quick check on the
 1206 VDCs wires efficiency is performed to make sure that the VDCs work properly at the
 1207 hardware level. Then a detailed discussion on the tracking efficiency determination

1208 will be provided.

1209 **Wire efficiency**

For a given wire plane, the wire efficiency can be estimated by scanning through every wire in that plane for every event. A wire is efficient if it fires when it is located between two wires which have fired [65]. The efficiency for each wire is obtained using the following expression:

$$\text{Efficiency} = \frac{k}{k + \lambda},$$

1210 where k and λ are the number of times that a given wire fires or doesn't fire, re-
 1211 spectively. Figure 4.39 shows the wire efficiency as function of wire number for each
 1212 wire plane U1, V1, U2, V2 for the two VDCs. The wire efficiency is high and looks
 1213 reasonable for all wires planes. This gives confidence that the VDCs wires work
 1214 properly.

1215 **Single track efficiency**

1216 Simply stated, the tracking efficiency is defined as a percentage of good events for
 1217 which a good track is reconstructed in the VDC [69, 77, 78]. First we will describe
 1218 how the track is reconstructed in VDC so that we can define whether a track is good
 1219 or not. When a charged particle passes through the VDC, it ionizes the gas. The
 1220 electrons created by the ionization drift to the wire along the electric field line which
 1221 has the shortest time path, schematically as arrows in Figure 4.40. The nominal
 1222 trajectory can fire 4 to 6 wires [59] which define a cluster. A cluster consists of hits
 1223 with consecutive wire numbers and are allowed to have gaps of one wire without a
 1224 hit to account for any inefficiency. Figure 4.40 shows a typical 5 cell cluster.

1225 The drift times t_i from the trajectory to different wires are measured by TDCs
 1226 then converted to drift distances d_i , the dashed lines in Figure 4.40. After the drift
 1227 distances are determined, a linear fit of drift distance vs. wire position is performed to

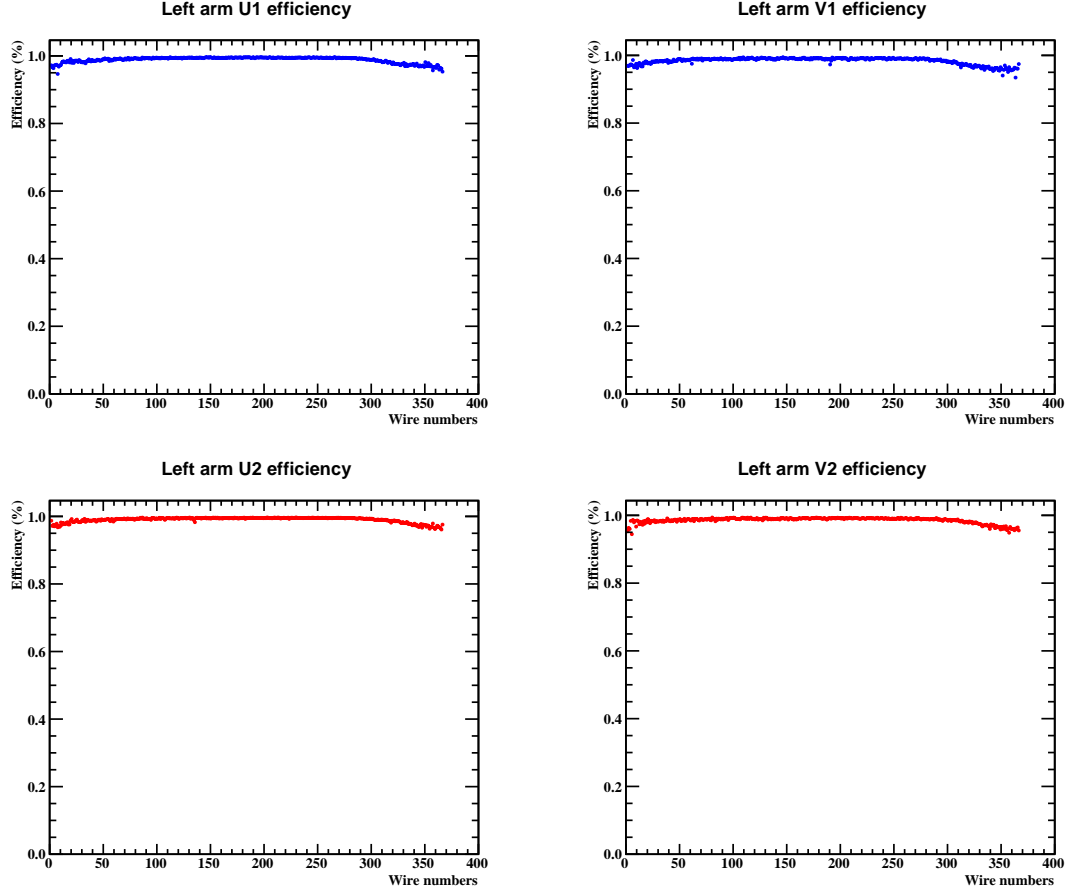


Figure 4.39: The wire efficiency as function of wire number for the 4 wire planes of the two VDCs. The top two plots are for U1, V1 wire planes. The two bottom plots are for U2, V2 wire planes.

1228 determine the local cross-over point $U(V)_{1,2}$ and the local angle of the track $\Theta_{U(V)_{1,2}}$
 1229 for each wire plane. While the $\Theta_{U(V)_{1,2}}$ determination depends on the tracking algo-
 1230 rithms strongly, the $U(V)_{1,2}$ can be determined with high precision and is insensitive
 1231 to the tracking algorithms as well as the local track angle information [65,80]. This is
 1232 the advantage of the VDCs. The global track angles Θ_U and Θ_V are obtained using
 1233 measurements from the two track cross-over points in the two VDCs as expressed in
 1234 Equation 4.24, where d is the distance between two U or V wire planes. See Figure
 1235 4.41 for context.

$$\tan(\Theta_{U,V}) = \frac{U(V)_2 - U(V)_1}{d}. \quad (4.24)$$

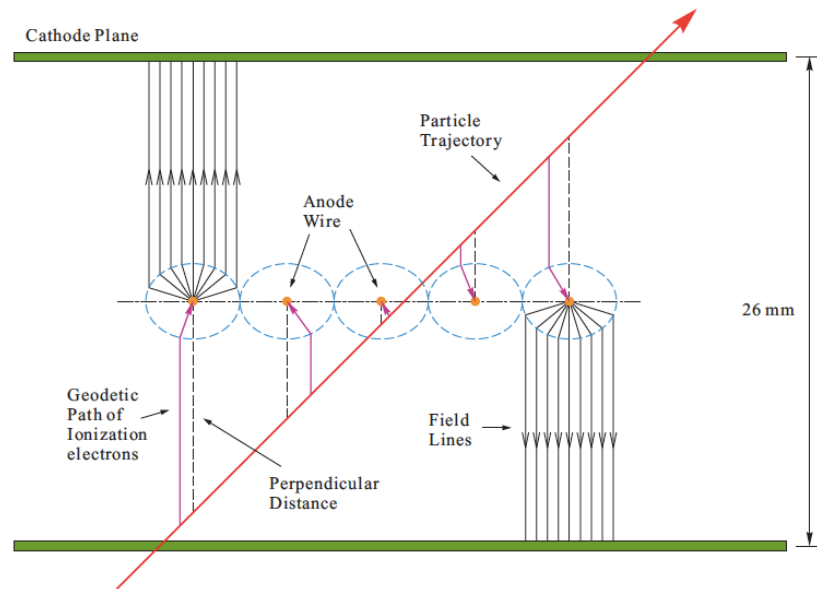


Figure 4.40: A cluster with 5 cells in a wire plane in the VDCs [65, 79].

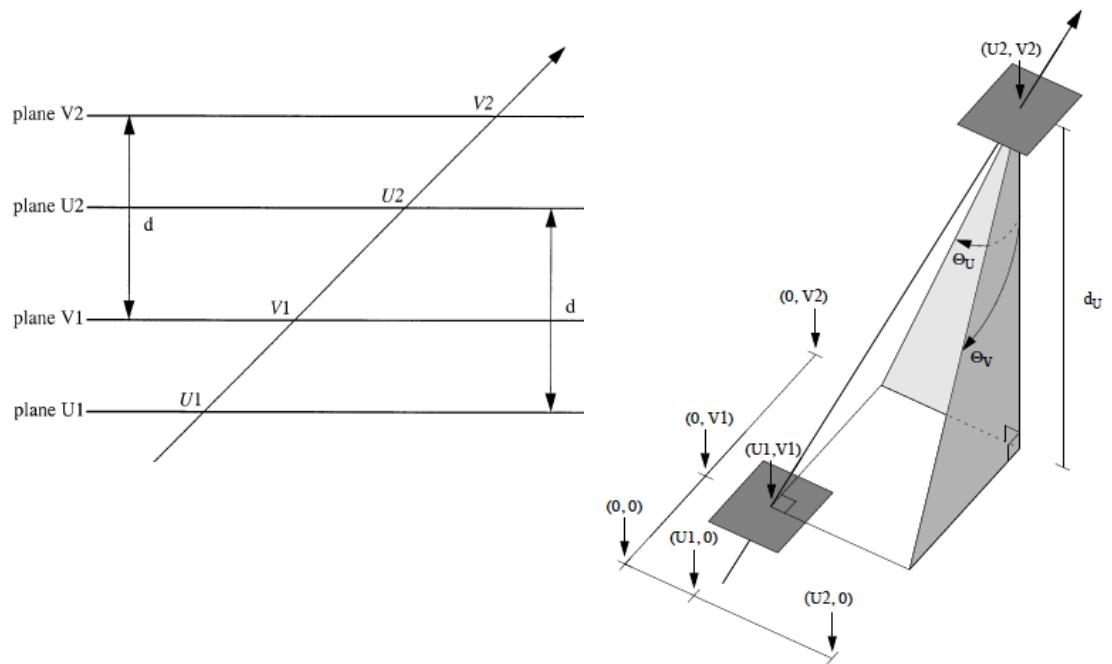


Figure 4.41: The global track using two cross-over points from two VDC chamber, Figure from Ref. [65].

1236 Once the global track is reconstructed, one more iteration is needed to recalculate
 1237 the drift distance and then a new linear fit is performed to get the new local cross-

over and local angle of the track. By following this approach, the global track angle has good accuracy [80]. The number of tracks depend on the number of the clusters on each wire plane. As a result, the number of tracks can be zero, single track or multiple tracks. The main reason for zero track events is one of the wire plane has no cluster. The reason for multiple tracks is at least one of the VDC planes has more than one cluster. The multiple clusters may occur for several reasons such as noise or true multiple tracks from the target [59]. Figure 4.42 shows the total number of clusters in the wire plane U and V for each VDC for zero track event (left plot) and multiple track event (right plot).

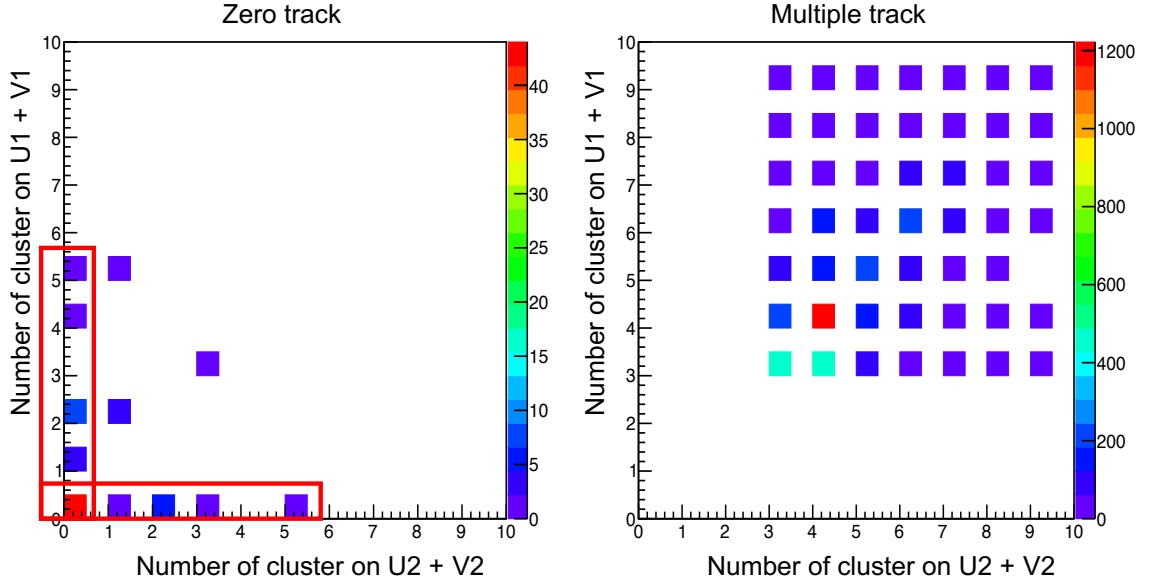


Figure 4.42: Total number of cluster from wire plane U, V for each VDC chamber. The left plot is for the Zero tracks, showing that at least one of wire planes has no clusters. The right plot is for Multiple tracks, showing that at least one of wire planes have more than one cluster.

In this analysis only single tracks are considered as good tracks, so the track efficiency is the single track efficiency. Only single track events are used in the analysis to get the yield, so the yield is divided by this efficiency to account for the zero and multiple track events. In the first step, we need to evaluate the fraction of zero track

1251 events. To calculate this efficiency, the good electron sample is selected using the
 1252 trigger cut, PID cut ($\text{cer-sum} > 300$ and $E/P_0 > 0.8$). Because we are calculating

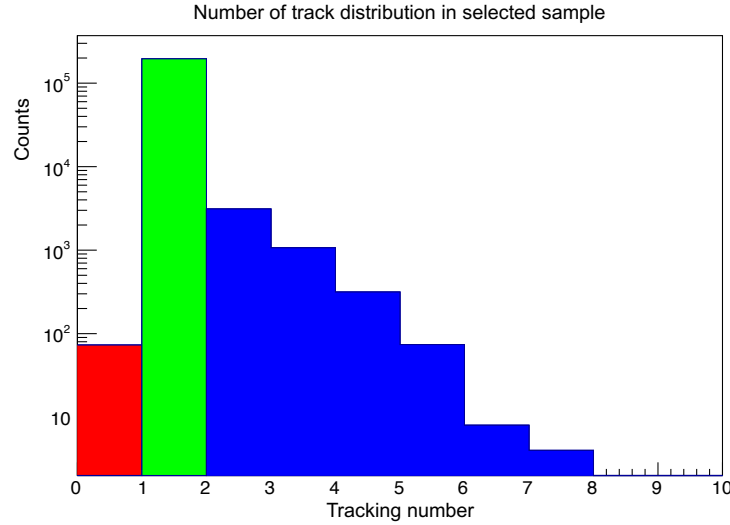


Figure 4.43: The track number distribution in the selected sample for tracking efficiency calculation. The plot is for the target ^{12}C and kin5.0

1252

1253 the tracking efficiency, no tracking information can be used when we select sample
 1254 otherwise a bias is introduced. For the PID cut, the total deposited energy in the
 1255 calorimeter is divided by the central momentum P_0 , not the measured momentum of
 1256 the event. this done because measured momentum of each event is obtained by using
 1257 the reconstructed relative momentum what requires the tracking information. Then
 1258 the zero track efficiency, $\epsilon_{\text{zero track}}$, can be calculated as following expression.

$$\epsilon_{\text{zero track}} = \frac{N_0(\text{Trigger, PID, Track}=0)}{N_{\text{sample}}(\text{Trigger, PID})} \quad (4.25)$$

1259 The zero track events in the selected sample have a good trigger and pass PID cuts
 1260 which means that it is potentially by a good electron and should have at least one
 1261 track. The fact that there is no track in the VDC indicates that the VDC was
 1262 inefficient for that event. The zero track efficiency corrects this. In this experiment
 1263 the zero track fraction was very small, as can be seen in Table 4.4. Next the single

track and multiple track efficiencies can be calculated. The concern in this study is that some of the events are lost not because of inefficiency but because of the background. For example, there might be an event in the corner of the VDCs that missed one plane and is outside the nominal acceptance or an event scatters into the detector from the Q3 exit. We don't want to correct for these because they are not a part of real inefficiency. A new sample is selected using the trigger, PID cuts and loose acceptance cuts. The acceptance cuts help to get rid of irrelevant events. Then the single track efficiency is the fraction of events in this electron sample that have one track. See Equation 4.26. A similar calculation is applied for the multiple track efficiency. Note that in the new sample there are no zero track events, so the total efficiency of single tracks and the multiple tracks is 100%.

$$\epsilon_{\text{single track}} = \frac{N_1(\text{Trigger, PID, loose acceptance cuts, Track}=1)}{N_{\text{sample}}(\text{Trigger, PID, loose acceptance cuts})} \quad (4.26)$$

Multiple track events that may have multiple good electrons are discarded by the single track cut in the analysis. The single track efficiency can correct for these lost electrons as well [77]. The tracking efficiency depends on the event rate, so we need to calculate this efficiency for different kinematics. In addition, the pre-scaler for each run in the same kinematic can change and this requires the an efficiency calculation run by run in the analysis. Table 4.4 shows the zero, single and multiple track efficiency for a pre-scale 1 run in each kinematic setting for ^{12}C target as an example.

The uncertainty of the single track efficiency follow the binomial distribution because the event can either have single track or not. Because of the variance in the single track efficiency from different kinematics, in addition, the cross section analysis will use tighter acceptance cuts which means that we may lose more good events. In the end a single track efficiency of 98.5% is applied to every run with a 1% normal-

Table 4.4: Tracking efficiency for zero, single, multiple track for each kinematic

Kin	Target	Run	Zero	Single	Multiple
kin5.0	^{12}C	3634	0.03	99.2	0.8
kin5.05	^{12}C	4209	0.05	98.9	1.1
kin5.1	^{12}C	3825	0.09	98.6	1.4
kin3.1	^{12}C	3664	0.08	98.2	1.8
kin3.2	^{12}C	3663	0.13	98.0	2.0
kin4.1	^{12}C	4163	0.08	98.5	1.5
kin4.2	^{12}C	3692	0.15	98.0	2.0

1288 ization uncertainty and 0.3% point to point uncertainty [76].

1289 4.6 Acceptance Study

1290 This section will give an introduction to the acceptance study for the Hall A HRS.
 1291 The acceptance is defined as the probability that a particle will make it through the
 1292 spectrometer. The three ingredients needed for the acceptance study are the magnetic
 1293 optics, the simulation and a cross section model. The optics was discussed in Section
 1294 4.4, the following sections present the discussion on the simulation and the model.
 1295 The comparison between simulation and data for reconstructed target variables help
 1296 to define how well the acceptance is modeled. In the end, the main goal of this
 1297 acceptance study is to determine a set of cuts for the target variables (acceptance
 1298 cuts) in the cross section analysis.

