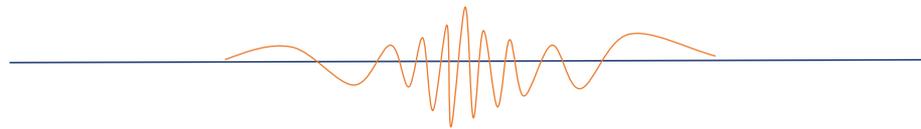


# Automated Microwave Frequency Control in Dynamic Nuclear Polarization Experiments



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# Introduction

- UVa Polarized Targets; Jlab, Fermilab
- Electron beam polarized target experiments
- Aim to create a controller capable of seeking/maintaining ideal frequency for polarization
- Increase the figure of merit (FOM) of DNP experiments

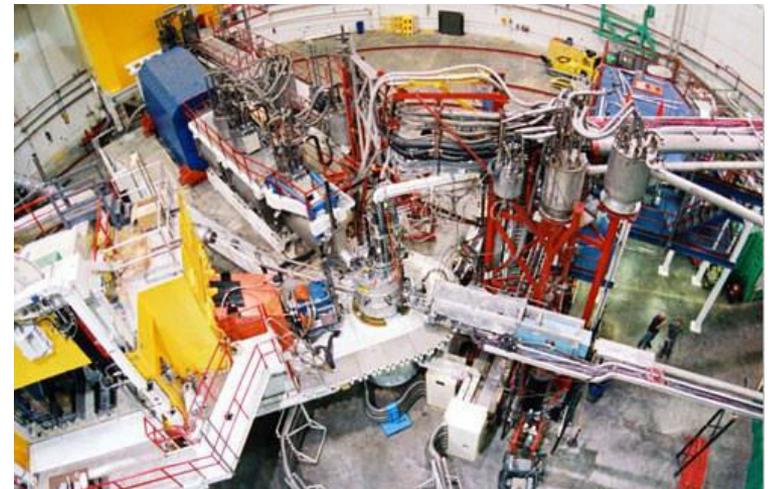
$$FOM = n_t \cdot f^2 \cdot P^2$$

$n_t$  = target thickness

$f$  = dilution factor

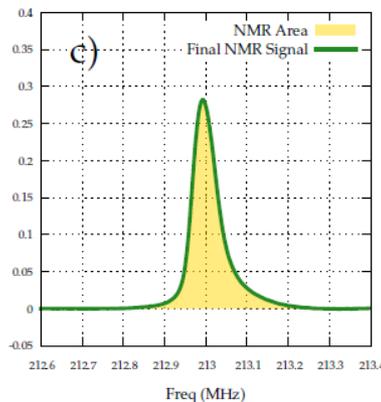
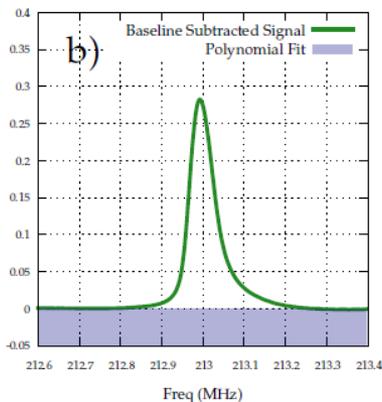
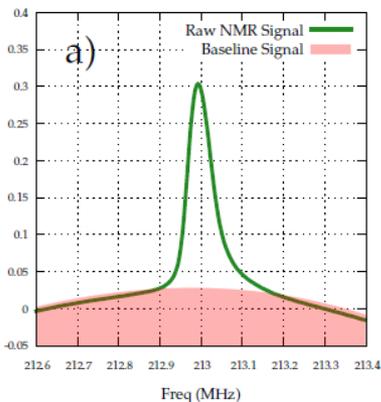
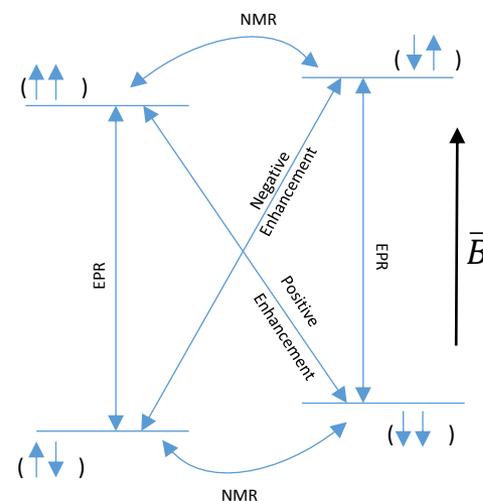
$P$  = polarization measured over time

$P \uparrow, FOM \uparrow$



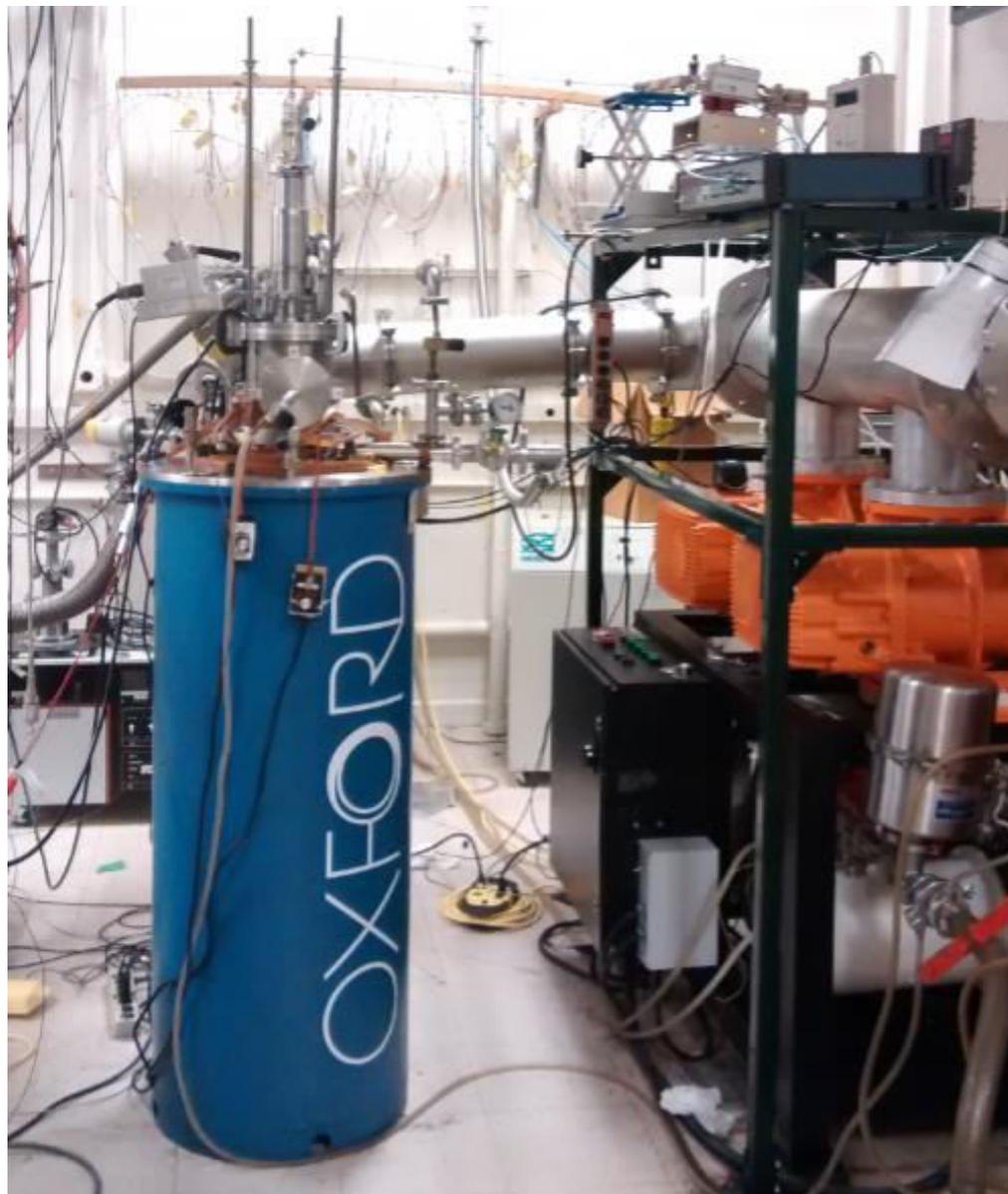
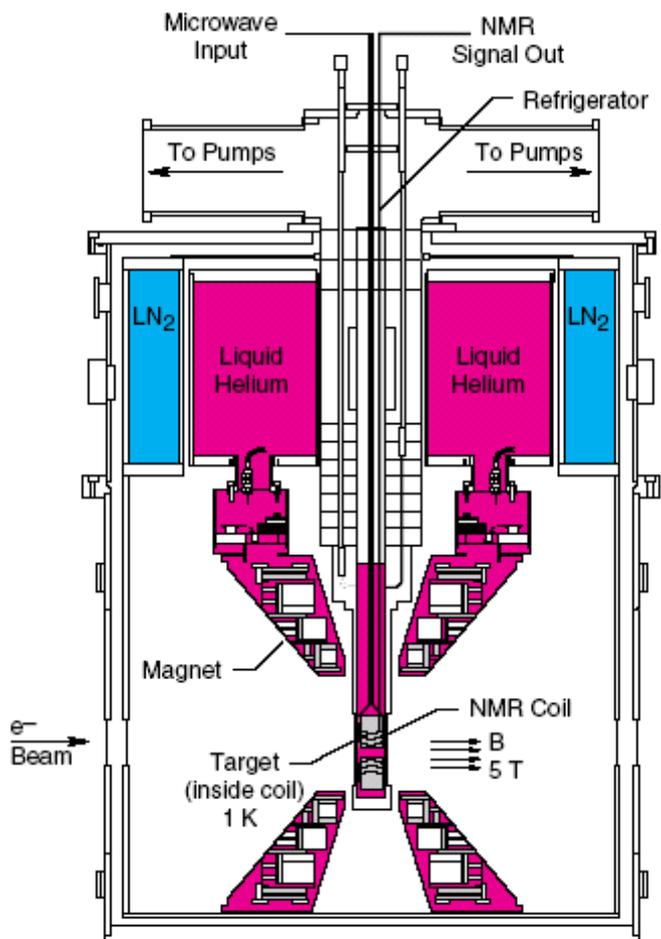
# Dynamic Nuclear Polarization (DNP)