1299 4.6.1 Simulation (SAMC)

1300 The Single Arm Monte Carlo (SAMC) simulation package is used in this analysis, see
 1301 Ref. [81]. The SAMC was originally developed in Fortran then converted to C++ by
 1302 Huan Yao [82] and modified by Z. Ye [54]. The working principle of this simulation
 1303 can be summarized as the following steps:

- **Generate events:** Uniform generation of target quantities including in-plane angle ϕ_{tg} , out-plane angle θ_{tg} , relative momentum dp_{tg} and the beam energy following a Gaussian distribution while adding smearing of the energy resolution.
- **Forward transportation:** After events are generated at the target, the forward matrix transports the events from the target to the focal plane. This transportation is step by step through every aperture and checks are made to whether a particle pass through or get stopped. In the SAMC, only 5 different locations are checked; the check locations and the distance from the target following the trajectory path are listed in Figure 4.44. The size of each aperture used in SAMC are given in Table 4.5. The apertures location is matched to the technical drawing information [83]. Only events which pass through all apertures are recorded as detected events at the VDCs. At that point VDC smearing is added.

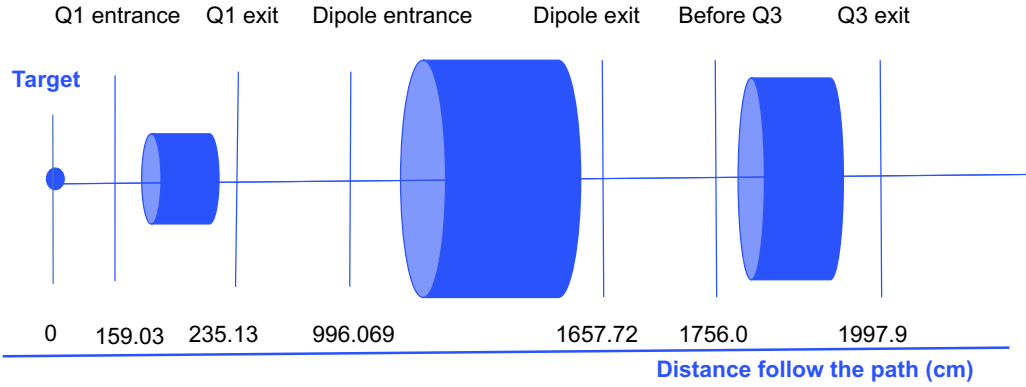


Figure 4.44: The location of aperture that are checked in the SAMC. The distance is the particle path from target in cm.

- **Backward transportation:** The backward matrix is used to reconstruct the detected events at VDC back to the target.

The forward and backward matrix were generated by J. Leroose based on the SNAKE program [84]. The SAMC version used in this analysis is an unweighted

Table 4.5: SAMC apertures check list

Aperture name	Location(cm)	Aperture size check (m)	notice
Q1 exit	253.13	$\sqrt{x^2 + y^2} < 0.1492$	Q1 radius
D Entrance	996.069	$ x < 0.4$ $ y < 0.125 * (1 - 1.25 * x/8.4)$	Shape of D
D exit	1657.2	$ x < 0.4$ $ y < 0.125 * (1 - 1.25 * x/8.4)$	Shape of D
Q3 entrance	1756	$\sqrt{x^2 + y^2} < 0.3$	Q3 radius
Q3 exit	1997.9	$\sqrt{x^2 + y^2} < 0.3$	Q3 radius

1321 simulation. This means that no cross section model is included in the simulation of
 1322 events. The inputs required for this simulation are the beam energy, scattering angle,
 1323 central momentum, target makeup and all offset information from the spectrometer,
 1324 beam line and the target. A detailed pointing study is performed in the next section
 1325 to provide the input information for the simulation. An external cross section model
 1326 XEMC [85] is used to add the weighting factor for each reconstructed event from
 1327 simulation before they can be compared to the data. The structure of simulation
 1328 package can be found in Figure 4.45.

1329 4.6.2 Pointing Study

1330 The goal of the pointing study is the determination of the central angle of the spec-
 1331 trometer and the spectrometer offsets [86, 87]. These are required to calculate the
 1332 vertex variables and physics quantities for the simulation as well. To be able to do
 1333 this study we need to have survey information. But no survey was performed during
 1334 the E08014. The survey data from E08-008 that was running in the same period of
 1335 time are used to complete this study [88]. The process is described in detail below.

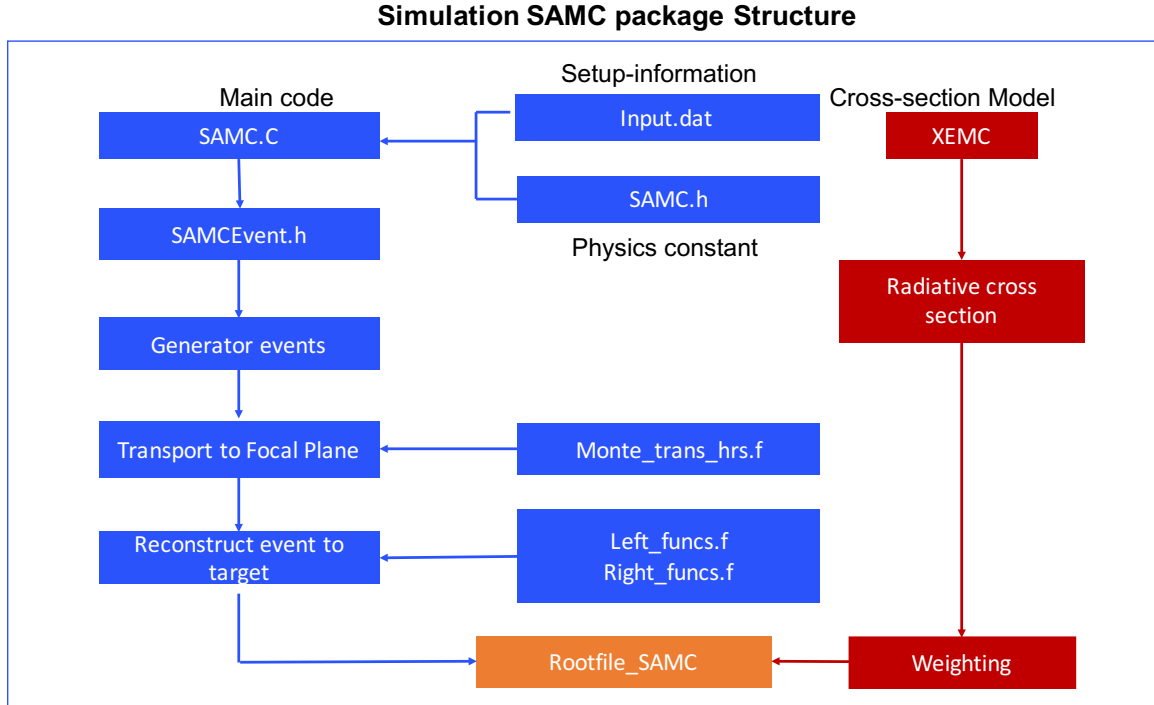


Figure 4.45: The SAMC package structure.

1336 Spectrometer mis-pointing

1337 In the ideal situation the spectrometer movement is only the rotation around the
 1338 center of the hall, and the central ray of the spectrometer points to the hall center.
 1339 In reality, the spectrometer has translational movement that causes the central rays
 1340 to miss the hall center in both the horizontal and vertical directions. The horizontal
 1341 offset refers to the spectrometer mis-pointing or Spec-off. Figure 4.46 describes the
 1342 layout with the following definitions:

1343 where:

- 1344 • HC : Hall center
- 1345 • θ_0 : The central angle
- 1346 • Spec-off: The spectrometer offset
- 1347 • R: Radius on the floor mark and $R = 8.458$ m

Convention for the spectrometer miss-pointing

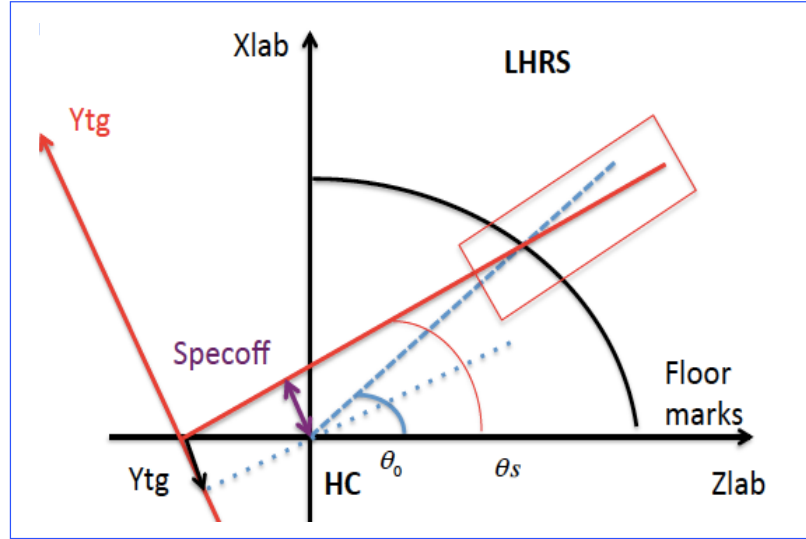


Figure 4.46: The convention for mis-pointing study in the Lab and the target coordinator system.

- θ_s : The corrected angle: $\theta_s = \theta_0 - \Delta\theta$ where $\Delta\theta = \text{spec-off}/R$

The spectrometer offset is not reproducible. It means that even we move spectrometer back to the same angle location at a different time the value of spectrometer offset (Spec-off) will be different. The survey can provide the information necessary to find both the spectrometer offset and the vertical offset. Unfortunately, surveys take a lot of time and surveys are not done for every angle setting; usually they are done only for optics data taking.

For the settings for which we don't have survey data we can use Y_{tg} reconstructed from what is called "the pointing data" on the single ^{12}C target to calculate the spectrometer offset. But we need to have a reasonable optics matrix before we can do this calculation. In ideal situation, everything is perfect we only have the spectrometer offset (see Figure 4.46) the calculation proceeds through the following expression:

$$Y_{tg} = \text{spec-off.} \quad (4.27)$$

1360 In fact we have other offsets, the beam offset and the target offset. They all contribute
 1361 to the offset on Y_{tg} . To be able to derive a solution we must consider the contribution
 1362 from each offset individually.

1363 • Beam X offset: In the ideal case the beam direction goes through the HC
 1364 otherwise we have a beam offset. But only the beam X offset effects the Y_{tg}
 1365 and spec-off because they are all in horizontal direction. In the case everything
 1366 else is perfect we only have beam X offset (see Figure 4.47) and its contribution
 1367 to Y_{tg} is:

$$Y_{tg} = \text{Beam X} * \cos(\theta_s). \quad (4.28)$$

1369 • Target offset: In the ideal case the target is installed at the HC otherwise we
 1370 have a target offset. In case of everything being perfect only the target has an
 1371 offset (see Figure 4.48) and its contribution to the Y_{tg} is:

$$Y_{tg} = -\text{Target-off} * \sin(\theta_s). \quad (4.29)$$

1373 • Optics offset: The optics matrices are not perfect so we have the offset left from
 1374 the optics reconstruction which contributes to the Y_{tg} as well.

$$Y_{tg} = \text{optic-off}. \quad (4.30)$$

1375 In the total we have an equation for Y_{tg} including all offset contributions:

$$Y_{tg} = \text{spec-off} + \text{Beam X} * \cos(\theta_s) - \text{Target-off} * \sin(\theta_s) + \text{optic-off} \quad (4.31)$$

1376 The Y_{tg} , beam-X offset can be obtained from data while the spec-off and target-off
 1377 come from the survey. A survey is needed to determine optics-off and then to calculate

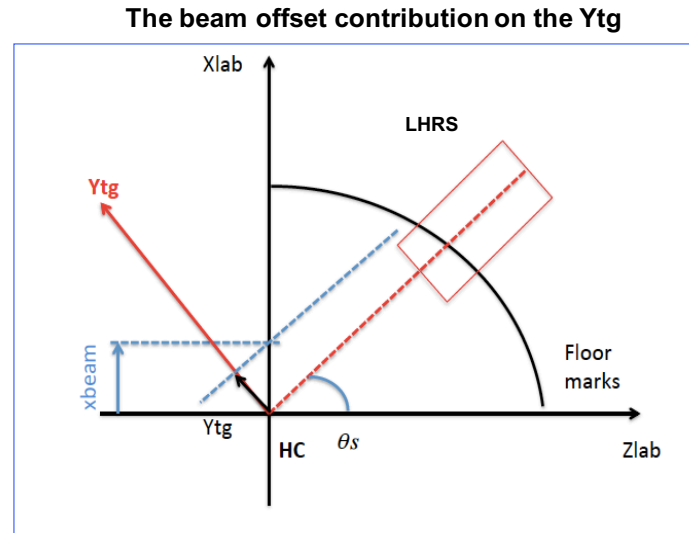


Figure 4.47: The plot shows the contribution of the beam offset in X direction to the Y_{tg} .

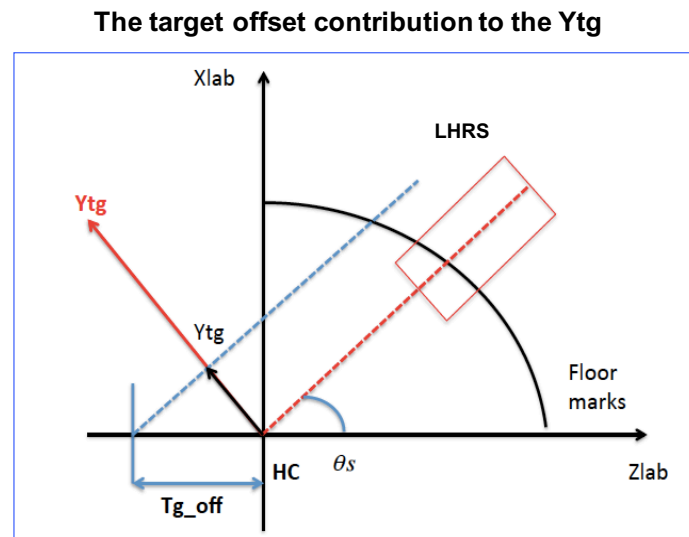


Figure 4.48: The plot shows the contribution of the target offset to the Y_{tg} .

1378 the spec-off for other settings of spectrometer.

1379 Spectrometer survey

1380 E08014 was running with 3 other experiments in the same period of time [89]. A

1381 survey was performed at beginning of the period and this information is listed in

Table 4.6 [88]. Run 1212 which was taken right after the survey using the multiple

Table 4.6: Survey results for Spectrometer mis-pointing [88]

Survey	Angle ($^{\circ}$)	cor-Angle ($^{\circ}$)	Vertical-offset (mm)	Specoff (mm)
A1379	16.5	16.489	2.31 (upstream)	0.54 (up)

1382

1383 foils target is used to determine the optics-off. The events from the central foil are
 1384 selected to get Y_{tg} information. Using Equation 4.31 and combining information from
 1385 data and survey, the optics-off is obtained. This offset is used for other single foil
 1386 targets from different kinematics to calculate the spectrometer offsets.

1387 Pointing results

1388 Table 4.7 is the summary of the pointing study for every run in the E08014 data set.
 1389 The pointing study was performed whenever the spectrometer moves from one angle
 1390 to another. The correction to the angle setting is very small based on this study. For
 1391 the kinematic settings of this experiment, this small correction in the scattering angle
 1392 can introduce a 1.5 % to 2% variation in the cross section based on the XEMC cross
 1393 section model. It is necessary to correct for this offset.

1394 4.6.3 Cross section Model (XEMC)

1395 The XEMC cross section package is written in C++. The structure of the XEMC
 1396 package is summarized in Figure 4.49. This cross section model provides both the
 1397 Born and the radiative cross section. The Born cross section is the sum of Deep
 1398 Inelastic (DIS) cross section and the quasi-elastic cross section. The global fitting
 1399 of DIS, encapsulated in routine, F1F209, is used for the DIS cross section and the
 1400 scaling $F(y)$ function is used for the quasi-elastic cross section. To be able to get
 1401 the radiated cross section the elastic tail and quasi-elastic tail are calculated. The
 1402 radiative correction procedure follows that of Ref. [90]. Note that in this cross section

Table 4.7: Summary of Pointing study through every run in XGT2 data

Run Number	Setting Left Angle ($^{\circ}$)	Corrected Left Angle ($^{\circ}$)
3565 - 3656	25	24.98
3657 - 3683	21	20.98
3684 - 3708	23	22.98
3735 - 3891	25	24.98
3892 - 3916	—No Left—	—No Left —
3917 - 4071	28	27.98
4073 - 4103	21	20.99
4112 - 4179	23	22.98
4181 - 4241	25	24.97
4242 - 4250	21	20.99
4251 - 4299	28	27.98

1403 model package the energy loss is included and based on the amount of material the
 1404 particle passes through. This radiative cross section is used to weight the output of
 1405 the SAMC and it is compared to the data to get the yield ratio and then the cross
 1406 section (Section 5.2). After the first iteration a cross section obtained, and a new fit
 1407 is performed to improve the cross section model. Only a few iterations are required
 1408 to get the final cross section model.