- Polarization: Alignment of the spin of particles in a given direction (typically  $\vec{B}_0$ )
- DNP: Transfer of electron spin polarization to the nucleus
- Described by Solid Effect
- Additional electrons are added when material doped with beam irradiation
- Signal picked up through NMR coil

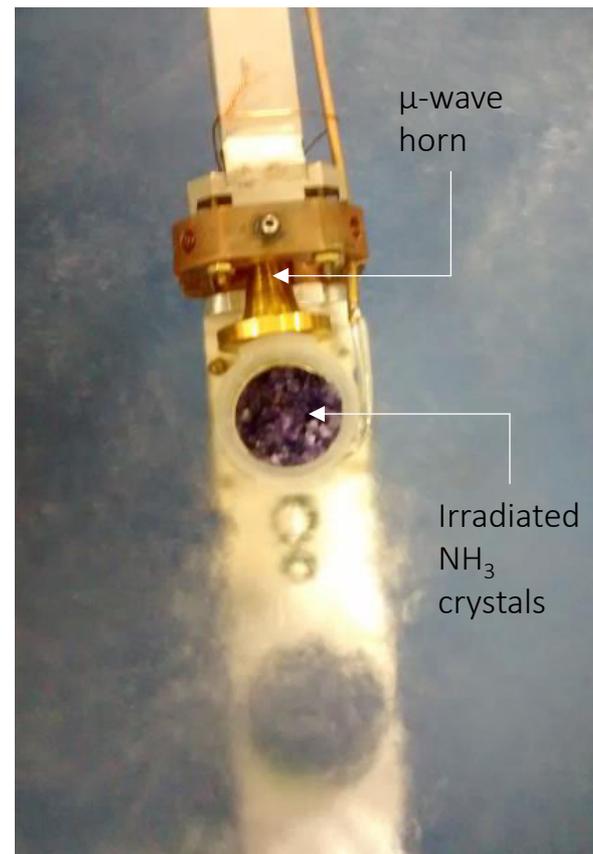
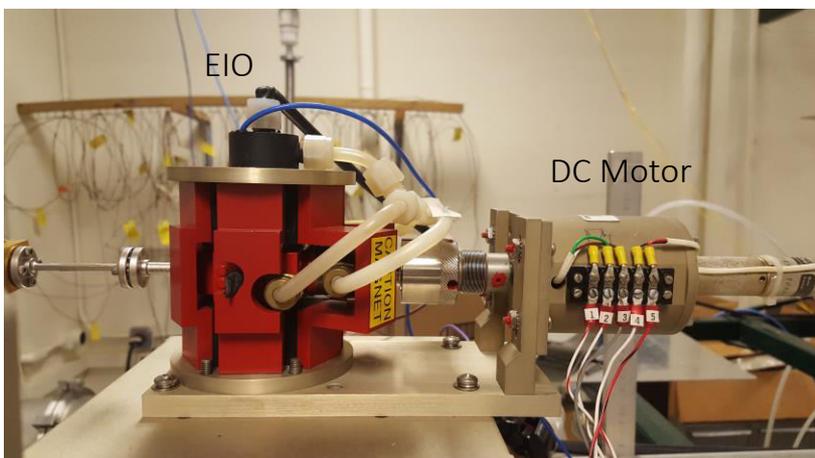
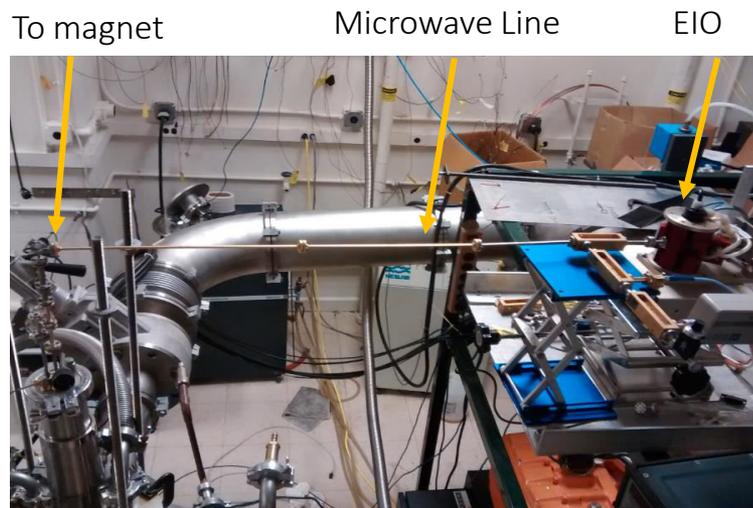


$$P = \frac{N_{\uparrow} - N_{\downarrow}}{N_{\uparrow} + N_{\downarrow}}$$

# DNP



# DNP



# Microwave Frequency

- Dictated by difference in nuclear Larmor and electron paramagnetic resonance frequencies (EPR)
- [140.0 GHz – 140.4 GHz for  $\text{NH}_3$  @ 5T] / [69.7 GHz – 70.1 GHz @ 2.5 T] for positive polarization
- [140.4 GHz – 140.8 GHz for  $\text{NH}_3$  @ 5T] / [70.1 GHz – 70.5 GHz @ 2.5 T] for negative polarization