1409 4.6.4 Data and simulation comparison

1410 After all offset information was set in the simulation, the initial radiative cross section
 1411 tables are created, we are ready to compare data and simulation. How the absolute
 1412 scaling factor work to scale the simulation is discussed detail in Chapter 5. Figure
 1413 4.50 shows the comparison over the full acceptance using the initial optics which had a
 1414 problem in θ_{tg} reconstruction as mentioned in the Section 4.4. After the optics change
 1415 to the G_M^p optics, the dip in the θ_{tg} reconstruction was fixed, see Figure 4.51. The
 1416 offset information from the pointing and all correction are included in the simulation

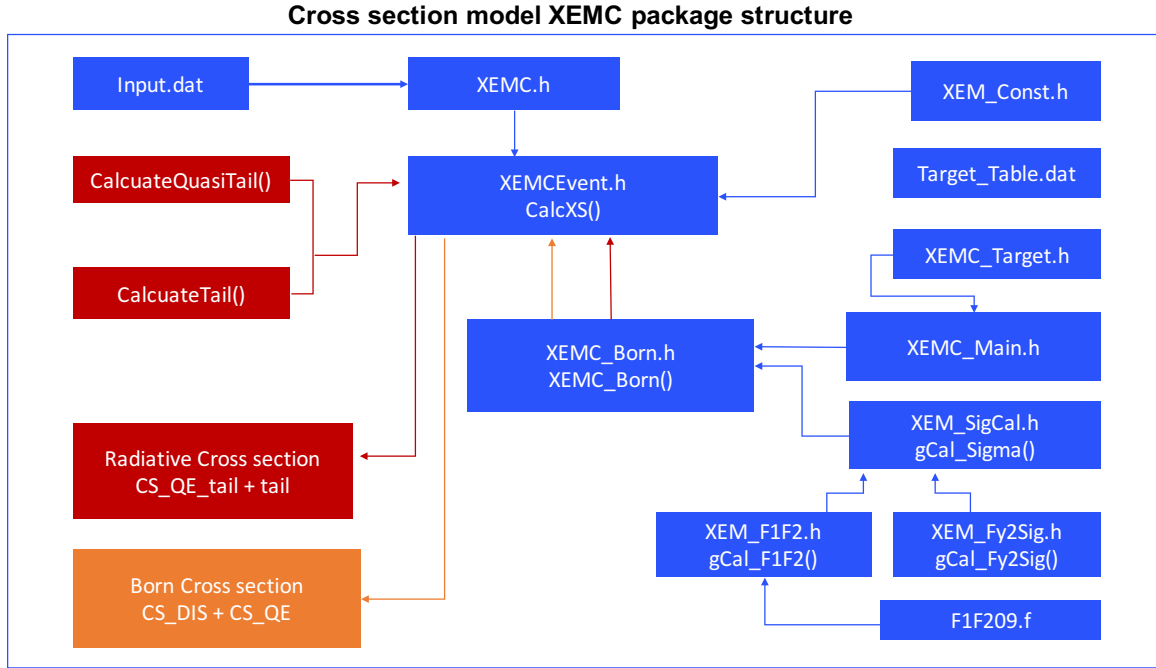


Figure 4.49: The cross section model XEMC package structure

1417 to provide the comparison in the Figure 4.52. The data and simulation agree with
 1418 each other very well over a large range of the acceptance in both momentum ($\pm 3.5\%$),
 1419 in-plane angle ϕ_{tg} (± 30 mrad) and out-plane angle θ_{tg} (± 35 mrad). This result is
 1420 a big improvement because we get the good agreement over a much wider range to
 1421 compare to nominal cuts used in cross section analysis in Hall a. The above cuts are
 1422 used for the cross section analysis of this data.

Data, simulation comparison using the initial optics

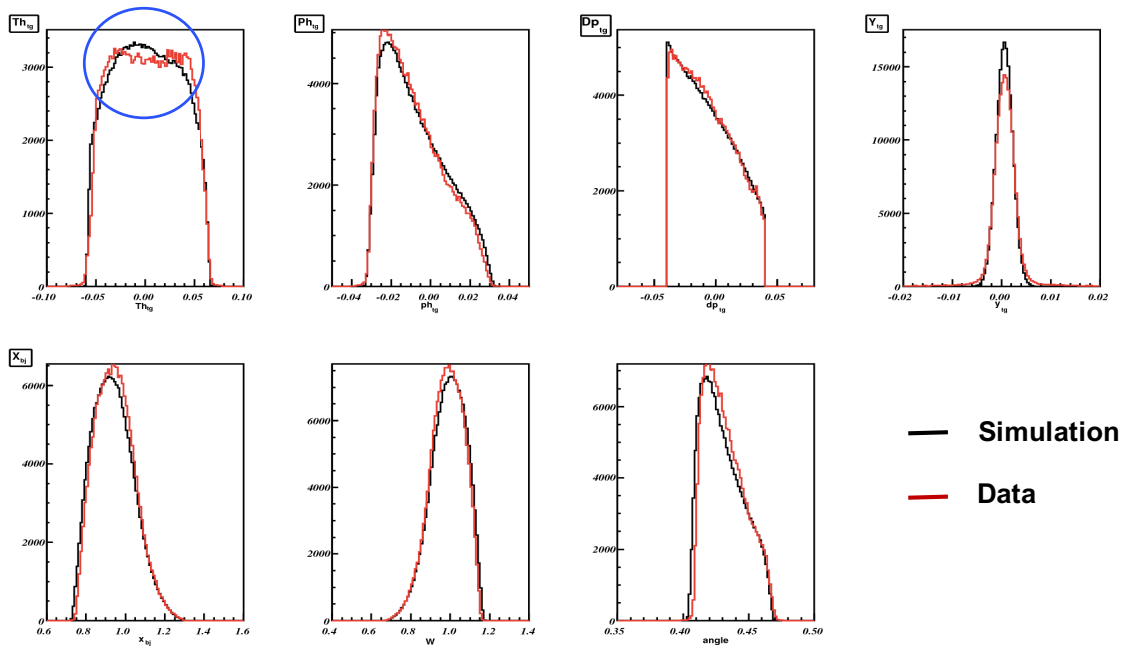


Figure 4.50: The cross section model XEMC package structure

Data, Simulation comparison using the GMP optics

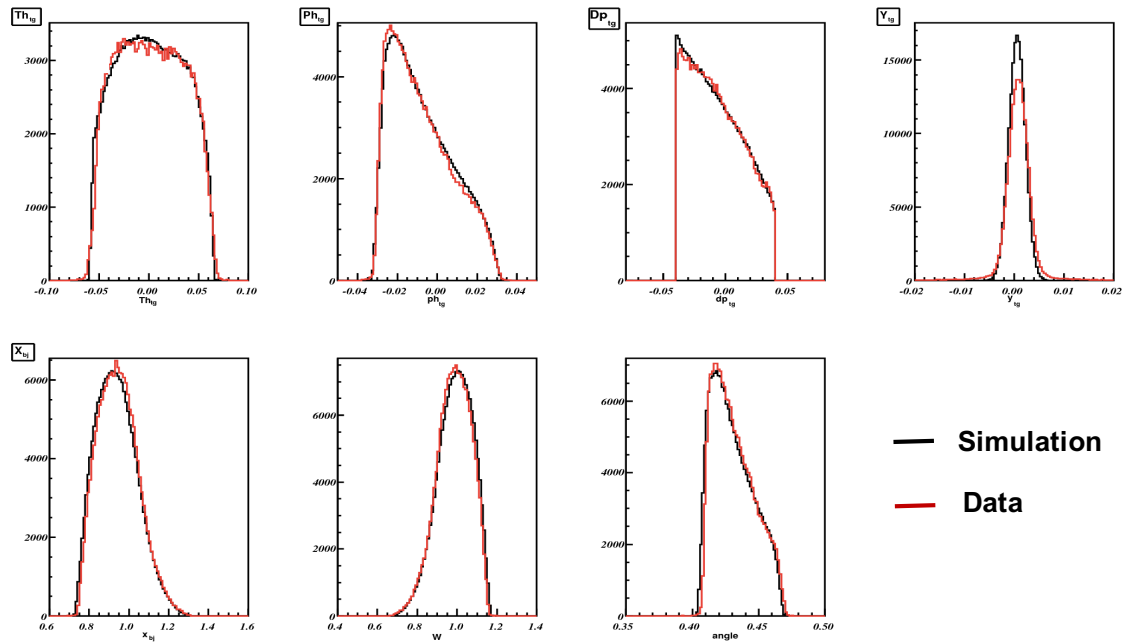


Figure 4.51: The cross section model XEMC package structure

Data, simulation comparison after every correction

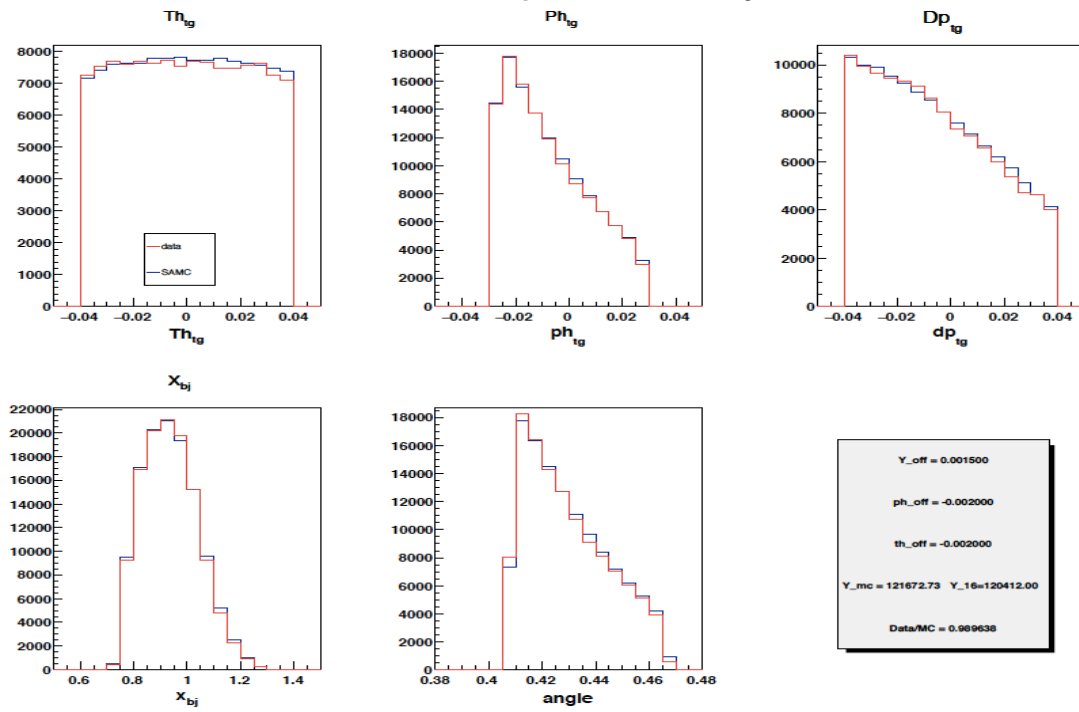


Figure 4.52: The cross section model XEMC package structure

Chapter 5

Cross Section Analysis

5.1 Overview

This section will give an introduction to the procedure and the methods for the extraction of the cross section from the data. Only data from the LHRS is used in this analysis. The approach for inclusive experiments starts with the number of detected electrons, N , after selection cuts as discussed in Chapter 4 and summarized here:

- Tracking cut: only select events with a single track (Section 4.5.4).
- Trigger cut: only select events from the trigger of interest.
- PID cuts: select electrons using $(cer - sum > 50)$ and $(E/p > 0.5)$ which means that the ADC channel for the sum of the Cherenkov signals must be greater than 50 and the ratio of the deposited energy E in the calorimeter to the momentum p of the particle has to be greater than 0.5 (Section 4.5.3).
- Acceptance cuts: use a range from target variables
 $|dp_{tg}| < 0.035$, $|\theta_{tg}| < 0.035$, $|\phi_{tg}| < 0.025$ and $|Y_{tg}|$ within $\pm 5\sigma$ (Section 4.6).

Here, the number of detected electrons, N , is related to the cross section [91, 92] through

$$N = \frac{d\sigma}{dEd\Omega} \cdot L \cdot \Delta E \cdot \Delta\Omega \cdot A_{cor} \cdot B_{cor} \cdot \epsilon \quad (5.1)$$

where $\frac{d\sigma}{dEd\Omega}$ is the double differential cross section which we want to extract, ΔE and $\Delta\Omega$ are the momentum and solid angle phase-space, respectively. The terms A_{cor} and B_{cor} are the acceptance and binning corrections, respectively, while ϵ is the total detection efficiency. L is the integrated luminosity, the product of target areal density in terms of nuclei/cm² and the total beam charge in terms of the number of electrons;

$$L = Q_e \cdot \frac{\rho_v \cdot l}{A} \cdot N_A, \quad (5.2)$$

where Q_e is the total beam charge, ρ_v and l are the volume density and the thickness of the target, A is the atomic weight and N_A is Avogadro's number. If we know every quantity in the Equation 5.1, the cross section can be obtained. The following sections will present the methods used to extract the cross section.

5.2 Two Methods to extract the cross section

The two most common methods of extracting the cross section are 1) the acceptance correction method and 2) the yield ratio method [23, 25, 93]. Note that when we extract the cross section from data we bin it in the kinematic variables, such as ν or x_{bj} . Henceforth the differential cross section for each bin, $\frac{d\sigma}{dEd\Omega}$, will be referred to as $\sigma(\chi_0^i, \theta_0)$, where χ can be any kinematic variable we use to bin data, θ_0 is the scattering angle for each setting and χ_0^i is the center of bin χ^i .

1458 Acceptance correction method

1459 This method uses the efficiency corrected $\text{Yield}^{data}(\chi^i, \theta_0)$ for each bin i , defined as the
 1460 total number of detected electrons for that bin corrected by the detection efficiency.

$$\text{Yield}^{data}(\chi^i, \theta_0) = \frac{N^i}{\epsilon} = \sigma^{data}(\chi_0^i, \theta_0) \cdot L^{data} \cdot \Delta E \cdot \Delta \Omega \cdot A_{cor} \cdot B_{cor}, \quad (5.3)$$

1461 The ΔE , $\Delta \Omega$, A_{cor} , B_{cor} are calculated for each bin χ^i . This method assumes all
 1462 corrections can be calculated and applied separately to get the cross section. The
 1463 most difficult part is getting the correction factors A_{cor} and B_{cor} , the acceptance and
 1464 bin centering corrections. Incorporating radiative correction is also challenging in this
 1465 method.

- 1466 • **Acceptance correction:** The acceptance was defined in the Section 4.6. It is
 1467 the probability that a particle will pass successfully through the spectrometer.
 1468 This probability depends on the vertex coordinates defined by (x, y, z) and the
 1469 momentum vector defined by $(E, \theta_{tg}, \phi_{tg})$ of the particle at the target. So the
 1470 acceptance correction then can be written as a function $A(x, y, z, E, \theta_{tg}, \phi_{tg})$.
 1471 The cross section is independent of (x, y, z) if the target is thin enough so the
 1472 loss of beam intensity can be ignored so that it is just a function of E , θ_{tg} and
 1473 ϕ_{tg} . Since θ is a function of θ_{tg} and ϕ_{tg} , the acceptance can be properly averaged
 1474 over (x, y, z) and is a function $A(E, \theta)$ [23]. A simulation is used to determine
 1475 this correction by checking whether the trajectory of each event either passes
 1476 through the spectrometer or is lost. The acceptance correction can be calculated
 1477 bin by bin by taking the ratio of the number events which passed all apertures
 1478 and recorded $N_{rec}^i(E, \theta)$ to the total generated $N_{gen}^i(E, \theta)$ for that bin,

$$A(E^i, \theta^i) = \frac{N_{rec}^i(E^i, \theta^i)}{N_{gen}^i(E^i, \theta^i)}. \quad (5.4)$$

1479 • **Binning correction:** The cross section is to be quoted at the center of the
 1480 bin χ_0^i and the central angle θ_0 . If the cross section is the linear function of
 1481 the kinematic variable χ and scattering angle θ then there is no bin centering
 1482 correction. This never is the case. The binning correction is defined as ratio
 1483 of the cross section at the central of the bin to the average cross section in the
 1484 same bin.

$$B(\chi_0^i, \theta_0^i) = \frac{\sigma(\chi_0^i, \theta_0^i)}{\langle \sigma(\chi^i, \theta^i) \rangle} = \frac{\sigma^{MC}(\chi_0^i, \theta_0^i)}{\sum_{N_{rec}^i} \sigma^{MC}(\chi^i, \theta^i) / N_{rec}^i(\chi^i, \theta^i)}. \quad (5.5)$$

1485 Yield ratio method

1486 For this method we need to apply the same correction factors as above but in a
 1487 different way. The effects appearing in data such as the acceptance correction, are
 1488 applied in simulation to generate pseudo data for the different kinematic settings and
 1489 are weighted by radiative cross section from the model, as discussed in Section 4.6.
 1490 The yield from the simulation, $\text{Yield}^{MC}(\chi^i, \theta_0)$, using the same cuts and binning as
 1491 data can be written as:

$$\text{Yield}^{MC}(\chi^i, \theta_0) = \sigma^{MC}(\chi_0^i, \theta_0) \cdot L^{MC} \cdot \Delta E \cdot \Delta \Omega \cdot A_{cor} \cdot B_{cor}, \quad (5.6)$$

1492 where $\sigma^{MC}(\chi_0^i, \theta_0)$ is the cross section from the model. The luminosity L^{MC} is set
 1493 to the same as value as the measured data. If the experimental effects are properly
 1494 simulated, the yield ratio ($\text{Yield}^{data} / \text{Yield}^{MC}$) should be very close to 1. The yield
 1495 ratio is the only correction factor in the cross section calculation. The experimental
 1496 cross section is the product of the yield ratio and the cross section from the model,

$$\sigma^{data}(\chi_0^i, \theta_0) = \frac{\text{Yield}^{data}(\chi^i, \theta_0)}{\text{Yield}^{MC}(\chi^i, \theta_0)} \cdot \sigma^{MC}(\chi_0^i, \theta_0). \quad (5.7)$$

1497 These two methods were used in analyses of a previous experiment [25] and the

cross sections obtained using both methods were found to be in good agreement. While the acceptance correction method assumes that all the correction factors factorize and can be calculated and applied separately, the yield ratio method considers the acceptance and binning corrections together which automatically includes the correlations between the corrections and reduces the systematic uncertainty. The yield ratio method also assumes the radiation and resolution effects are described correctly in the simulation. The bias caused by the choice of different cross-section models and simulation tools is also reduced in the yield ratio method. In addition, the yield ratio approach allows for easy checks of the spectrometer acceptance and data quality. In this analysis, we used the yield ratio method to extract the cross section from the comparison between data and the simulated spectra. The details are discussed in the next section.

5.3 Yield ratio for overlap kinematics

Overlap kinematics are the kinematics which have the same scattering angle and an overlap in momentum. The utility of overlap kinematic settings is that the entire procedure can be tested before we extract the cross section. As mentioned above the yield ratio method is that if everything is handled correctly the yield ratio should be very close to one. The primary factors that cause the yield ratio to deviate from one are the optics, the acceptance and the cross section model. In the overlap region, the optics and the cross section are the same and the acceptance is nearly identical (98% agreement over the acceptance range) as presented Section 4.6. The expectation is that the yield ratio will agree very well in the overlap region. A check was performed on the three overlap kinematics (kin5.0, kin5.05, kin5.1) using the ^{12}C target. The yield ratio binned in both E and x_{bj} is shown in Figures 5.1 and 5.2. The agreement in the overlap region was not as expected and when binned in E' the difference was

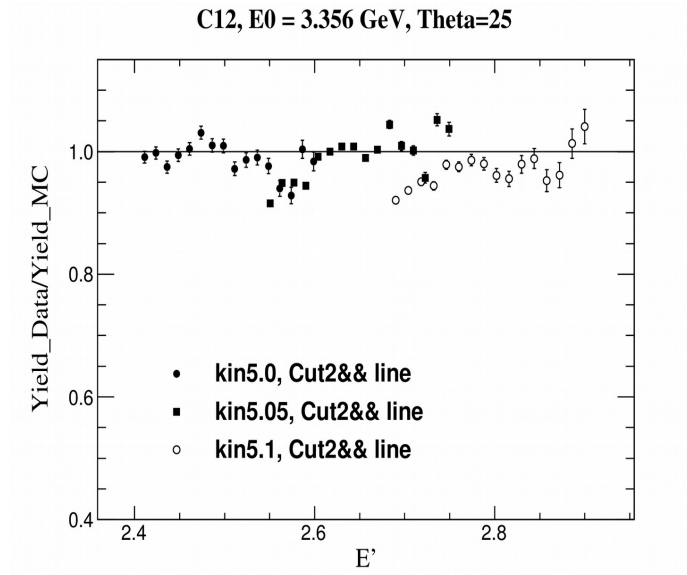


Figure 5.1: Data/MC Yield ratio for 3 different overlap kinematics binned in E'

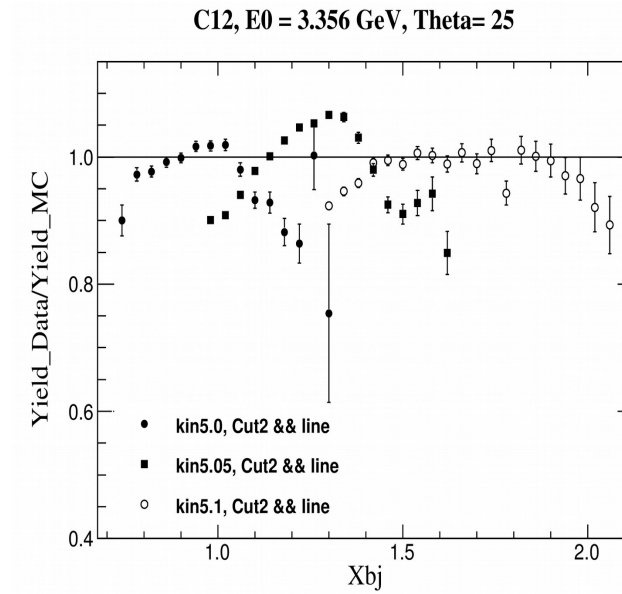


Figure 5.2: The Yield ratio for 3 different overlap kinematic settings binned in x_{bj} . The yield ratio in the overlap region was not in good agreement.

1523 found to be 10%. When binned in x_{bj} the disagreement was worse, as large as 15%.
 1524 This suggested that there were still some problems to be investigated and taken
 1525 into account. The drop in the yield ratio in E' bins happens at high E' for every
 1526 kinematic setting and almost at the same value of the relative momentum $dp \sim 3\%$.

1527 This suggested there may a local inefficiency yet undiscovered. The following section
 1528 will discuss about how the issue was located and resolved.

1529 **5.4 Local efficiency issue**

1530 As just mentioned the yield ratio of overlap kinematics indicated a low local ineffi-
 1531 ciency in the data. All the inputs to the yield ratio are reasonable and there is good
 1532 agreement of the acceptance when data and simulation are compared for all target
 1533 variables in 1-dimension. But the acceptance is complicated and is multiple dimen-
 1534 sional, so a local efficiency is very difficult to detect in a 1 dimensional acceptance
 1535 comparison. It is natural to check the yield ratio in higher dimensions to locate the
 1536 problem.

1537 **Yield ratio in higher dimension**

1538 Following the same method of calculation used for the yield ratio we now calculate
 1539 it in two dimensions of the target variables $(\theta_{tg} : \phi_{tg})$, $(\phi_{tg} : dp_{tg})$ and $(\theta_{tg} : dp_{tg})$.
 1540 Figures 5.3 and 5.4 show the yield ratio in a 2-dimensional phase space of the target
 1541 variables. Figure 5.3 shows that the yield ratio is uniform all over the two-dimensional
 1542 phase space acceptance except for a few points at the edge where the acceptance is
 1543 not well described. Figure 5.4 shows an obvious low yield ratio in a specific region,
 1544 $dp = 3\%$ and $-0.005 < \phi_{tg} < 0.03$, which indicates a local efficiency problem.

1545 **Local efficiency at Trigger level**

1546 The local efficiency was confirmed and it could come from various sources: detec-
 1547 tors, tracking or trigger efficiency. After checking through all possible sources the
 1548 inefficiency was isolated to the trigger. The trigger design of this experiment was
 1549 discussed in the Section 3.6.5. The main trigger (T3) used in the analysis is $(S_0 \& S_2 \&$

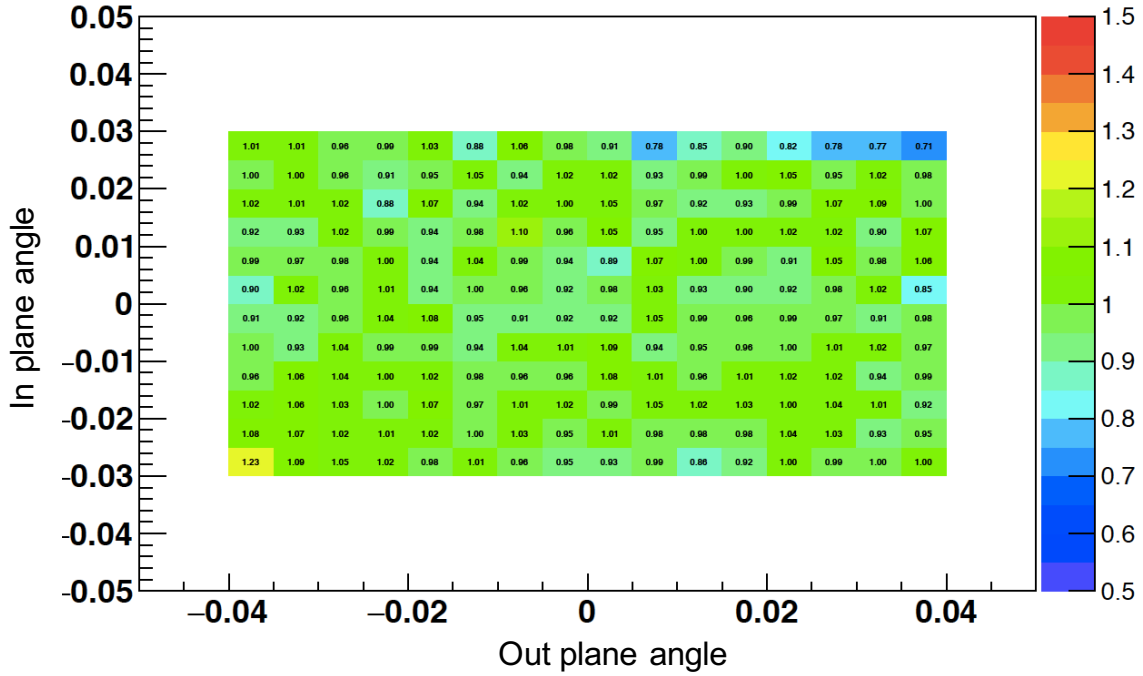


Figure 5.3: 2D Yield ratio as function of in plane angle ϕ_{tg} (rad) vs out plane angle θ_{tg} (rad) shows a uniform distribution except few points at the edge where acceptance was not modeled well.

1550 Cer) while the efficiency trigger (T7) is the combination ($S_0 \& S_2$). The event distri-
 1551 bution in phase space of Cherenkov detector (cer_x vs cer_y) corresponds to two type
 1552 of event triggers, T3 and T7, are shown in the Figures 5.5 and 5.6, respectively. The
 1553 T3 event distribution shows a dip around $cer_x = 0.4$ (where it is normal for the T7
 1554 event distribution) and the projection of the events in the low yield ratio area seen in
 1555 the Figure 5.4 onto the phase space of Cherenkov appear at the same location of the
 1556 dip seen in Figure 5.5. Figure 5.7 shows the locations of 10 PMTs in the Cherenkov.
 1557 The location of the low efficiency region is at the position of PMT7. The next steps
 1558 describe how to calculate and correct this local inefficiency in the yield ratio.

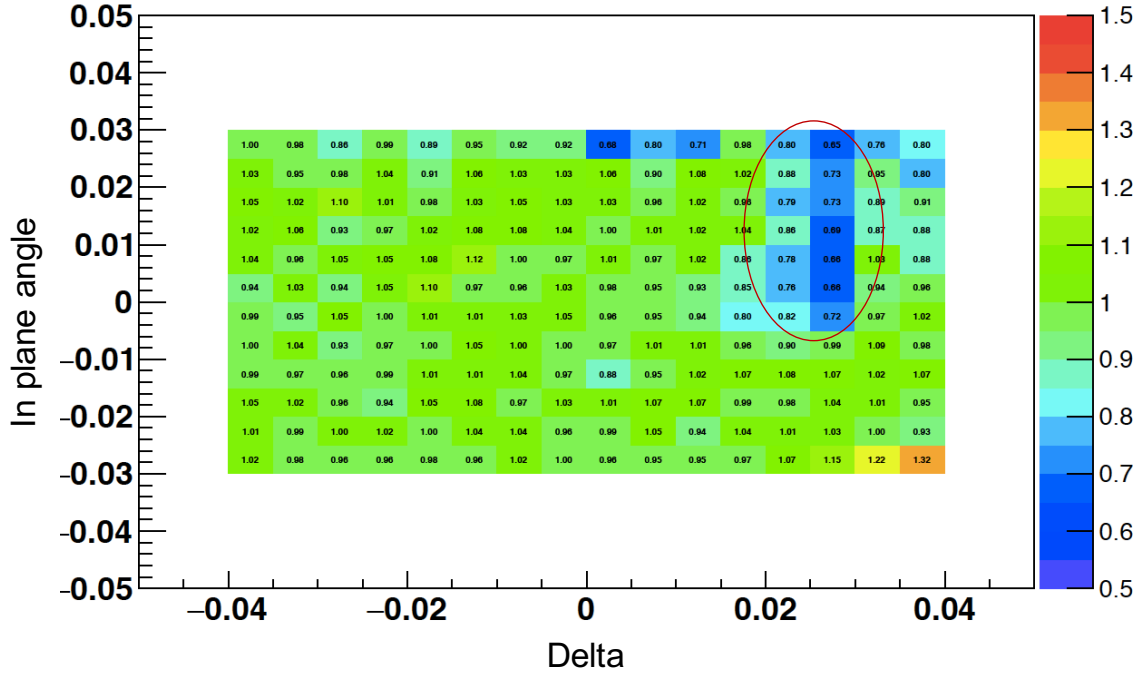


Figure 5.4: The 2D Yield ratio as function of in plane angle ϕ_{tg} (rad) vs relative momentum dp shows a specific region where the yield ratio drops. This occurs at $dp \sim 0.03$ and $\phi_{tg} > -0.005$.

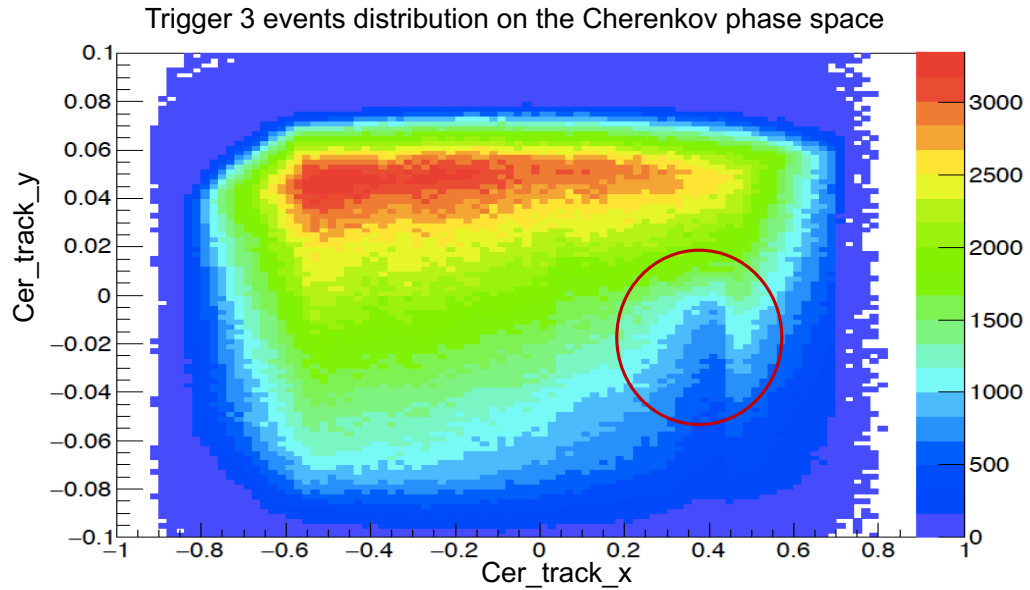


Figure 5.5: The Trigger 3 event distribution on the Cherenkov phase space. The dip is obvious at the location within the red circle.

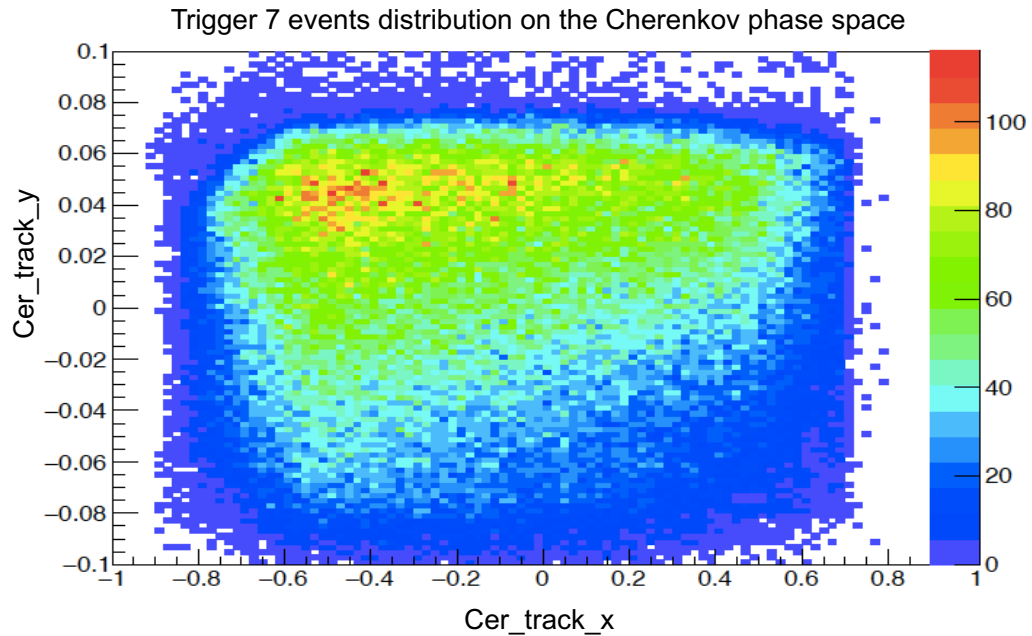


Figure 5.6: The trigger 7 events distribution for the same data as in Figure 5.5. It is clear that the dip region doesn't exist in the distribution when we switch from Trigger 3 to Trigger 7

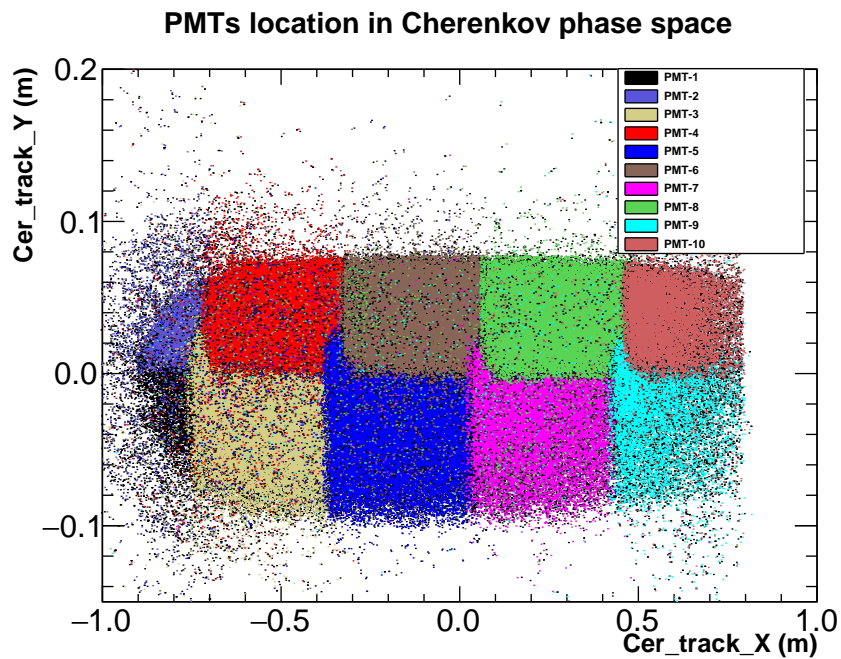


Figure 5.7: The location of each of the 10 PMTs in the Cherenkov phase space.

Local efficiency calculation

There are two ways to calculate the local efficiency correction. The first is to calculate the efficiency as a function of the Cherenkov position ($cer_x:cer_y$) as seen in Figure 5.8. Then, this map can be used to apply the correction event by event depending on the track position in Cherenkov phase space.

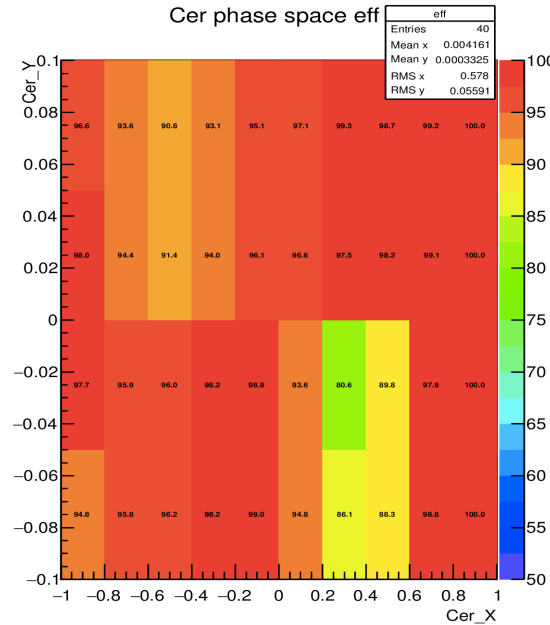


Figure 5.8: The plot shows the local efficiency as function of Cherenkov position.