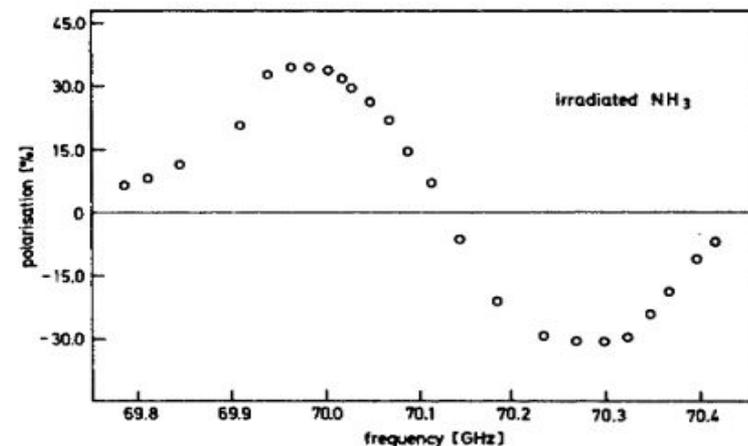
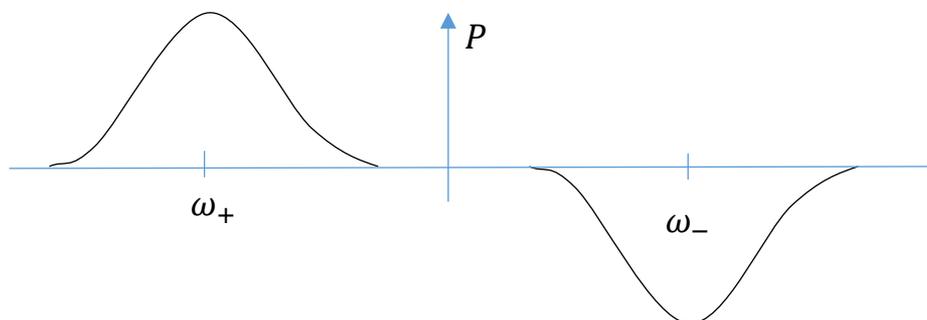


Image: Riechert, H. (1983). Polarization Properties of irradiated ammonia ( $\text{NH}_3$  and  $\text{ND}_3$ ) at 1K and 25 kG. AIP Conference Proceedings 95, 520 .

# “Frequency Drift”

- Optimal frequency for positive and negative polarization is *not* constant
- Changes take place as more centers are created in the material as a result of irradiation.
- Steady state of polarization at a particular frequency also vulnerable to other variables such as temperature, radiation damage, number of anneals, etc.

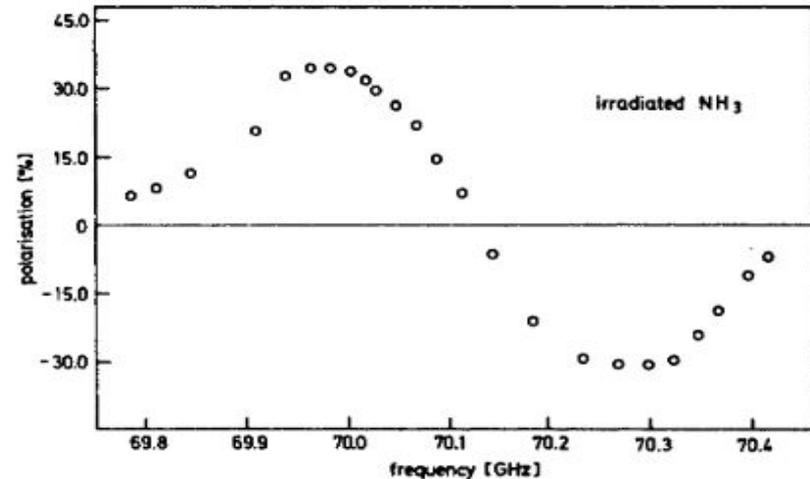


Image: Riechert, H. (1983). Polarization Properties of irradiated ammonia (NH<sub>3</sub> and ND<sub>3</sub>) at 1K and 25 kG. AIP Conference Proceedings 95, 520 .

# “Frequency Drift”

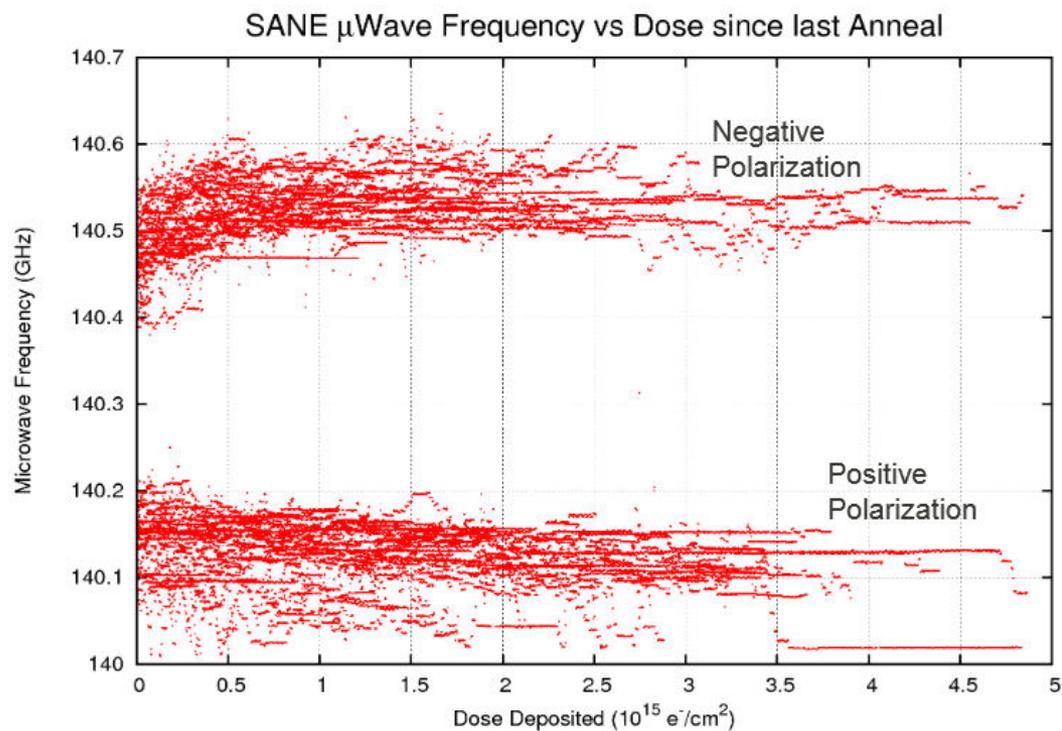
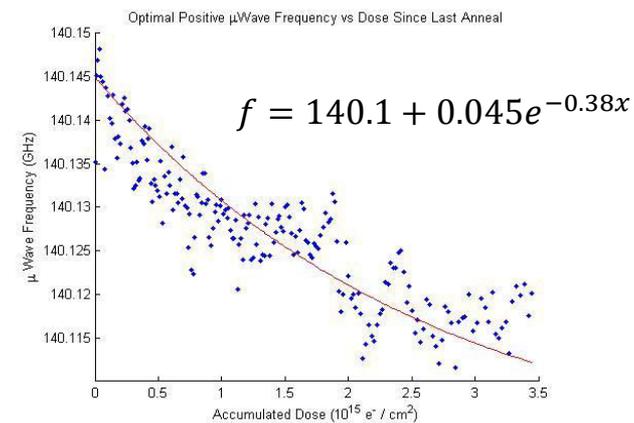
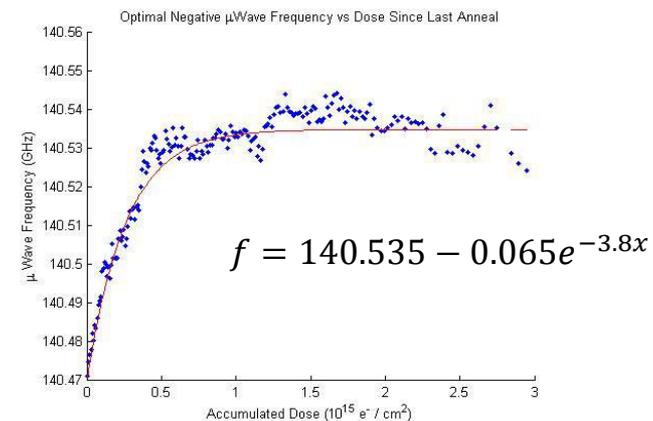
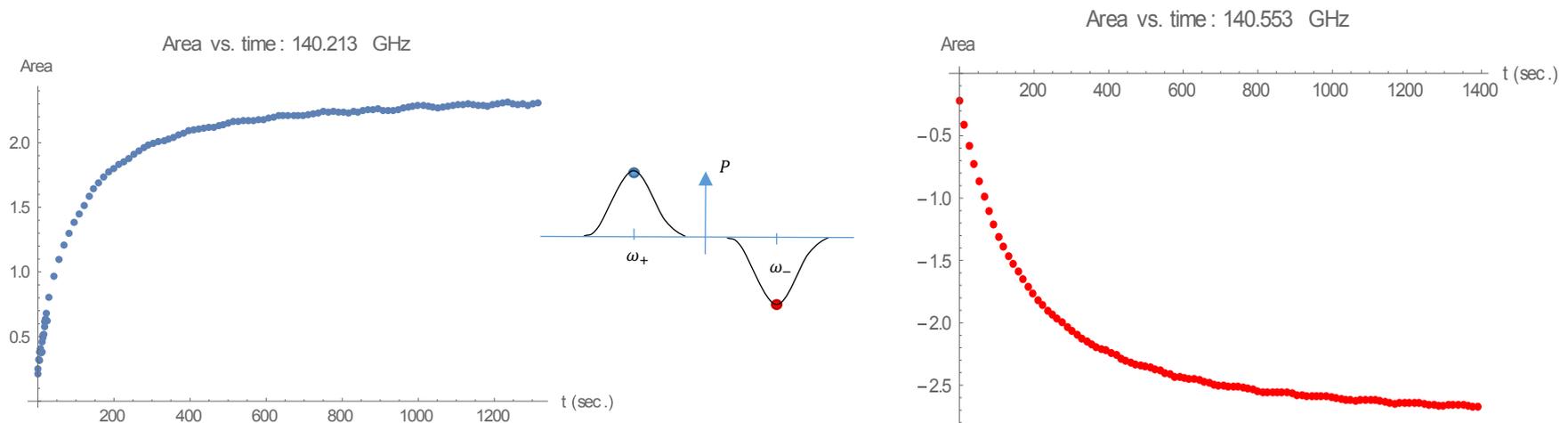