The second way is to calculate the efficiency as the function of x_{bj} or E' with the same binning as we have for the yield ratio, then apply the correction to the yield ratio bin by bin. See Figure 5.9. The difficulty in calculating of the local trigger efficiency comes from the fact that when the data taking was set up a high pre-scale factor was applied to efficiency Trigger T7. This means that the statistics for the efficiency calculation are low. This issue can be worked around by summing all the data in the same kinematic setting for each target together. However a bigger problem was that pre-scale factor for T7 was changed multiple times throughout the data taking period. With the different pre-scale factor on T7 we need to be very careful when

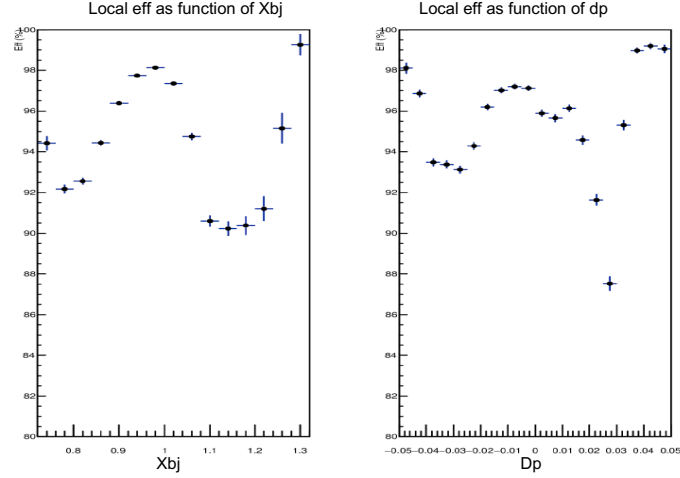


Figure 5.9: The left plot is the local efficiency as function of x_{bj} for one kinematics setting. The right plot is the local efficiency as function of d_p for the same setting as in the left plot.

1573 combining runs together. The first step was to set loose cuts on the acceptance, on
 1574 the tracking and on the PID using the E/p to select events. The second step was to
 1575 select the sample, N7, of events which pass the selection cuts and fire T7. The sample
 1576 N73 includes the events in the sample N7 which also fires T3. The efficiency can be
 1577 determined as

$$\text{Eff} = \frac{N73}{N7} \quad (5.8)$$

1578 With this selection sample, N7, we don't need to worry about about the difference
 1579 in pre-scale factor of trigger T7 but this calculation only works if the Trigger T3 has
 1580 pre-scale factor of 1. The uncertainty accompanying our calculation must also be de-
 1581 termined. This uncertainty follows from the binomial distribution [87,91] because the
 1582 events in sample N7 either fire T3 or not. The approximation [87] for the uncertainty
 1583 can be calculated using:

$$\text{Uncertainty} = \text{Eff} * \frac{\text{sqrt}(N73 * (1 - N73/N7))}{N7}. \quad (5.9)$$

1584 This local efficiency depends on the kinematic setting and the target length. Fig-

ure 5.10 shows the local efficiency as function of d_p for every kinematic setting for ^{12}C . The unique map to apply this correction needs to be averaged over all different kinematic settings and over different target lengths. The calculation has many different steps and are not presented here but can found in Ref. [94].

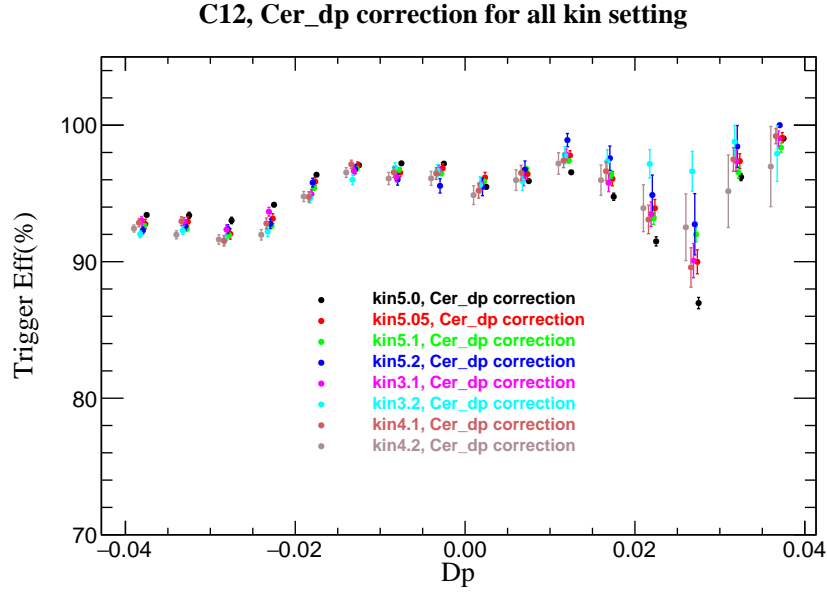


Figure 5.10: The local efficiency as a function of d_p for every kinematic setting for Target ^{12}C . The local efficiency varies over the different kinematics.

1588

1589 Improvement in yield ratio

After the local efficiency was calculated bin by bin in both E' and x_{bj} for each kinematic setting, it can be used as a correction factor to the yield ratio. This results in a significant improvement in the yield ratio for overlapping kinematic settings. The yield ratio in the overlap region now have very good agreement, within a few percent over different kinematic settings in E' and around 5% in x_{bj} . See Figure 5.11 and 5.12.

Both approaches for applying the local efficiency correction in the yield ratio were studied and both returned good agreement. See Ref. [95].

1597

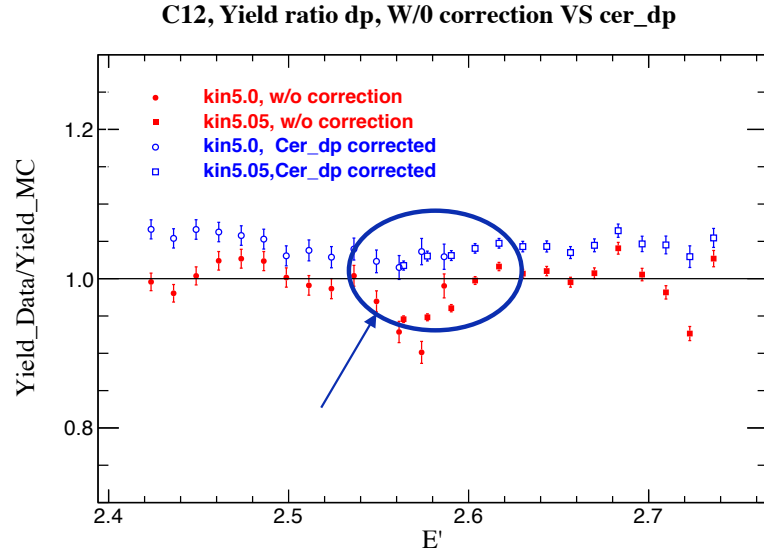


Figure 5.11: The red points are the yield ratio binned in E' for two overlap kinematics settings, Kin5.0 and Kin5.05, before the local efficiency correction was applied while the blue points are the result after the correction. The overlap region shows a significant improvement after application of the correction.

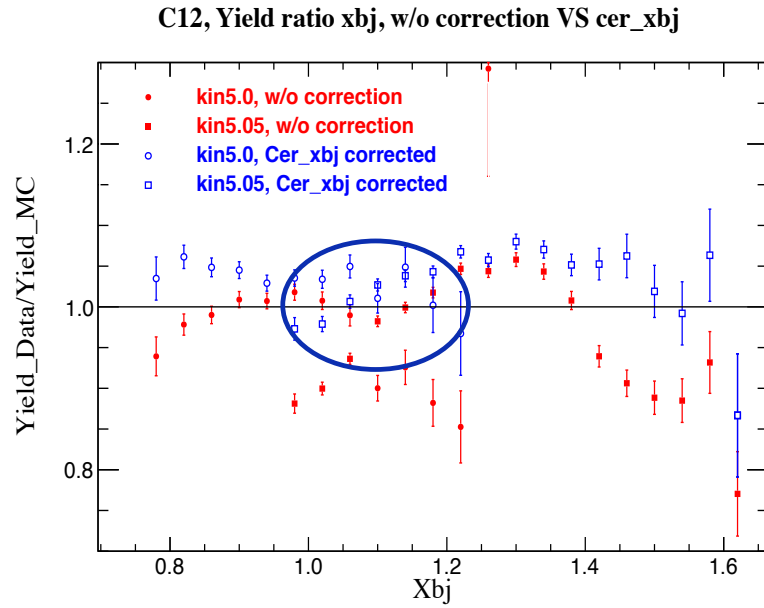


Figure 5.12: The red points are the yield ratio as function of x_{bj} for two overlap kinematic settings, Kin5.0 and Kin5.05, before the local efficiency correction, and the blue points are the results after the correction.

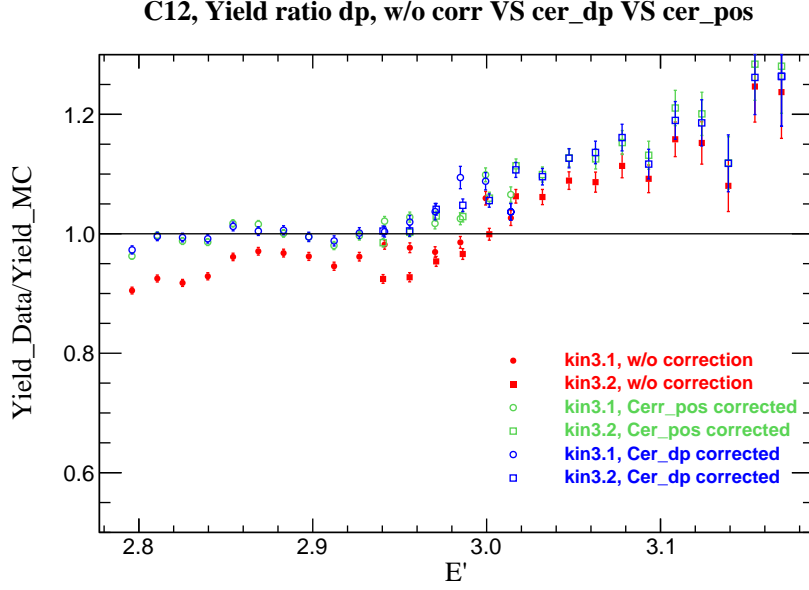


Figure 5.13: The Red point are the yield ratio before local efficiency was applied. The blue points are the yield ratio after local efficiency correction was applied bin by bin in dp. The green points are the yield ratio after local efficiency was applied using the unique map of Cherenkov positions.

In the end, the approach of applying efficiency to the yield ratio bin by bin in dp and in x_{bj} binning was used in analysis since it is easier to propagate the uncertainty in the yield ratio.

5.5 Acceptance dependence

After applying all corrections, now we have very good results for the yield ratio for every kinematic setting. This section will discuss the stability of the yield ratio as acceptance cuts are changed. To be able to conduct this study we need to have multiple set of acceptance cuts as listed in Table 5.1 [96]. A more detailed study of this study was presented in the Ref. [97].

The acceptance Cut1 plays the role of a reference cut. In each other cut, the range in one of target variables is changed systematically. The yield ratio bin-to-bin for both E' and x_{bj} for each set of the acceptance cuts are calculated. The

Table 5.1: The sets of acceptance cuts on the target reconstructed variables

Cut-name	dp (%)	θ_{tg} (mrad)	ϕ_{tg} (mrad)	y_{tg} (σ)
Cut1	3.5	40	25	5
Cut2	3	40	25	5
Cut3	4	40	25	5
Cut4	3.5	35	25	5
Cut5	3.5	40	20	5

1610 difference in the yield ratio from the Cut2-Cut5 to the reference cut is a measure of
 1611 the acceptance dependence (see Figures 5.14, 5.15, 5.16, 5.17). The variation in the
 1612 yield ratio using different cuts compared to the yield ratio using the reference cut
 1613 is very stable. For the most part, the scatter is less than 2% in bin x_{bj} except for
 1614 certain cuts at the very edge of the acceptance. For the final results, the x_{bj} range
 1615 is limited to stay away from the edge points where the acceptance is not modeled
 1616 well. In addition, some of the cuts in the acceptance dependence studies dramatically
 1617 change the statistics at the edge points. It means that the variation may come
 1618 from statistics and data, not because of the acceptance. The bottom line is that we
 1619 don't need to worry about the large variations at the edges. So for the absolute cross
 1620 section, a 2% normalization uncertainty and 1.5% point-to-point are very conservative
 1621 for the acceptance dependence. For the cross section ratio of different solid targets,
 1622 the acceptance effects are canceled almost exactly. The normalization uncertainty is
 1623 negligible and point-to-point uncertainty should cancel as well. A 0.2% point-to-point
 1624 uncertainty for cross section ratio to account for the different offsets between 2 targets
 1625 position.

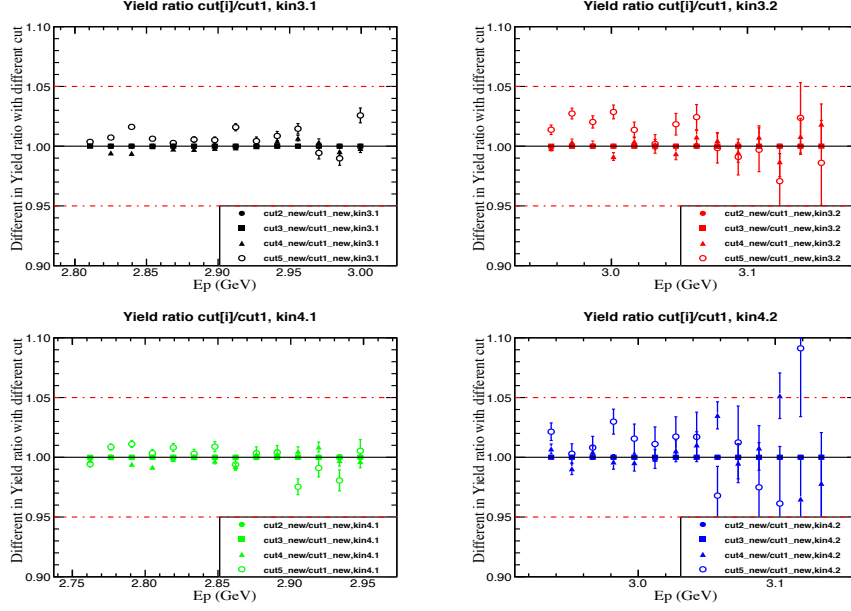


Figure 5.14: The acceptance dependence as a function of E' for $\theta_0 = 21^\circ$ and $\theta_0 = 23^\circ$. The variations of the yield ratio binned in E' using different cuts (Cut2 - Cut5) to the yield ratio using the reference cut are very small for different kinematic settings. Two red dashed lines show $\pm 5\%$ variation from one.

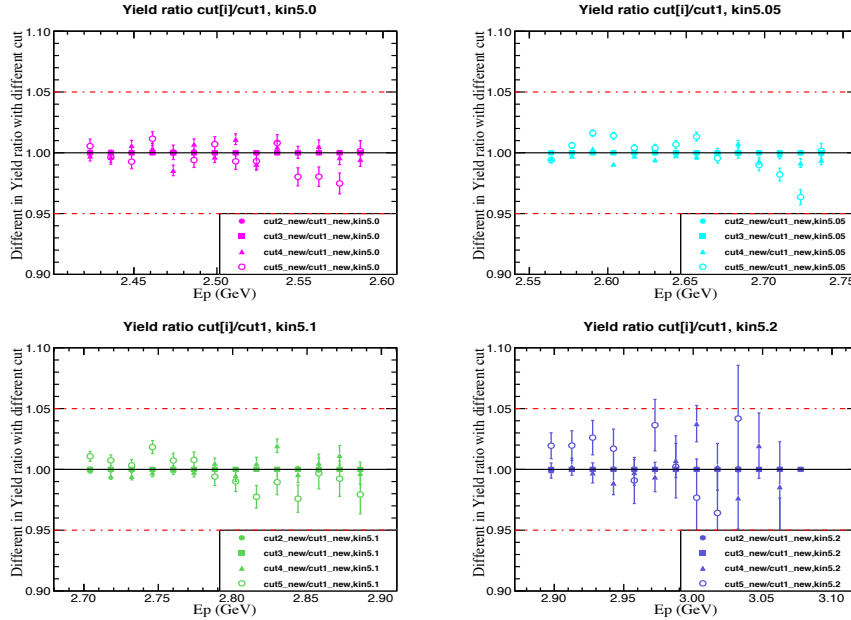


Figure 5.15: The acceptance dependence as a function of E' for $\theta_0 = 25^\circ$ settings. The variations of the yield ratio binned in E' using different cuts (Cut2 - Cut5) to the yield ratio using the reference cut are very small for different kinematic settings. Two red dashed lines shows $\pm 5\%$ variation from one.

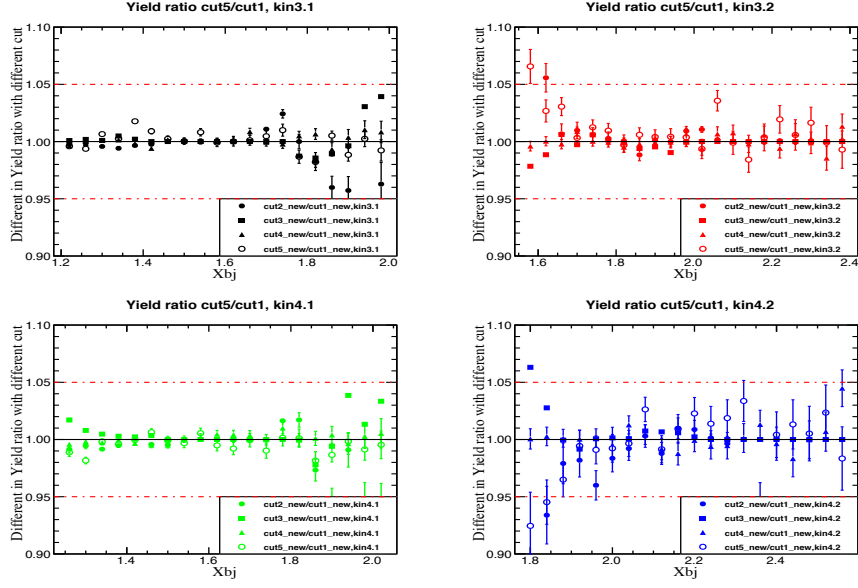


Figure 5.16: The acceptance dependence as a function of x_{bj} for $\theta_0 = 21^\circ$ and $\theta_0 = 23^\circ$. The variations of the yield ratio binned in x_{bj} using different cuts (Cut2 - Cut5) to the yield ratio using the reference cut are very small for different kinematic settings. Two red dashed lines show $\pm 5\%$ variation from one.