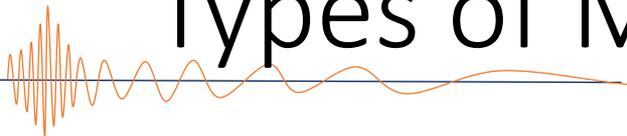
Image: [https://www.jlab.org/Hall-C/talks/01\\_22\\_10/maxwell.pdf](https://www.jlab.org/Hall-C/talks/01_22_10/maxwell.pdf)



# Maintaining Highest Polarization

- Manually maintaining optimal polarization is tedious, error prone
- If characteristics of polarization growth/decay are understood, process can be automated
  - Input = EIO voltage divider value  $\propto$   $\mu$ -wave frequency
  - Output = Polarization value from NMR analysis software



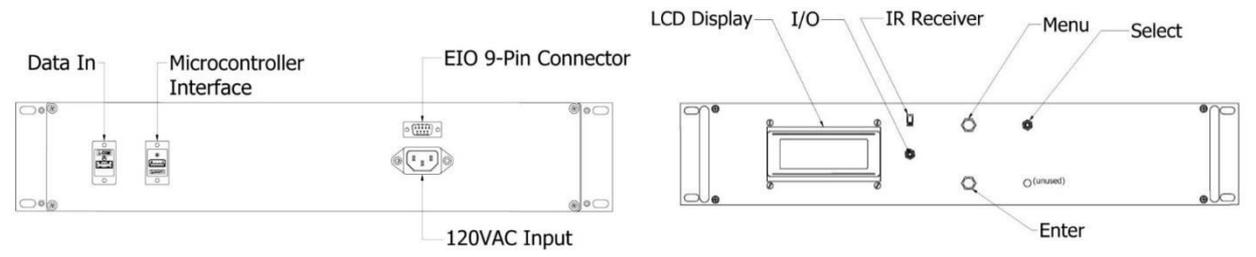
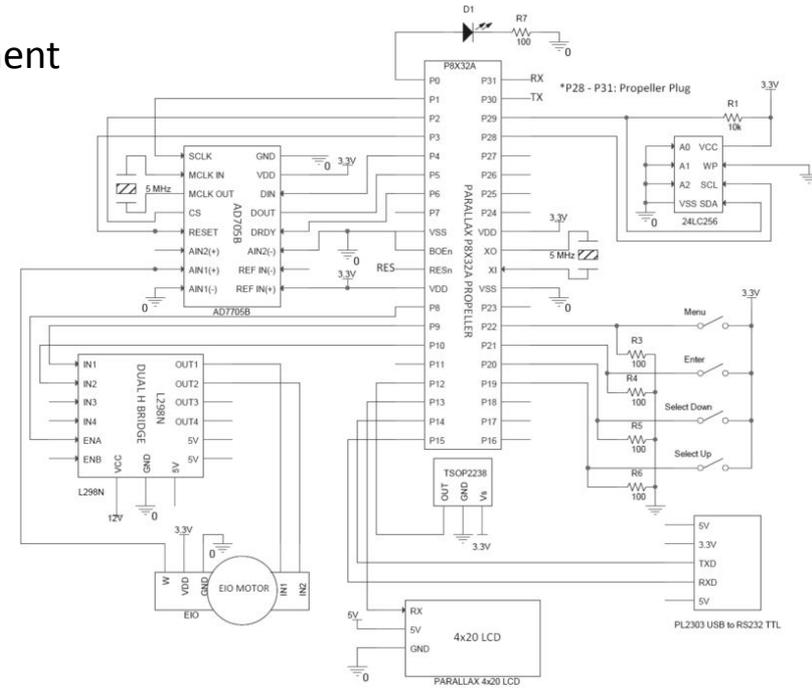


# Types of Motors

- Two motor types used with EIO
- Continuous DC motor
  - Most common motor currently in use (standard)
  - Shaft rotates continually whenever a voltage is applied
    - Movements are not very precise
- Stepper motor
  - Applying voltage causes the motor to “step” by a couple degrees, and then stop
    - Allows for much more controlled movements
  - For continuous motion, a series of pulses is used

# Standalone Controller (for standard motor)

- Built to replace traditional manual frequency adjustment
- Components
  - Standard 2U Rack-mount hardware
  - Parallax P8X32A microcontroller
  - PL2303 USB to RS232 TTL serial communication
  - Parallax 4x20 LCD display
  - L298N H-Bridge motor controller
- Features
  - Front-panel readout and user interface
  - Remote control support
  - Automatic and manual control of motor
  - Works with different EIOs via calibration
- Required for use with “traditional” motor

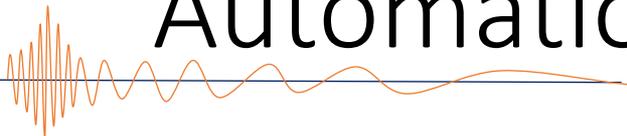


# Software controller

For Polarized Drell-Yan experiment with Sea Quest (E1039)

- New hardware available from CPI: stepper motor and power supply
- Stepper motor: benefits over “traditional” motor
  - Much more precise bellows position control
  - Amount to move can be directly specified
  - Controlled directly through RS232 serial
  - EIO power supply is also controlled via serial
  - System control via LabVIEW
- New software controlled microwave power supply
  - Software controlled transmit/standby, power
  - Software controlled cathode, anode and filament voltages
  - Monitor cathode, anode and helix currents
  - System control via LabVIEW





# Automation Control

- Must seek optimal microwave frequency
  - Uses real-time polarization
  - Change position or stay fixed
  - Switch between positive and negative
- Must perform well given external variations
  - Thermal fluctuations
  - Beam trips
  - Decay from beam irradiation
- Developed Monte Carlo for testing efficiency and optimization under all these dynamics

# LabVIEW Controller

motor\_controller.vi

File Edit View Project Operate Tools Window Help

Communication setup

COM Port

COM4

STOP COMMUNICATION

Please wait after stopping the VI; it can take some time to shut down all the stuff running in the background, so please be patient.