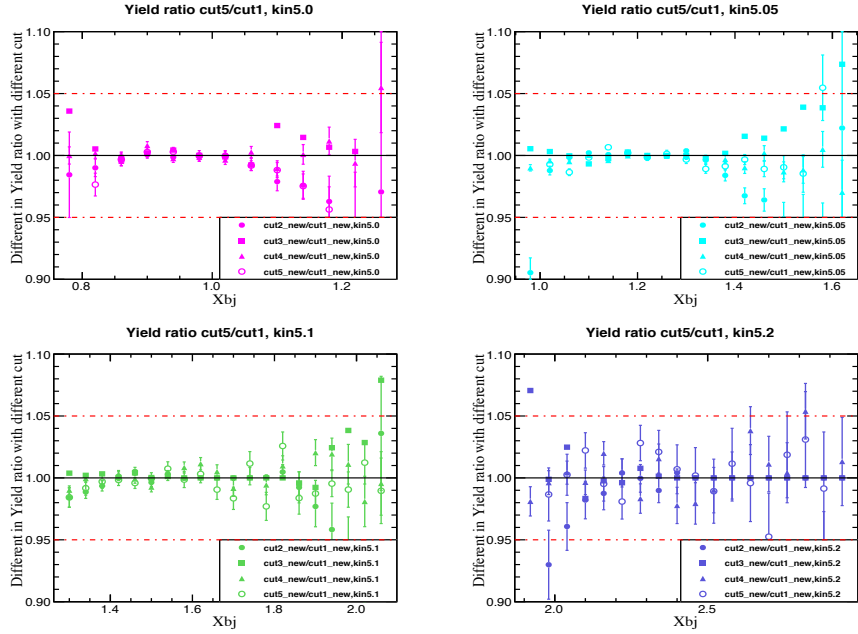


Figure 5.17: The acceptance dependence as a function of x_{bj} for $\theta_0 = 25^\circ$ settings. The variation of the yield ratio binned in x_{bj} using different cuts (Cut2 - Cut5) to the yield ratio using the reference cut are very small for different kinematic settings. Two red dashed lines show $\pm 5\%$ variation from one.

1626 **5.6 Yield ratio for ^{40}Ca and ^{48}Ca**

1627 **Yield ratio for ^{40}Ca and ^{48}Ca using initial cross section model**

1628 The procedure for extracting the yield ratio and cross section was fully checked, and
 1629 worked well with the ^{12}C target. The same procedure can be used to extract the
 1630 ^{40}Ca and ^{48}Ca yield ratios. As discussed in the Section 4.6.3, in the cross section
 1631 model XEMC the total cross section is a sum of the quasi-elastic and deep inelastic
 1632 contributions. The quasi-elastic contribution is calculated from a scaling function
 1633 $F(y)$ which is extracted from data for each target. Subsequently a new $F(y)$ fit is
 1634 performed on the extracted cross section to obtain an iterated cross section model
 1635 which is used as input to the cross section extraction for next iteration. This process
 1636 is repeated until good agreement between data and the model was achieved. However
 1637 there are no preexisting in this kinematic region for ^{40}Ca and ^{48}Ca targets. This means
 1638 that we did not have a good starting $F(y)$ cross section model for these targets. The
 1639 scaling function for aluminum was used in the first iteration for both ^{40}Ca and ^{48}Ca
 1640 which is used as a starting point of this analysis.

1641 Figures 5.18 and 5.19 show the yield ratio (data/MC) for ^{40}Ca and ^{48}Ca for both
 1642 E' and x_{bj} binning using the initial cross section model. As expected, the yield ratio is
 1643 not close to 1 yet since the initial cross section model can not describe data well. Even
 1644 though the yield ratio in the overlap region is in very good agreement indicating that
 1645 the acceptance is well described. This yield ratio used to obtain the initial extracted
 1646 cross section for ^{40}Ca and ^{48}Ca , as shown in Figures 5.20, 5.21. The uncertainty
 1647 shown is only statistical.

1648 **Refitting $F(y)$ fitting for ^{40}Ca and ^{48}Ca data**

1649 After the initial cross section of ^{40}Ca and ^{48}Ca was extracted, it was used to obtain
 1650 a new $F(y)$ fit. The $F^{data}(y)$ can be extracted from data by taking the initial cross

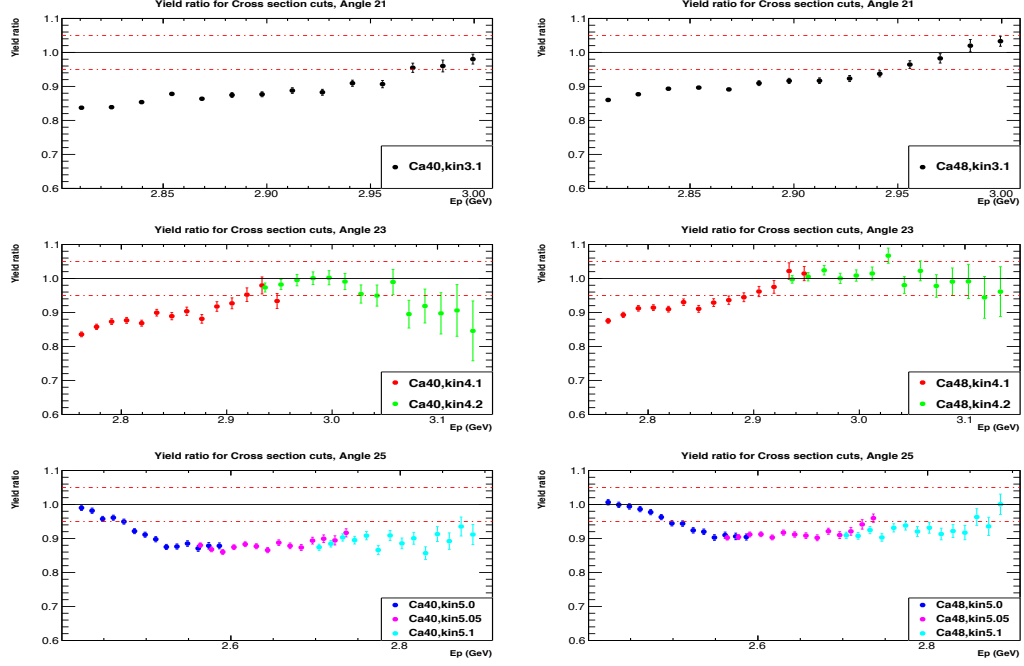


Figure 5.18: The ^{40}Ca (left), ^{48}Ca (right) yield ratio as a function of $E'(E_p)$ using the initial cross section model. Two red dashed lines show $\pm 5\%$ variation from one.

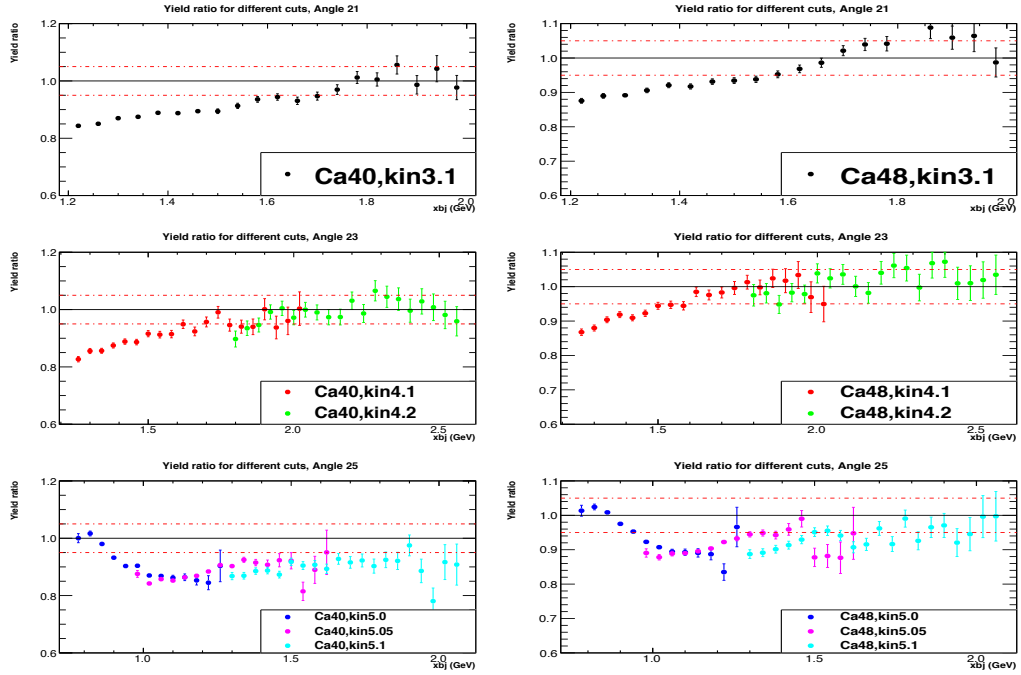


Figure 5.19: The ^{40}Ca (left), ^{48}Ca (right) yield ratio as function of x_{bj} using the initial cross section model. Two red dashed lines show $\pm 5\%$ variation from one.

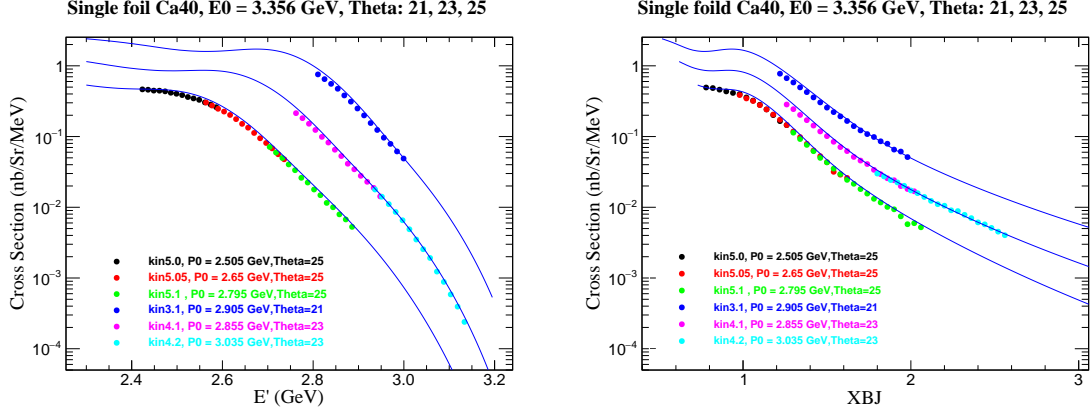


Figure 5.20: The initial extracted ^{40}Ca cross section using the initial cross section model. The left plot is the cross section as a function of E' (GeV). The right plot is the cross section as a function of x_{bj} .

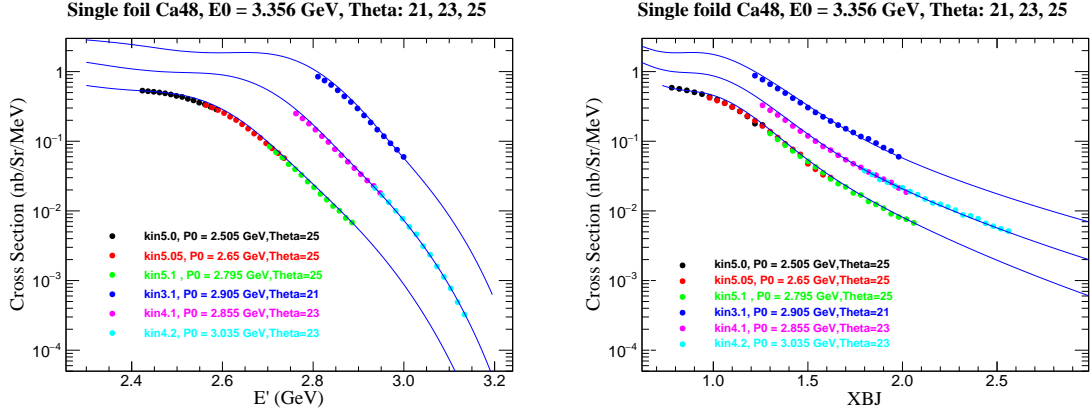


Figure 5.21: The initial extracted ^{48}Ca cross section using the initial cross section model. The left plot is the cross section as a function of E' (GeV). The right plot is the cross section as a function of x_{bj} .

1651 section, subtracting the inelastic contribution (calculated by the inelastic part of
 1652 XEMC cross section model) and dividing out the kinematic factor and the sum of
 1653 electron-nucleon cross section as shown in Equation 5.10.

$$F^{data}(y) = \frac{(\sigma^{data} - \sigma_{dis}^{xemc})}{(Z\sigma_p + N\sigma_n)} \cdot \frac{q}{\sqrt{M^2 + (\vec{p} + \vec{q})^2}}, \quad (5.10)$$

1654 A parameterization of the scaling function $F(y)$, given in equation 5.11, is used to
 1655 perform a fit on the $F^{data}(y)$. The fit provides the new parameters to update cross
 1656 section model and is used for the next iteration to get updated yield ratio and updated
 1657 extracted cross section.

$$F(y) = (f_0 - B) \frac{\alpha^2 e^{-(ay)^2}}{\alpha^2 + y^2} + B e^{-(by)^2} \quad (5.11)$$

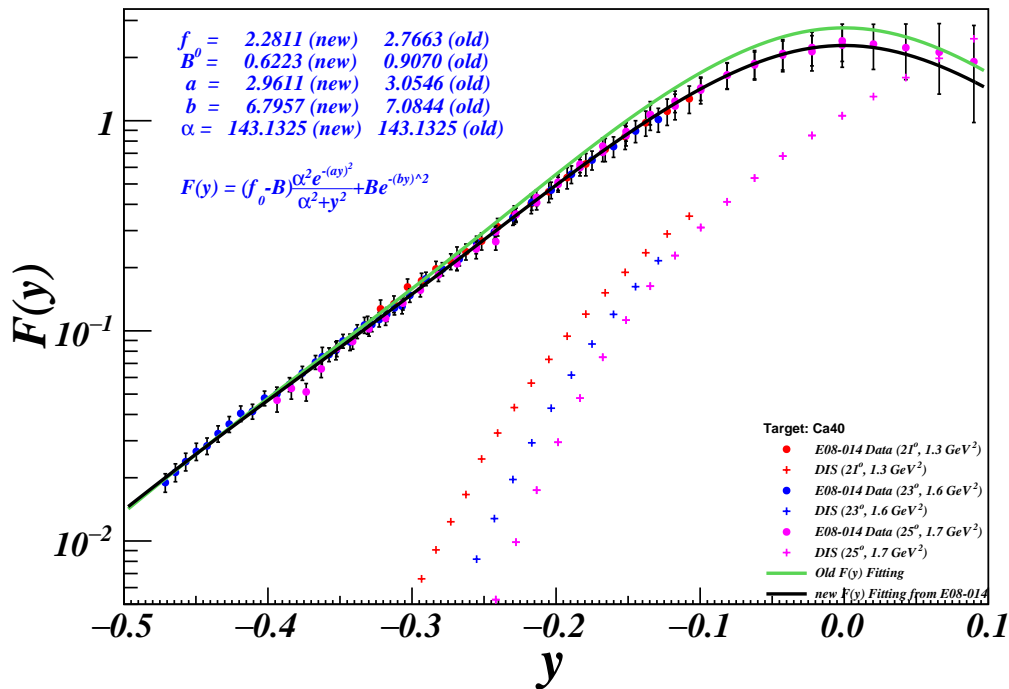


Figure 5.22: The new $F(y)$ fit on the initial extracted ^{40}Ca cross section. The solid points are the $F(y)$ extracted from data, different colors represent different kinematic settings. The crosses are the DIS contribution corresponding to each kinematic settings. The old parameters in the legend are for initial cross section model while the new parameters, obtained from the fit, are for updated cross section model.

1658 Yield ratio of ^{40}Ca and ^{48}Ca using updated cross section model

1659 Using the updated cross section model for ^{40}Ca and ^{48}Ca , the updated yield ratios
 1660 (data/simulation) are obtained as shown in Figures 5.24 and 5.25. The yield ratio

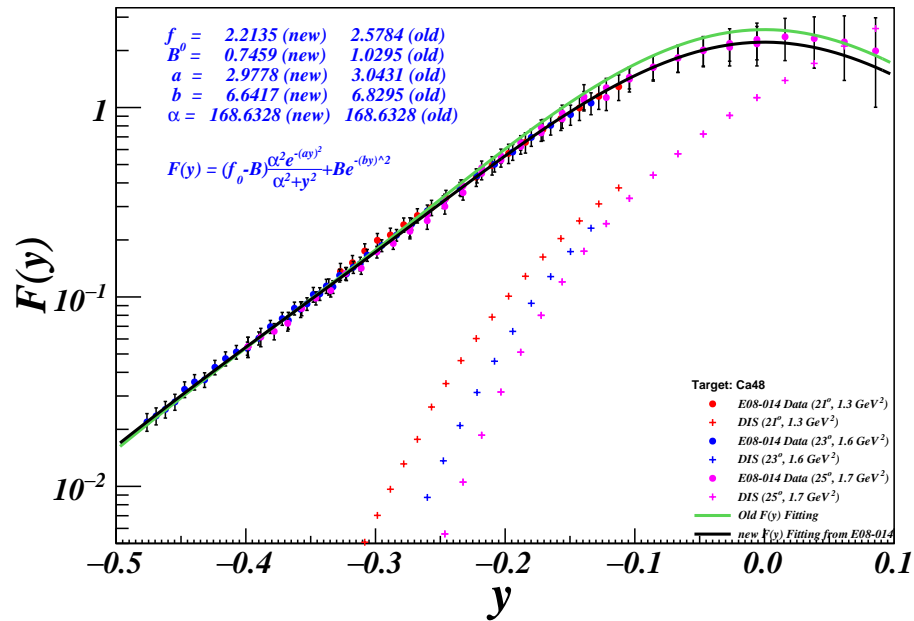


Figure 5.23: The new $F(y)$ fit on the initial extracted ^{48}Ca cross section. The solid points are the $F(y)$ extracted from data, different colors represent different kinematic settings. The crosses are the DIS contribution corresponding to each kinematic settings. The old parameters in the legend are for initial cross section model while the new parameters, obtained from the fit, are for updated cross section model.

1661 is much closer to 1 compared to the yield ratio using the initial cross section model
 1662 after only one iteration. In addition, the good agreement in the overlapping regions
 1663 was retained. At the lowest Q^2 kinematic setting, kin3.1, the cross section may suffer
 1664 a larger final state interaction, but this behavior is consistent for both ^{40}Ca and ^{48}Ca
 1665 and would cancel in the cross section ratio. In the end, another iteration is needed to
 1666 improve the cross section model. The variation of the extracted cross section using a
 1667 newly iterated cross section model can be used to study the model dependence.

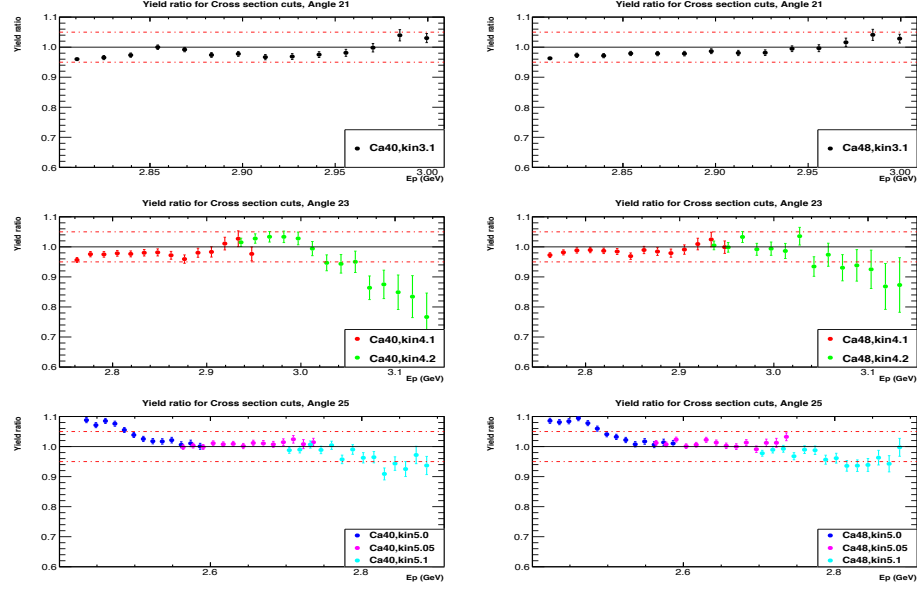


Figure 5.24: The ^{40}Ca (left), ^{48}Ca (right) yield ratio as a function of E' (E_p) using the iterated cross section model. The yield ratio is much closer to 1 compared to the yield ratio using the initial cross section. The two red dashed lines show $\pm 5\%$ variation from one.

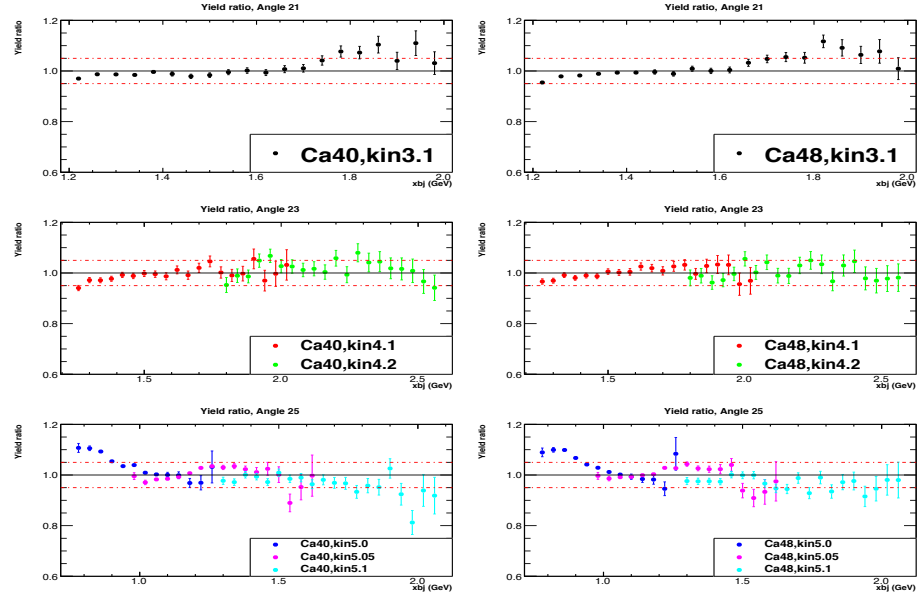


Figure 5.25: The ^{40}Ca (left), ^{48}Ca (right) yield ratio as function of x_{bj} using the iterated cross section model. The yield ratio is much closer to 1 compared to the yield ratio using the initial cross section. The two red dashed line show $\pm 5\%$ variation from one

5.7 Model dependence

The yield ratio method for extracting cross section depends on the cross section model. After each new $F(y)$ fitting, the iterated cross section is obtained and is used to get the updated yield ratio and then updated extracted cross section. To see cross section model dependence effects, a comparison of the extracted cross sections using the initial cross section model and the first iterated cross section model is performed. First of all, we can compare the difference in these two cross section models for each target, ^{40}Ca and ^{48}Ca , as shown in Figures 5.26 and 5.27. The difference between the two cross section models is at level of 10%-15% at quasi-elastic peak and at level of 20% for large values of E' . Even so, this difference in the cross section models has very small impact in the extracted cross sections as seen below

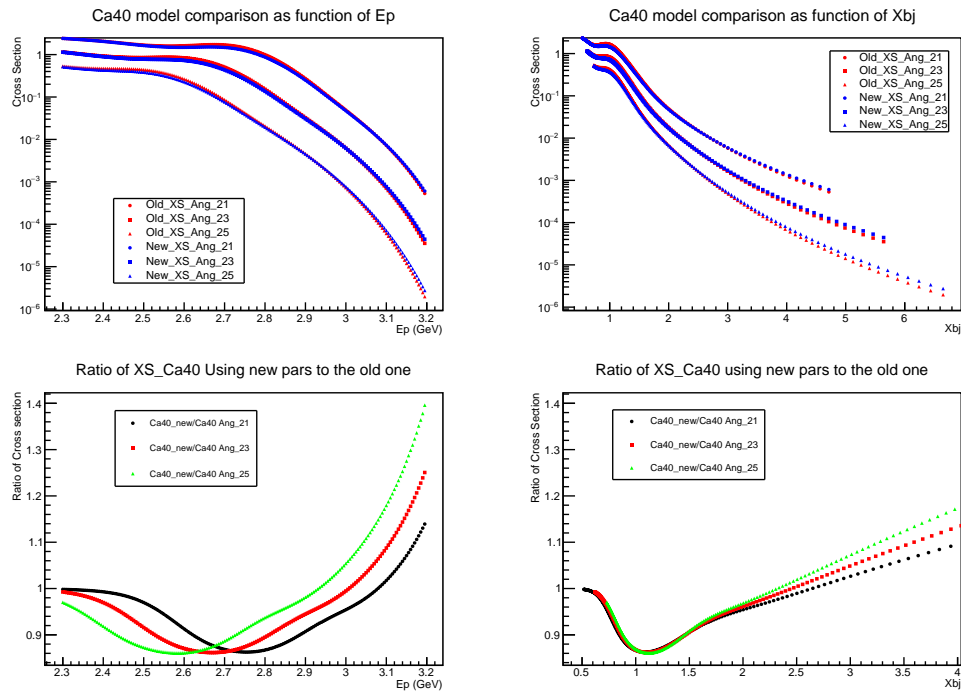


Figure 5.26: Top plots: The initial (old) and the first iterated (new) ^{40}Ca cross section model comparison for $\theta = 21^\circ, 23^\circ, 25^\circ$ as a function of E' (E_p) or x_{bj} , respectively. The bottom plots: the ratio new/old of cross section models which shows difference at the level of 10%-15% around the quasi-elastic peak and 20%-30% for large E' values and less when binned in x_{bj} .

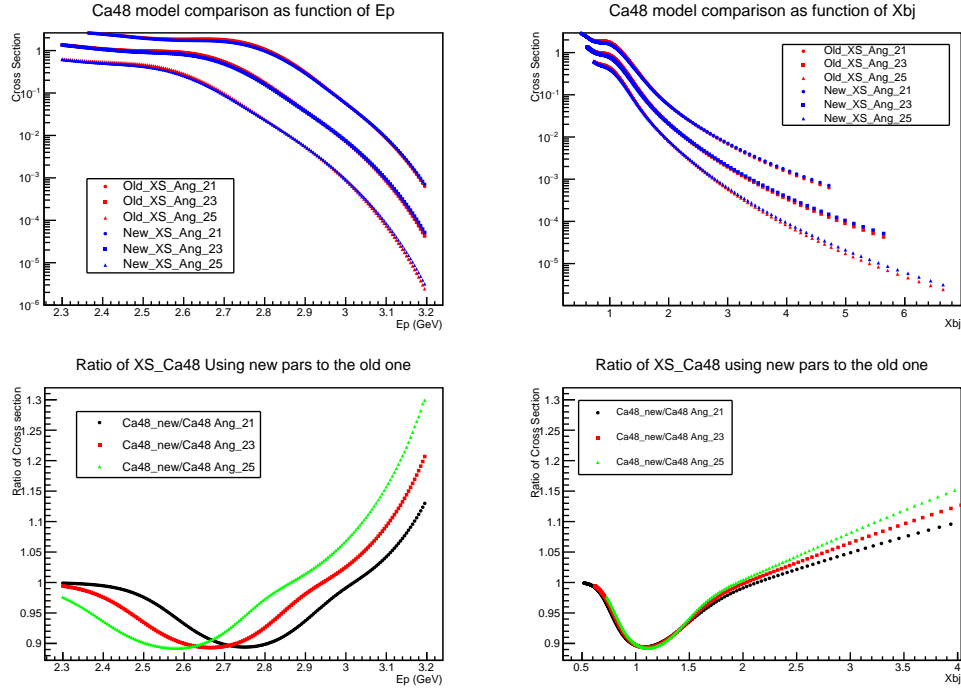


Figure 5.27: Top plots: The initial (old) and the first iterated (new) ^{48}Ca cross section model comparison for $\theta = 21^\circ, 23^\circ, 25^\circ$ as a function of E' (E_p) or x_{bj} , respectively. The bottom plots: the ratio new/old of cross section models which shows differences at the level of 10%-15% around the quasi-elastic peak and 20%-30% for large E' values.

Figures 5.28 and 5.29 show the variation in the extracted cross section when using the initial cross section model and the first iterated cross section model as a function of E' and x_{bj} for both ^{40}Ca and ^{48}Ca . The variation in the extracted cross sections is at level of 2%. But the overall deviation is much smaller, the RMS scatter of the points is about 0.5%. So this is a reasonable upper limit for the model dependence. One thing to be aware of is that the variation in the x_{bj} binning is smaller compared to the E' binning is explained through Figure 5.30. When the cross section is binned in E' , each bin covers a large range of x_{bj} which means that the cross section change significantly across the bin and that is why it is much more sensitive to the model for E' binning (see Figure 5.30, left plot). When the cross section binned in x_{bj} , the cross section is much flatter across the bin which makes it less sensitive to the model (see Figure 5.30, right plot). Detailed studies of the model dependence effects are found

1691 in the Refs. [98–100].

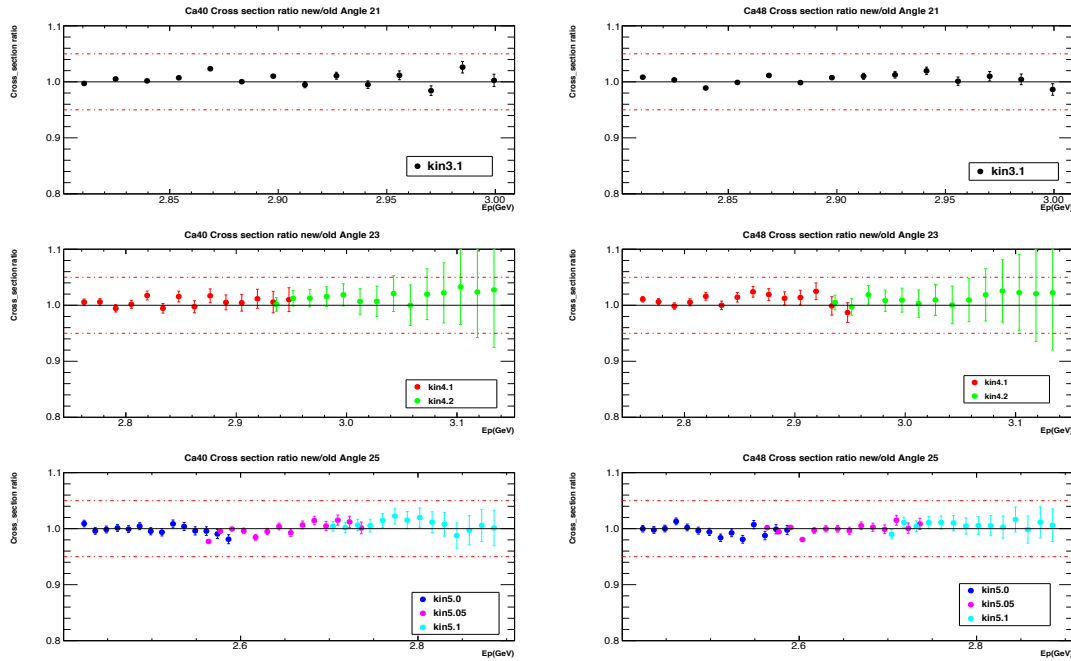


Figure 5.28: The ratio of the extracted cross using the first iterated cross section model to the extracted cross section using initial cross section model section as a function of E' for different kinematic settings. The left plots are for ^{40}Ca while the right plots are for ^{48}Ca . Two red dashed lines in each plot shows $\pm 5\%$ variation from one.

1692 If we do additional iterations on cross section model the uncertainty from the
 1693 model dependence can be reduced. But for this state of the analysis a 0.5% uncer-
 1694 tainty from the model dependence on the cross section point to point is acceptable.
 1695 The $F(y)$ fit was performed separately on ^{48}Ca and ^{40}Ca which implies that uncer-
 1696 tainty from model dependence can be slightly different for these two nuclei. There
 1697 can be some cancellation when we take the cross section ratio $^{48}\text{Ca}/^{40}\text{Ca}$. So it is
 1698 reasonable to apply 0.5% uncertainty from model dependence point to point for the
 1699 cross section ratio $^{48}\text{Ca}/^{40}\text{Ca}$ as well [87].

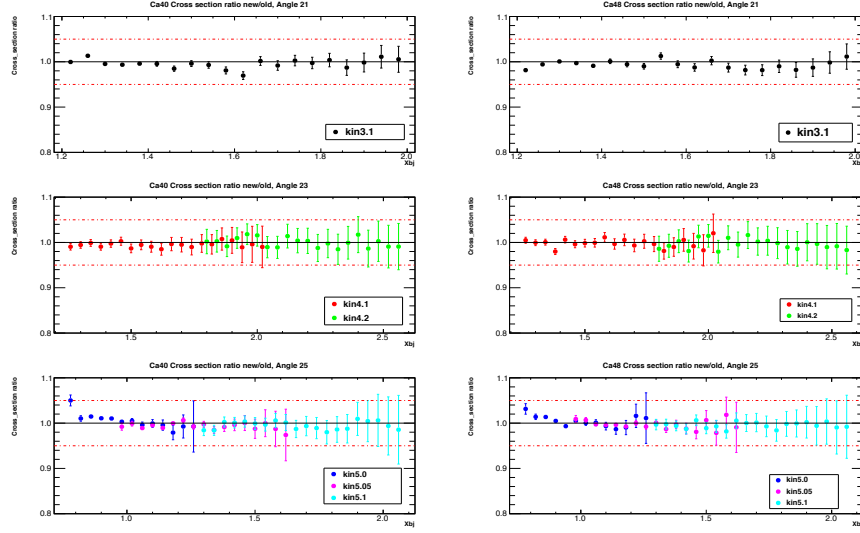


Figure 5.29: The ratio of the extracted cross using the first iterated cross section model to the extracted cross section using initial cross section model section as a function of x_{bj} for different kinematic settings. The left plots are for ^{40}Ca while the right plots are for ^{48}Ca . Two red dashed lines in each plot shows $\pm 5\%$ variation from one.

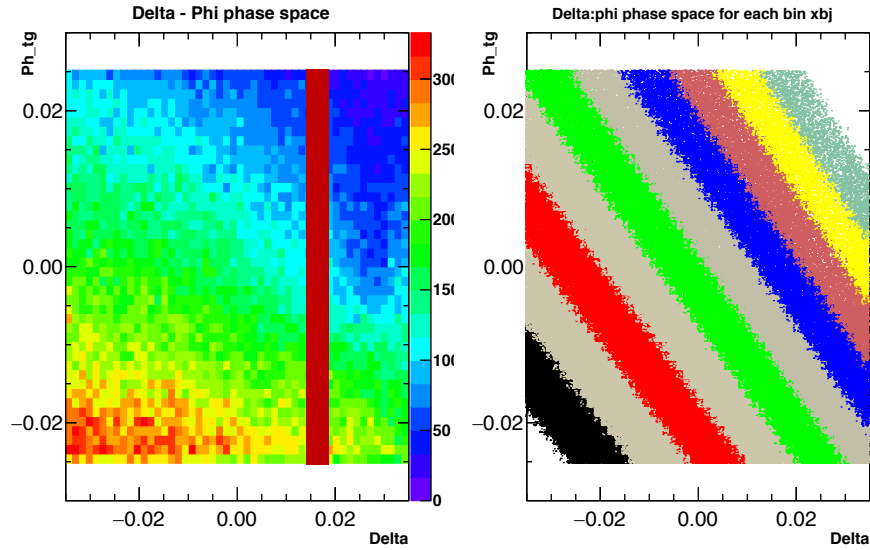


Figure 5.30: The left plot shows the $(dp : \phi_{tg})$ phase space after the selected cuts of the cross section analysis. The red band presents the phase space corresponding to a $dp(E')$ bin. The cross section changes a lot crossing the dp bin. The right plot shows the $(dp : \phi_{tg})$ phase space after the selected cuts of the cross section analysis within the selected range of x_{bj} . Each color band represents a phase space of each x_{bj} bin. The cross section is much flatter crossing the x_{bj} bin compared to the E' bin.

1700 5.8 Systematic uncertainty estimation

1701 The extracted cross section and the cross section ratio were corrected for many effects.
 1702 The systematic uncertainty associated with each correction needs to be studied. The
 1703 systematic uncertainty of the acceptance correction, the model dependence as well
 1704 as the trigger and PID efficiencies have been studied in this analysis. The remaining
 1705 uncertainty studies are the radiative corrections and the target density. The expensive
 1706 ^{48}Ca was well made and its density was determined with high precision (0.1%). The
 1707 density of the less expensive target ^{40}Ca was not well defined and we estimate an
 1708 uncertainty of $\sim 1\%$. A conservative estimate for the radiative correction is 1.5%.
 1709 The detail studies of these uncertainties will be updated for eventual publication.
 1710 A summary of normalization (norm) and point-to-point (pt-pt) uncertainty of each
 1711 correction for both absolute cross-section ($\delta\sigma/\sigma$) and cross-section ratio ($\delta R/R$) can
 be found in Table 5.2 with the marker (*) indicating an on-going study.

Table 5.2: Systematic Uncertainty summary

Systematic	$\delta\sigma/\sigma$ (Norm)	$\delta\sigma/\sigma$ (pt-pt)	$\delta R/R$ (Norm)	$\delta R/R$ (pt-pt)
Acceptance dependence	2%	1.5%	-	0.2%
Model dependence	-	0.5%	-	0.5%
Tracking efficiency	1%	0.3%	-	0.2%
Cer efficiency	0.3%	0.1%	-	0.1%
E/p efficiency	0.3%	0.1%	-	0.1%
Target density*	1%	-	1%	-
Beam Charge*	0.5%	-	-	0.5%
radiative correction*	1.5%	-	-	0.5%
Total	2.9%	1.6%	1%	0.9%

Chapter 6

Results and discussion

In this chapter the preliminary absolute cross section results are presented for three targets: ^{12}C , ^{40}Ca and ^{48}Ca . In addition, the cross section ratios for $^{48}\text{Ca}/^{40}\text{Ca}$ are presented. Due to the absence of theoretical predictions of the cross section for these heavy nuclei we are necessarily limited in our discussion. However, the precision of these data will provide the possibility for comparison to advanced calculations as they become available. The cross section ratios do however provide an opportunity for some discussion as the expectation is that the ratios, in the region where 2N SRCs should dominate, will be largely determined by whether 2N-SRCs are isospin dependent or isospin independent.