Manual motor control

Step size (rev)

0.1 Move up

Velocity (rev/sec)

0.1 Move down

Frequency to seek (GHz)

0.000 Goto

STOP MOTOR

Motor information

Motor time (sec)

67

Motor position (rev)

0.1

Frequency (calculated, GHz)

140.200

Motor alarm (error)

Alarm code

0x00

See motor manual, section "Troubleshooting" for explanation of alarm codes; fix the problem first and then restart this VI

Automatic control

Automatic mode on/off

Samples taken

Eventnum

Polarization

Rate

0 0 0 0

Data input file

Launch simulation

Frequency calibration

Frequency 1

Position 1

Read position

140.100 -0.1

Frequency 2

Position 2

Read position

140.150 0

Fit parameters

Slope

0.5

Intercept

140.15

Calculate fit parameters

Advanced configuration

Automatic step size (rev)

0.01

Automatic velocity (rev/sec)

0.1

Reseek (reset step size)

Make up fit parameters

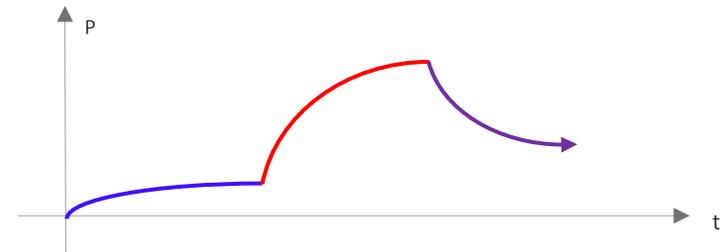
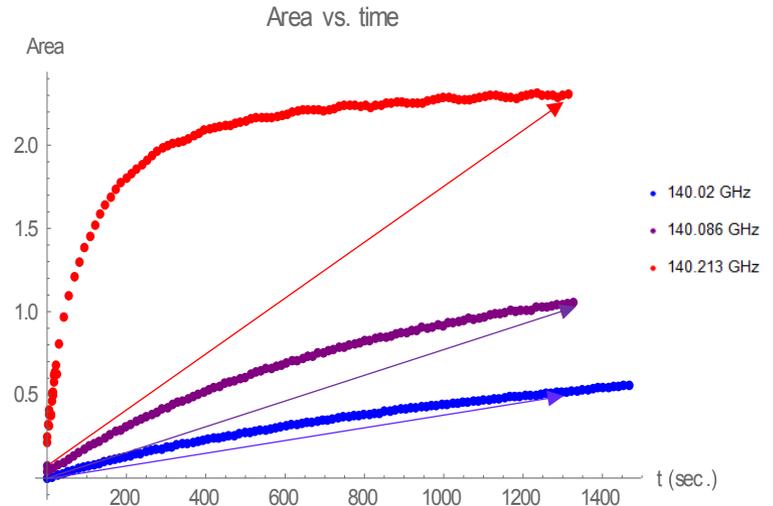
9/25/2016

# Seek Algorithm

- Motion of the motor should be based on the rate of polarization increase
  - An increase in the rate implies that the motor is moving in the right direction; a decrease implies that the motor should return to a previous position
- Rates calculated using three (or more) data points (pairs of polarization and time values)
  - Calculate slope connecting adjacent data points, and then average rates:

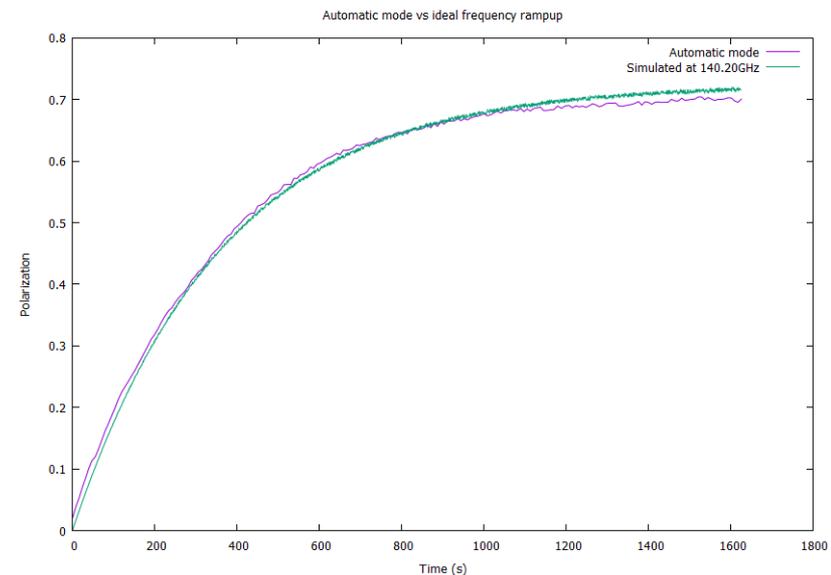
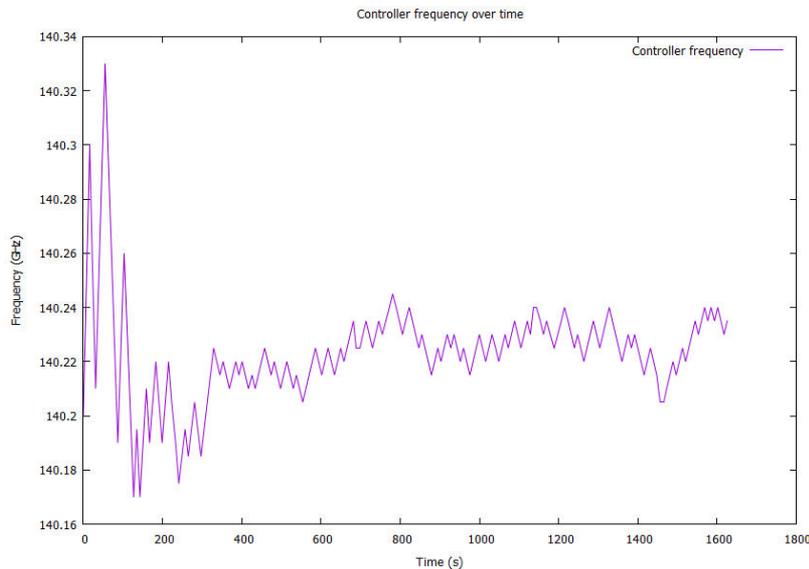
$$rate = \frac{\frac{P_3 - P_2}{t_3 - t_2} + \frac{P_2 - P_1}{t_2 - t_1}}{2}$$

- Averaging the rates gives better results when the polarization experiences fluctuations (due to thermal effects)



# Optimal vs Seeking Algorithm

- Efficiently automates the process of a person seeking the ideal frequency
- Accurately converges to ideal frequency relatively quickly (~5 minutes)
- Quick “ramp-ups” when starting from good frequency
- Plots below taken from a run of the LabVIEW stepper motor controller, interfacing with simulation
  - Results from standalone controller box should be similar (uses same seek algorithm)



# System Model

Behavior of ramp-up and decays are based on Differential Solid Effect

- Model for spin  $\frac{1}{2}$  from Leifson and Jeffries, 1961
  - Set of coupled differential equations for nuclear ( $P_n$ ) and electron ( $P_e$ ) polarizations:

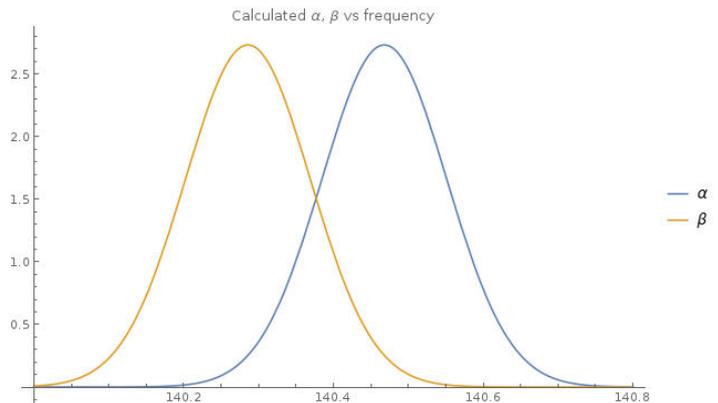
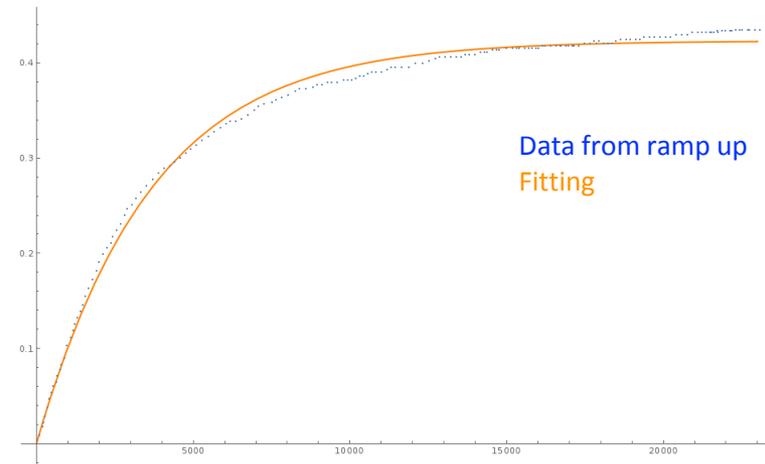
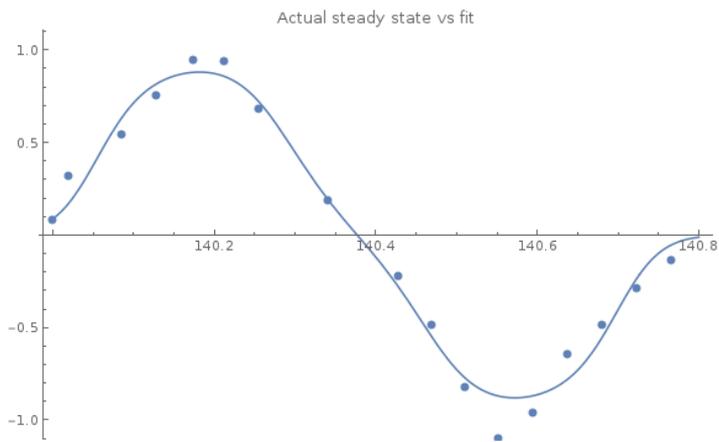
$$T_{1e} \frac{dP_n}{dt} = \left( -\frac{T_{1e}}{T_{1n}} - \frac{C\alpha}{2} - \frac{C\beta}{2} \right) P_n + \left( \frac{C\alpha}{2} + \frac{C\beta}{2} \right) P_e$$

$$T_{1e} \frac{dP_e}{dt} = \left( \frac{\alpha}{2} - \frac{\beta}{2} \right) P_n + \left( -1 - \frac{\alpha}{2} - \frac{\beta}{2} \right) P_e + P_0$$

- $\alpha$  and  $\beta$  are parameters corresponding to transitions (induced by microwave),  $\alpha$  drives negative while  $\beta$  drives positive for given frequency
- $C$  is the ratio of electrons to nuclei
- $T_{1e}$ ,  $T_{1n}$ , and  $P_0$  are constants
- General 'reduced' solution is of the form  $P_n(t) = A + Be^{-k_1 t} + De^{-k_2 t}$

# Simulation

- Written in LabVIEW to work with stepper motor
  - Can also be run by itself to produce data
- Implements model
  - Parameters  $\alpha$  and  $\beta$  calculated from frequency
  - Uses experimental fit to steady state  $P_n$

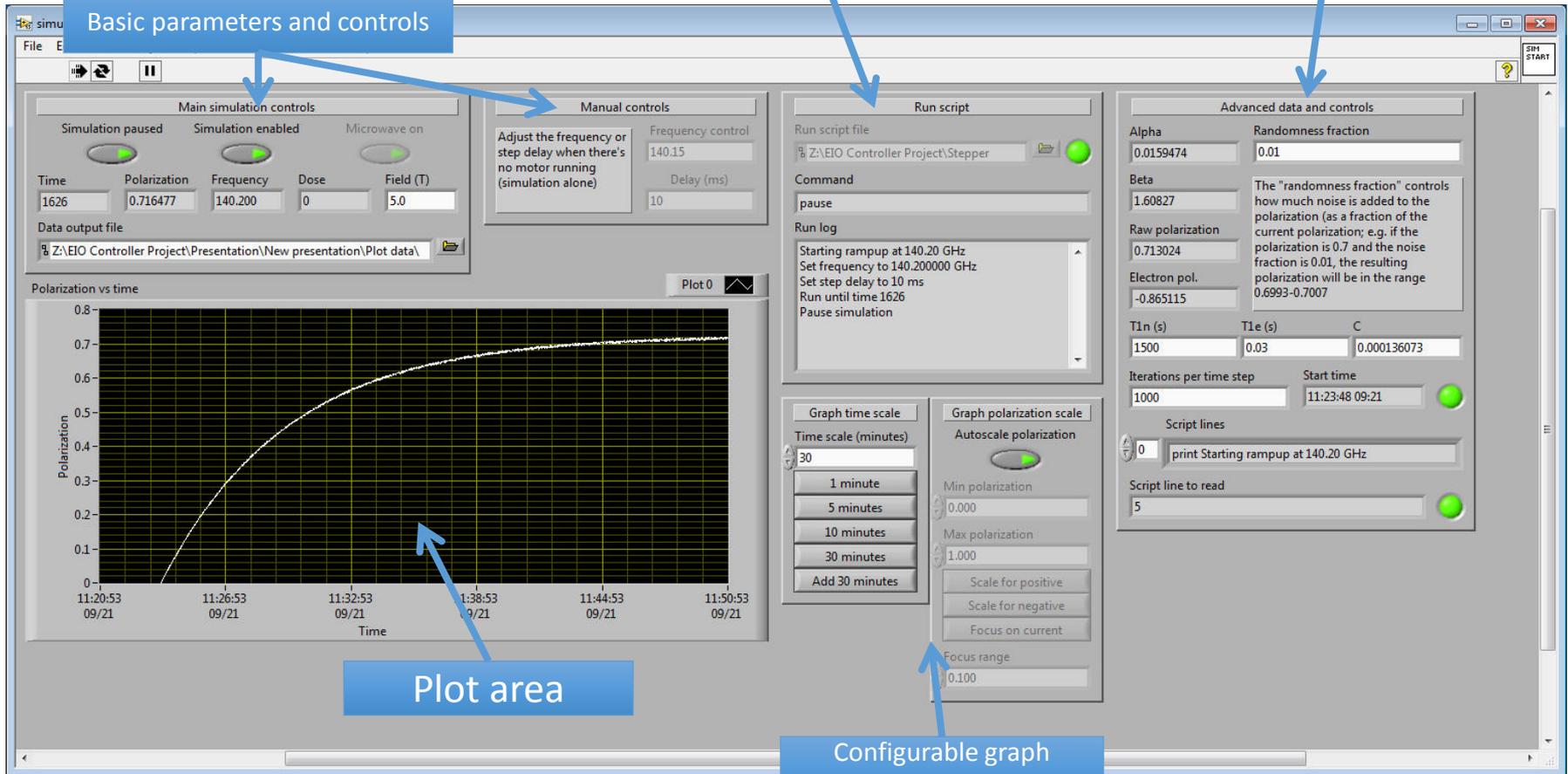


# LabVIEW Simulation

Controllable via script file

Advanced parameters (physical constants and debugging)