6.1 Absolute Cross section

The absolute cross-sections of ^{40}Ca and ^{48}Ca have been measured for the first time in a region of kinematics ($Q^2 > 1$ and the $x_{bj} > 1$) where SRC should play an important role. At a minimum these results will allow the construction of precise models for these two nuclei for future studies. In addition, by exploiting the connection between the longitudinal scaling function $F(y)$ and the momentum distribution $n(k)$ a comparison can be made with predictions whose starting point is the NN potential [21].

1731 ^{12}C absolute cross section

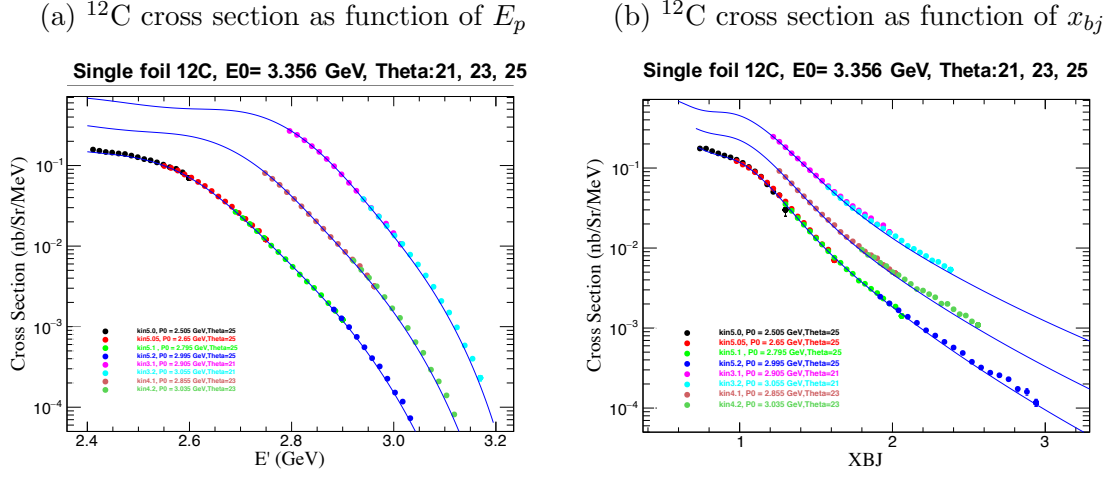


Figure 6.1: ^{12}C absolute cross-section as a function of E' (left panel) and x_{bj} (right panel) for different kinematic settings. The solid lines represent the model and the points represent the E08014 data.

1732 ^{40}Ca and ^{48}Ca absolute cross section

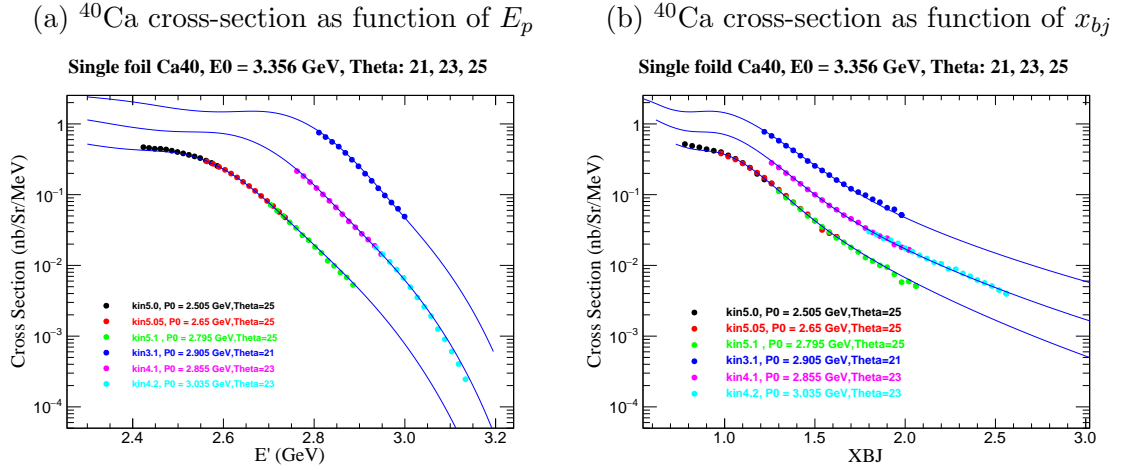


Figure 6.2: ^{40}Ca absolute cross-section as a function of E' (left panel) and x_{bj} (right hand panel) for different kinematic settings. The solid lines represent the cross section model and the points represent the E08014 data.

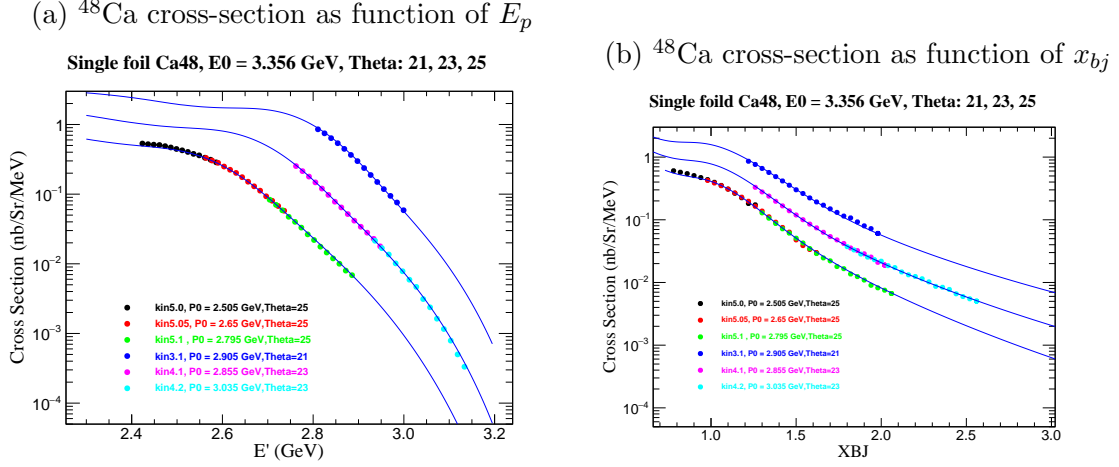


Figure 6.3: ^{48}Ca cross-section as function of E' (left panel) and x_{bj} (right panel) for different kinematic settings. The solid lines represent the cross-section model and the points represent the E08014 data.

6.2 Cross section ratio $^{48}\text{Ca}/^{40}\text{Ca}$

The focus of this thesis is the isospin-dependence of 2N SRCs using the cross section ratio $^{48}\text{Ca}/^{40}\text{Ca}$ per-nucleon. As discussed in Section 2.4, with the isospin-independent assumption, the ratio of neutron to proton in SRCs is equal to the N/Z ratio of nucleus. In the kinematics of E08014, the cross section of electron-proton scattering (σ_p) is about 3 times the electron-neutron scattering (σ_n). In the isospin-independent assumption, the cross section ratio per-nucleon from ^{48}Ca to ^{40}Ca can be expressed as [41]:

$$\frac{\sigma_{^{48}\text{Ca}}/48}{\sigma_{^{40}\text{Ca}}/40} = \frac{(20\sigma_p + 28\sigma_n)/48}{(20\sigma_p + 20\sigma_n)/40} \xrightarrow{\sigma_p \sim 3\sigma_n} \sim 0.92$$

In the case of the isospin-dependence, it is not possible to give a rock-solid estimate for the ratios due to the complexity of large nuclei. In the proposal [41] for E08014 a guesstimate, based on the total possible combination of np pairs, ($Z * N$), was given:

$$\frac{\sigma_{^{48}\text{Ca}}/48}{\sigma_{^{40}\text{Ca}}/40} = \frac{(20 \cdot 28)/48}{(20 \cdot 20)/40} = 1.17 \quad (6.1)$$

Subsequent to this a theoretical calculation [57] took into account the role of isospin-dependence effect in short-range correlations for a range of nuclei. The results have already been shown in Figure 2.17 in Chapter 2. By picking off the value of $\frac{N_{pn}}{(NZ)}$ from Figure 2.17, for ^{40}Ca and ^{48}Ca , multiplying by their associated $(N \times Z)$ and then dividing by $\frac{48}{40}$, the prediction for isospin-dependence of the ratio of cross section per nucleon $^{48}\text{Ca}/^{40}\text{Ca}$ is around 1,

$$\frac{\sigma_{^{48}\text{Ca}}/48}{\sigma_{^{40}\text{Ca}}/40} \sim 1$$

The following figures show the cross section ratio of $^{48}\text{Ca}/^{40}\text{Ca}$ per nucleon in this analysis for different angle settings. The discussion of these results is in the next section.

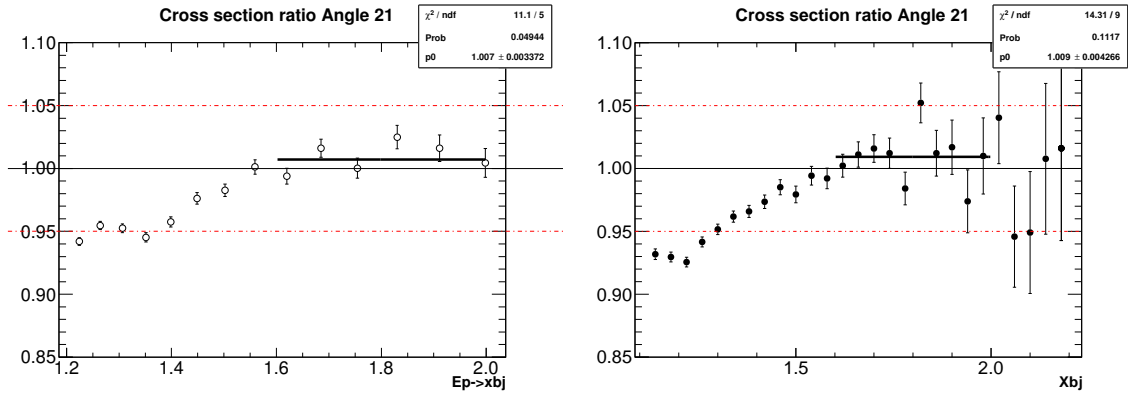


Figure 6.4: The cross-section ratio $^{48}\text{Ca}/^{40}\text{Ca}$ per nucleon for $\theta_0 = 21^\circ$, $Q^2 \sim 1.3 \text{ GeV}^2$, binned in E' converted to x_{bj} (left panel) and binned in x_{bj} (right panel). The short black line shows the linear fit on the data in the region $1.6 < x_{bj} < 2.0$.

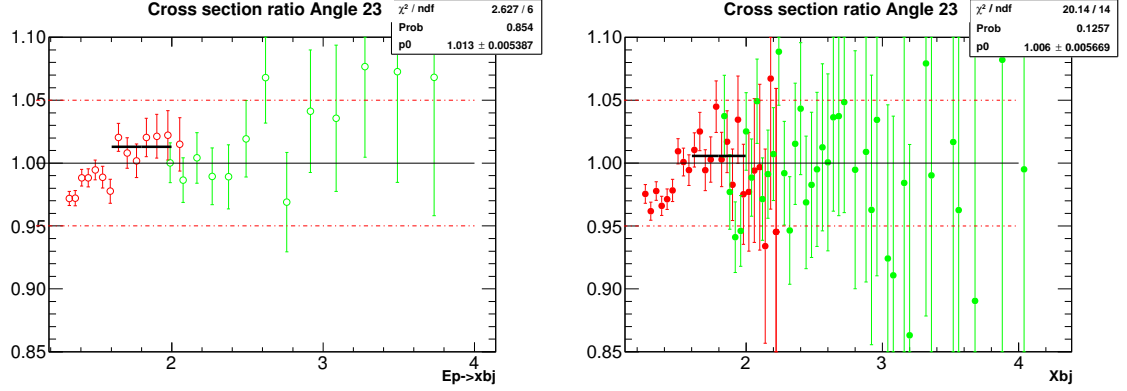


Figure 6.5: The cross-section ratio $^{48}\text{Ca}/^{40}\text{Ca}$ per nucleon for $\theta_0 = 23^\circ$, $Q^2 \sim 1.5 \text{ GeV}^2$, binned in E' converted to x_{bj} (left hand side) and binned in x_{bj} (right hand side). The short black line shows the linear fit on the data in the region $1.6 < x_{bj} < 2$.

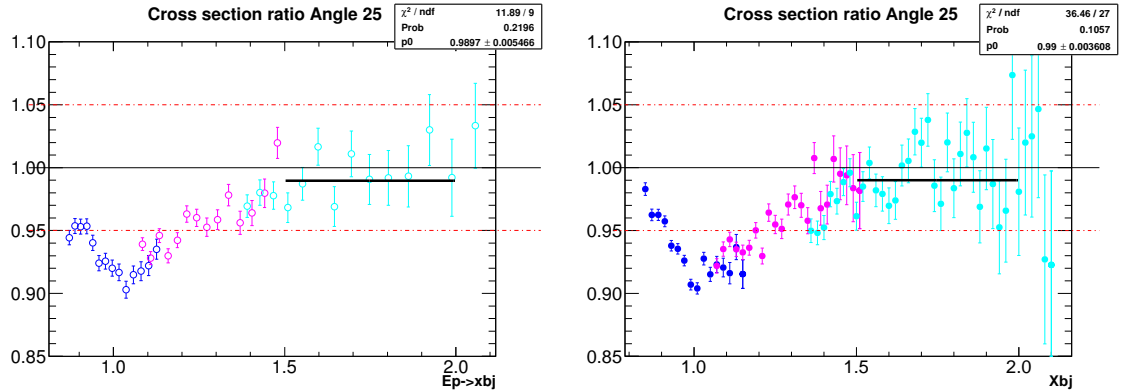


Figure 6.6: The cross-section ratio $^{48}\text{Ca}/^{40}\text{Ca}$ per nucleon for $\theta_0 = 25^\circ$, $Q^2 \sim 1.7 \text{ GeV}^2$, binned in E' converted to x_{bj} (left panel) and binned in x_{bj} (right panel). The short black line shows the linear fit on the data in the region $1.5 < x_{bj} < 2$.

6.3 Discussion

The cross section ratio per-nucleon $^{48}\text{Ca}/^{40}\text{Ca}$ shows a SRC plateau in the region $1.5 < x_{bj} < 2$. The value of this plateau is very close to 1 for different kinematic settings. This result is consistent with the theoretical prediction of the cross section ratio per-nucleon in the isospin-dependent case [57] as well as the observed isospin-dependence results from different nuclei using the exclusive data in Ref [47]. Therefore, these results can be considered as the **first evidence** of 2N SRCs isospin-

dependence using inclusive scattering data. It allows the unequivocal statement that proposal for experiment made a significant overestimate for the fraction of np pairs participating in SRCs (see Section 2.4) [41].

In addition, the calculation in Ref. [101] provided the values of $a_2(A)$ for ^{40}Ca and ^{48}Ca . See Table 6.1.

Table 6.1: Theoretical $a_2(A)$ calculation for ^{40}Ca and ^{48}Ca [101, 102]

^2H	1.128	^{40}Ca	1.637
^4He	1.327	^{48}Ca	1.629
^9Be	1.384	^{56}Fe	1.638
^{12}C	1.435	^{108}Ag	1.704
^{16}O	1.527	^{197}Au	1.745
^{27}Al	1.545	^{208}Pb	1.741

The $a_2(A)$ can be obtained by taking ratio of the numbers in Table 6.1 subtracted by one because they are the extra effect caused by SRC on top of the mean field value of 1.

$$a_2(^{40}\text{Ca}) = \frac{\sigma^{40}\text{Ca}/40}{\sigma^2\text{D}/2} = \frac{(1.637 - 1)}{(1.128 - 1)} = 4.97$$

and:

$$a_2(^{48}\text{Ca}) = \frac{\sigma^{48}\text{Ca}/48}{\sigma^2\text{D}/2} = \frac{(1.629 - 1)}{(1.128 - 1)} = 4.92$$

The per-nucleon cross section ratio from this calculation is:

$$\frac{\sigma^{48}\text{Ca}/48}{\sigma^{40}\text{Ca}/40} = 4.92/4.97 = 0.989$$

Since these two nuclei have the same number of protons, this result has very interesting implications. It shows that increasing the number of neutrons will increase the fraction of protons prone to SRCs, otherwise the number of np pairs would be the same in the two nuclei and the cross section ratio per-nucleon will be equal to the ratio of nuclear masses ($40/48 \sim 0.83$). This is consistent with the observation in Ref [103] that the average kinetic energy of protons is higher than the average kinetic

energy of neutrons in neutron-rich nuclei, because the fraction of protons having high momentum is higher than the fraction of neutrons in the high momentum tail. The result can be understood in a simple way that, by increasing the number of neutrons, the total possible combination of np pairs ($Z * N$) also increases which gives np pairs a higher probability to be a SRC np pair. A hand-waving argument to describe this relative probability can be expressed as:

$$\frac{\sigma_{48Ca}/48}{\sigma_{40Ca}/40} = \frac{(20 \cdot 28)/(48 \cdot (48 - 1)/2)}{(20 \cdot 20)/(40 \cdot (40 - 1)/2)} \sim 0.97 \quad (6.2)$$

where $(20 \cdot 28)$ is the total number of combinations of np pairs and $(48 \cdot (48 - 1)/2)$ is the total combination of two-nucleon pairs for ^{48}Ca . It is similar for the ^{40}Ca case.

In a later stage of the analysis of E08014, ^2D data will allow the extraction of a_2 , the 2N SRC scaling factor, for both ^{40}Ca and ^{48}Ca . This will be very useful in the study of the linear correlation of the EMC strength and SRCs as well as the density dependence of $a_2(A)$ [104].

6.4 Outlook

The isospin-dependence is one of the most important questions underlying the dynamics of SRCs. Pursuing it will give a clearer understanding of short-distance nuclear structure. SRCs imply high momenta, short distances and extremely high, though fleeting states of high density. Consequently, it will test the mechanism by which nucleon properties might be modified (the EMC effect for example) and expose whether they share a common origin. Furthermore, the SRCs are important for the interpretation of neutrino-oscillation measurements where nuclear structure is necessary for neutrino beam energy reconstruction [105]. They are also relevant for the understanding of neutron rich systems such as neutron stars [33]. The SRCs may affect the equation of state of neutron stars and make it stiffer.

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