Basic parameters and controls

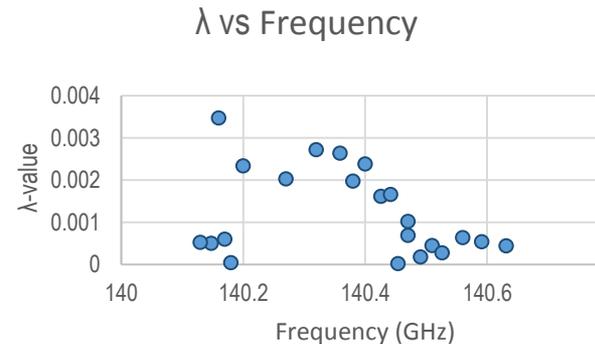
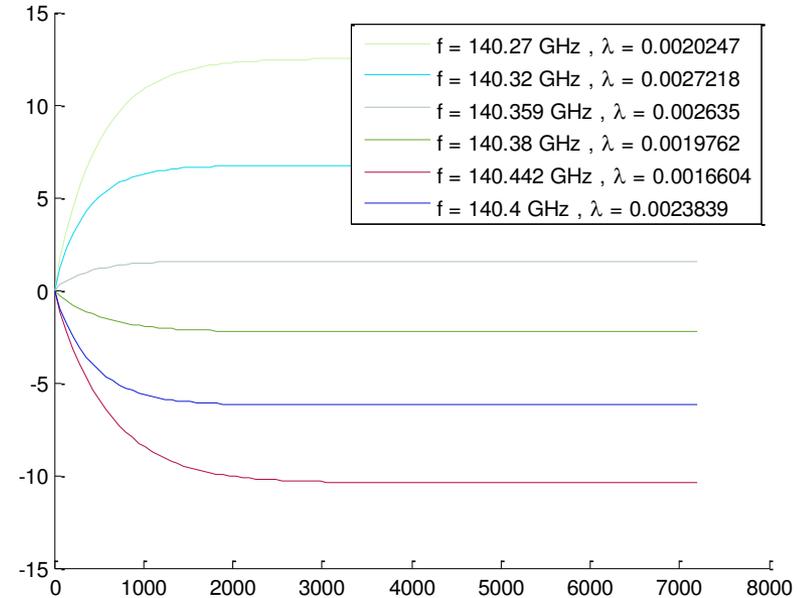
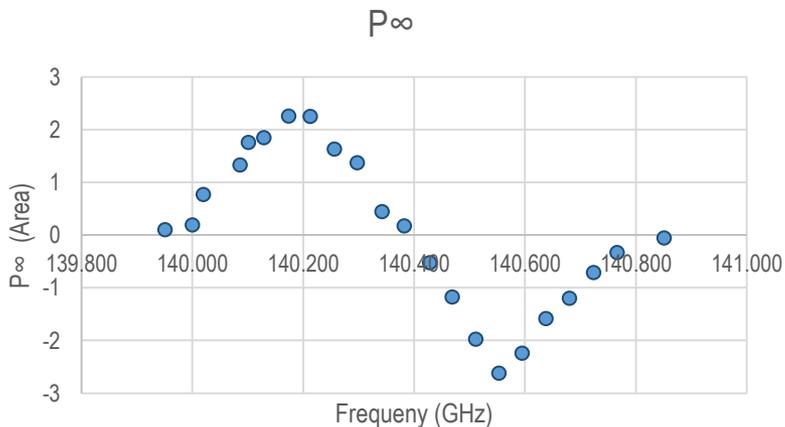


Plot area

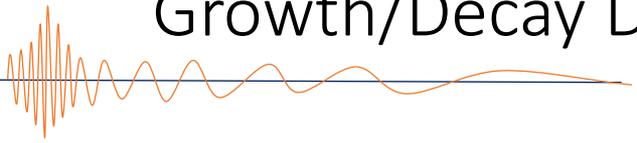
Configurable graph scales

# Single Exponential Approximation

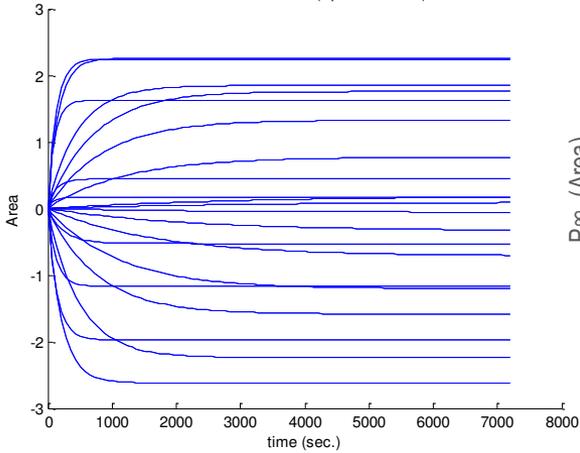
- Fit experimental data to function of the form  $P_n(t) = P_\infty + Ae^{-\lambda t}$ 
  - Full model contains two exponential terms, one of which is very small
  - Easier to analyze in this form



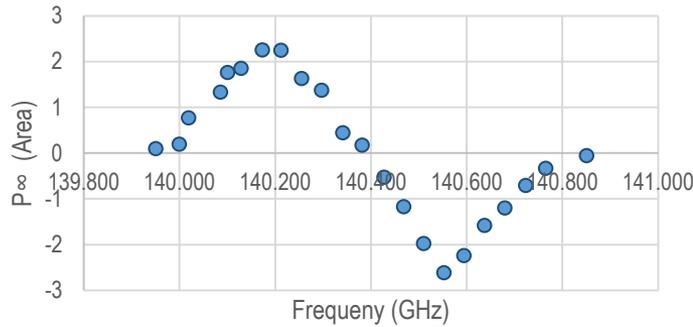
# Growth/Decay Data – April and December “Cooldown”



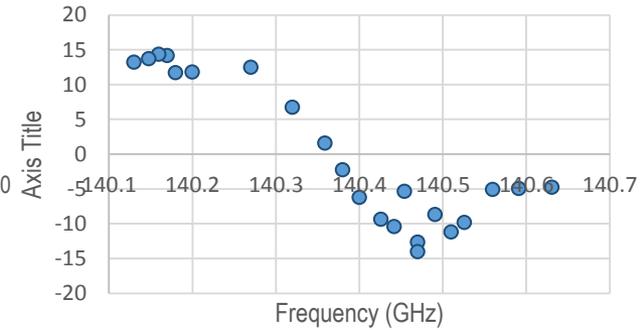
Polarization Curves (April Cooldown)



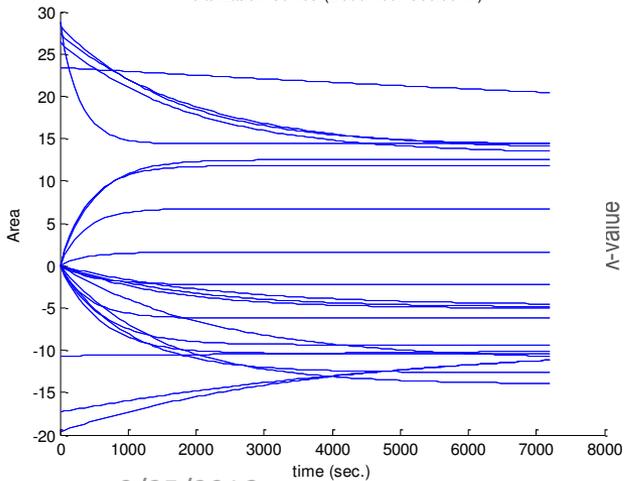
$P_{\infty}$  vs Frequency



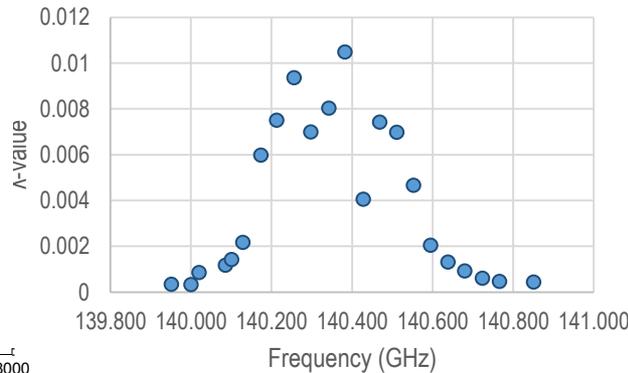
$P_{\infty}$  vs Frequency



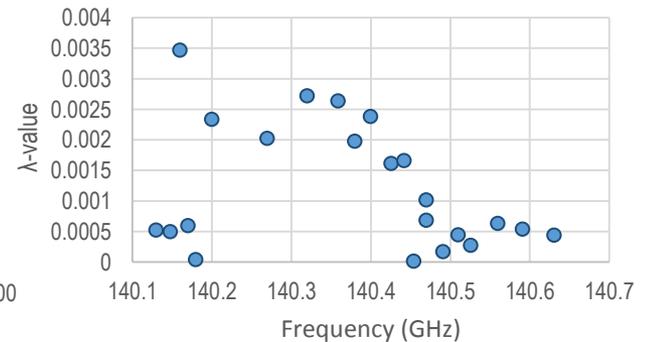
Polarization Curves (December Cooldown)



$\lambda$  vs Frequency



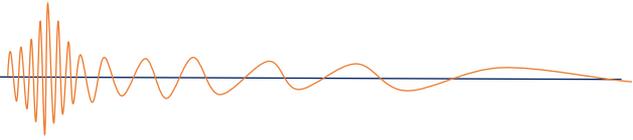
$\lambda$  vs Frequency





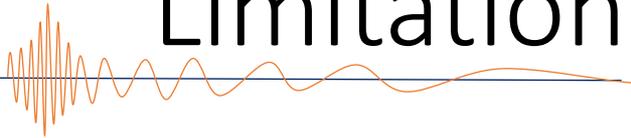
# Conclusion

- Two controller systems
  - Standalone controller box supports continuous DC motors (self contained MC)
  - LabVIEW controller supports stepper motors/power supply
  - Both systems offer automatic and manual control of polarization experiments
- Simulation combines theoretical model and experimental data
  - Determine  $\alpha$  and  $\beta$
  - Important to check the seeking algorithm
- Future plans
  - Implement the simulation for spin 1 system
  - Implement tools to control power supply
  - Develop time-independent seeking algorithm

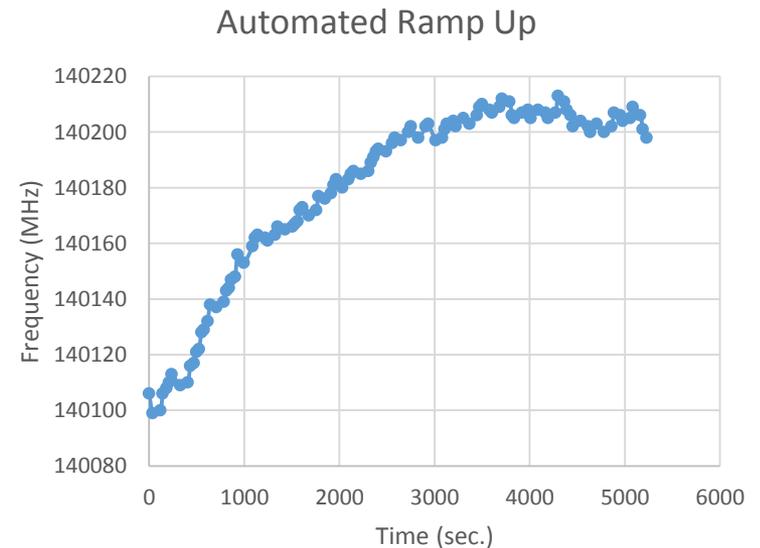
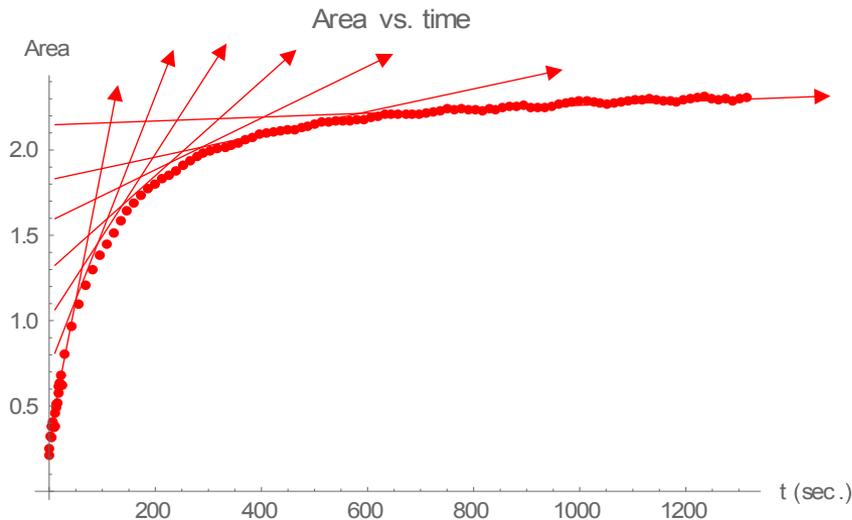
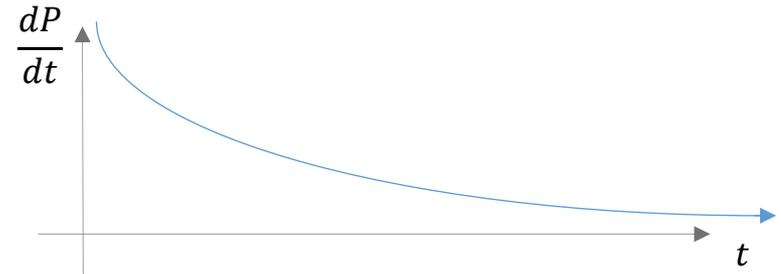


# Back up

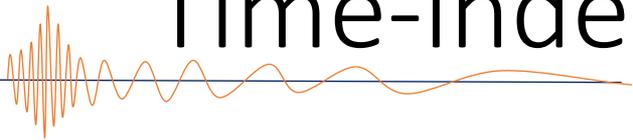
# Limitations



- Fairly slow process, especially when far off of  $f_{\text{ideal}}$
- Time dependent:  $\frac{d}{dt}(-e^{-t}) = e^{-t}$
- Frequently moves in wrong direction
  - Rate is *always* decreasing in exponential growth/decay

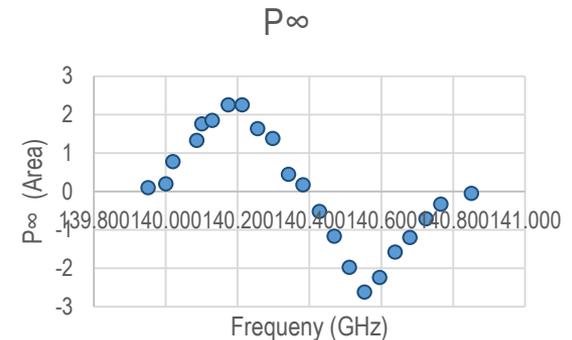


# Time-independent Seek

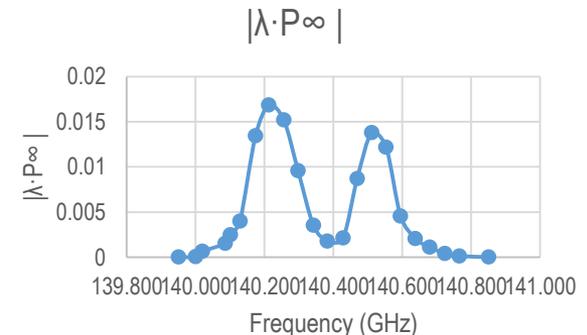
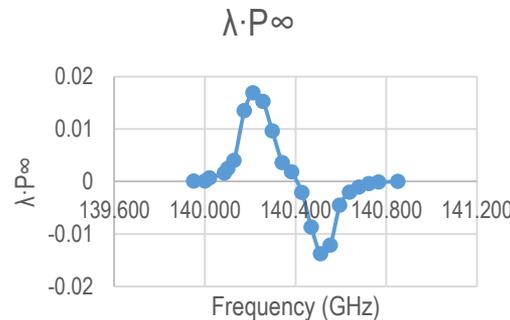
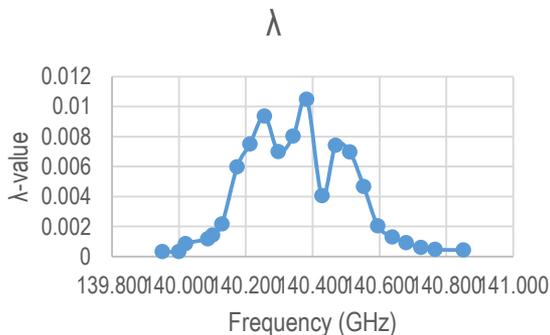


- Seeking frequency by maximizing  $|\lambda \cdot P_\infty|$  would eliminate time-dependence
  - Unlike the raw rate of polarization increase, this quantity does not depend on time
- To calculate  $\lambda$  requires the second derivative of  $P_n(t)$ :

$$\begin{aligned}
 P_n'(t) &= -A\lambda e^{-\lambda t} \\
 P_n''(t) &= A\lambda^2 e^{-\lambda t}
 \end{aligned}
 \longrightarrow
 \lambda = \frac{-P_n''(t)}{P_n'(t)}$$



- Works well under perfect conditions, but thermal fluctuations make  $P_n''(t)$  very hard to calculate accurately
- Time-dependent seek actually behaves better when fluctuations are present



# LabVIEW Controller

- Interfaces directly with motor over RS232
  - No need for standalone controller box
- Features
  - Automatic and manual control
    - Takes advantage of precise motor steps
  - Built-in experimental simulation for testing
  - Data output during automatic mode
  - Easy frequency calibration
- Works only with stepper motor

